

State of West Virginia Campaign Financial Statement (Long Form) in Relation to the 2016 Election Year

Candidate or Committee Name WV DHLC		Candidate or Committee's Treasurer Mick Bates	
Political Party (for candidates)		Treasurer's Mailing Address (Street, Route or P.O. Box) PO Box 11716	
Office Sought (for candidates)	District/Division	City, State, Zip Code Charleston, WV 25339	Daytime Phone # 304-550-5045

Election Cycle Reporting Period (check one):

- | | | |
|---|--|---|
| <input type="checkbox"/> Primary - First Report
Due March 26 - April 1, 2016 | <input type="checkbox"/> Pre-primary Report
Due April 25 - 29, 2016 | <input type="checkbox"/> Post-primary Report
Due May 23 - June 21, 2016 |
| <input checked="" type="checkbox"/> General - First Report
Due September 26 - 30, 2016 | <input type="checkbox"/> Pre-general Report
Due October 24 - 28, 2016 | <input type="checkbox"/> Post-general Report
Due Nov. 21 - Dec. 19, 2016 |

Check if Applicable:

- Amended Report
You must also check box of appropriate reporting period
- Final Report
Zero balance required.
PAC must also file Form F-6 Dissolution

Non-Election Cycle Reporting Period:

- Annual Report Due In _____ Calendar Year
Due last Saturday in March or within 6 days thereafter

REPORT TOTALS

Fill in totals at the completion of the report.

RECEIPTS OF FUNDS:

Totals for this Period

Contributions (Page 3)	\$14,412.⁰⁰
Monetary Contributions from all Fund-Raising Events (Page 4)	+ \$10,785. ⁰⁰
Receipt of a Transfer of Excess Funds (Page 8)	+ \$11,262. ⁰⁰
Total Monetary Contributions:	= \$36,459.⁰⁰
In-Kind Contributions (Page 5)	+ _____
Total Contributions:	= \$36,459

Other Income (Page 5)	_____
Loans Received (Page 6)	+ _____
Total Other Income:	= _____

OUTSTANDING LOANS & DEBTS:

Unpaid Bills (Page 9)	_____
Outstanding Loans (Page 6)	+ _____
Total Debts:	= _____

**TOTAL CONTRIBUTIONS
ELECTION YEAR-TO-DATE
(Add total contributions from all reports)**

\$36,459.⁰⁰

CASH BALANCE SUMMARY

Beginning Balance (ending balance from previous report)	\$0.⁰⁰
Total Monetary Contributions	+ \$36,459. ⁰⁰
Total Other Income	+ _____
Subtotal:	a. = \$36,459.⁰⁰

Total Expenditures (Page 7)	\$8,738.⁵⁷
Total Disbursements of Excess Funds (Page 8)	+ _____
Repayment of Loans (Page 6)	+ _____
Subtotal:	b. = \$8,738.⁵⁷

**Ending Balance:
(Subtotal a. - Subtotal b.) = \$27,720.⁴³**
**Cannot be negative balance*

**TOTAL EXPENDITURES
ELECTION YEAR-TO-DATE
(Add total expenditures from all reports)**

\$8,738.⁵⁷

**Contributors of
\$250 or Less**

Check if additional pages
have been attached.

DATE	CONTRIBUTOR'S FULL NAME OR COMMITTEE'S NAME	AMOUNT
7/12	Michael Ferro	\$200.00
8/14	William Hartman	\$250.00
8/14	Kristen Ross	\$50.00
8/16	Larry Puccio	\$250.00
8/23	Matthew A. Spurlock	\$50.00
8/23	Billy J. Chaffin, II	\$50.00
8/23	Julie Archer	\$250.00
8/23	Terrence Messinger	\$250.00
8/23	Randy Swartzmiller	\$100.00
8/23	N. Central WV Labor Council COPE	\$100.00
8/26	Parkersburg Area Labor Council PAC	\$100.00
6/10	James H. Morgan	\$131.00
6/11	Robert M. Bastress, Jr.	\$131.00

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Subtotal of contributors of \$250 or less:

\$1,912.00

Contributors of
More than \$250Check if additional pages
have been attached.

DATE	INDIVIDUAL CONTRIBUTOR OR COMMITTEE'S NAME	AMOUNT
8/23	Full Name: <i>Debbie K. Hardesty</i> Address: (residential and mailing if they are different) <i>PO Box 652, Halden, WV 25625-0652</i> Contributor's job: (individual contributor only) <i>Accountant</i> Where contributor works: (individual contributor only) <i>Self-Employed</i> Affiliation: (political committee only)	\$500. ⁰⁰
9/28	Full Name: <i>UMWA</i> Address: (residential and mailing if they are different) <i>18354 Quantico Gateway Dr., Triangle, Va 22172</i> Contributor's job: (individual contributor only) <i>PAL</i> Where contributor works: (individual contributor only) <i>PAL</i> Affiliation: (political committee only)	\$1,000. ⁰⁰
8/31	Full Name: <i>AFT Monongalia County COPE</i> Address: (residential and mailing if they are different) <i>57 Bastille Ln., Fairmont, WV 26554-5723</i> Contributor's job: (individual contributor only) <i>PAL</i> Where contributor works: (individual contributor only) <i>PAL</i> Affiliation: (political committee only)	\$500. ⁰⁰
8/26	Full Name: <i>WVEA PAL</i> Address: (residential and mailing if they are different) <i>1558 Quarrier St., Charleston, WV 25311-2492</i> Contributor's job: (individual contributor only) <i>PAL</i> Where contributor works: (individual contributor only) <i>PAL</i> Affiliation: (political committee only)	\$500. ⁰⁰
7/12	Full Name: <i>Tim Marchin</i> Address: (residential and mailing if they are different) <i>1543 Fairmont Ave., Fairmont, WV 26554</i> Contributor's job: (individual contributor only) <i>Delegate</i> Where contributor works: (individual contributor only) <i>WV House of Delegates</i> Affiliation: (political committee only)	\$1,000. ⁰⁰
7/12	Full Name: <i>Isaac Sponaugle</i> Address: (residential and mailing if they are different) <i>PO Box 813, Franklin, WV 26807</i> Contributor's job: (individual contributor only) <i>Delegate</i> Where contributor works: (individual contributor only) <i>WV House of Delegates</i> Affiliation: (political committee only)	\$1,000. ⁰⁰

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Subtotal of all contributors of more than \$250

Subtotal of all contributors of \$250 or less (From page 2)

Total Contributions:

\$12,500. ⁰⁰
+ \$1,912. ⁰⁰
= \$14,412. ⁰⁰

Contributors of
More than \$250
 Check if additional pages
have been attached.

DATE	INDIVIDUAL CONTRIBUTOR OR COMMITTEE'S NAME	AMOUNT
7/14	Full Name: <i>Larry Rowe</i> Address: (residential and mailing if they are different) <i>4200 Malden Dr., Charleston, WV 25306</i> Contributor's job: (individual contributor only) <i>Delegate</i> Where contributor works: (individual contributor only) <i>WV House of Delegates</i> Affiliation: (political committee only)	\$1,000.00
7/14	Full Name: <i>Brent Boggs</i> Address: (residential and mailing if they are different) <i>145 Holly Gray Dr., Sutton, WV 26601</i> Contributor's job: (individual contributor only) <i>Delegate</i> Where contributor works: (individual contributor only) <i>WV House of Delegates</i> Affiliation: (political committee only)	\$1000.00
7/23	Full Name: <i>Mike Caputo</i> Address: (residential and mailing if they are different) <i>PO Box 867, Barrackville, WV 26554</i> Contributor's job: (individual contributor only) <i>Delegate</i> Where contributor works: (individual contributor only) <i>WV House of Delegates</i> Affiliation: (political committee only)	\$1,000.00
8/23	Full Name: <i>Tony L. O'Dell</i> Address: (residential and mailing if they are different) <i>800 Southridge Way, Hurricane, WV 25526</i> Contributor's job: (individual contributor only) <i>Attorney</i> Where contributor works: (individual contributor only) <i>Tiano O'Dell PLLC</i> Affiliation: (political committee only)	\$1,000.00
8/23	Full Name: <i>William M. Tiano</i> Address: (residential and mailing if they are different) <i>PO Box 11830, Charleston, WV 25339</i> Contributor's job: (individual contributor only) <i>Attorney</i> Where contributor works: (individual contributor only) <i>Tiano O'Dell PLLC</i> Affiliation: (political committee only)	\$1,000.00
8/26	Full Name: <i>John A. Cavallini, Jr.</i> Address: (residential and mailing if they are different) <i>1621 Virginia St. E, Apt. 3 Charleston, WV 25311</i> Contributor's job: (individual contributor only) <i>President</i> Where contributor works: (individual contributor only) <i>WV Racing & Gaming Assoc.</i> Affiliation: (political committee only)	\$1,000.00

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 Subtotal of all contributors of more than \$250
 Subtotal of all contributors of \$250 or less (From page 2)

Total Contributions:

+	
=	

**Contributors of
More than \$250**

**Check if additional pages
have been attached.**

DATE	INDIVIDUAL CONTRIBUTOR OR COMMITTEE'S NAME	AMOUNT
8/26	Full Name: <i>Operators Political Ed Committee</i> Address: (residential and mailing if they are different) <i>606 Tennessee Ave., Charleston, WV 25362-0770</i> Contributor's job: (individual contributor only) <i>PAL</i> Where contributor works: (individual contributor only) <i>PAL</i> Affiliation: (political committee only)	\$1,000.00
6/16	Full Name: <i>Mick Bates</i> Address: (residential and mailing if they are different) <i>PO Box 844, Beckley, WV 25802-0844</i> Contributor's job: (individual contributor only) <i>Delegate</i> Where contributor works: (individual contributor only) <i>WV House of Delegates</i> Affiliation: (political committee only)	\$1,000.00
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	

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OF THIS PAGE AS YOU NEED**

Subtotal of all contributors of more than \$250
Subtotal of all contributors of \$250 or less (From page 2)

Total Contributions:

+	
=	

FUND-RAISING EVENTS

Check if additional pages have been attached.

All monetary contributions received at a fundraiser must be reported in the Event Summary below. If contributor's name and amount are not listed, the contribution must be turned over to the West Virginia General Revenue Fund. The only exception to this rule may apply to political party executive committees. (WV Code §3-8-5a)

EVENT SUMMARY

Date of Event <u>8/21/2016</u>	Total Monetary Contributions: \$10,785.00 Total Expenditures: (Itemized on page 7) -\$4,140.78 NET RECEIPTS: = \$6,644.22 Total In-Kind Contributions related to the Fund-raiser: (Itemized on page 5) _____
Type of Event <u>Fundraiser</u>	
Name of Place Held <u>Paterno's at the Park</u>	
Address of Place Held <u>601 Morris St., Charleston, WV</u>	

Contributors of \$250 or less

Contributors of more than \$250

Date	Full Name	Amount	Date	Full Name	Amount
8/21	Sabrina Shrader	\$10.00	8/21	Michael Ferro Address: (residential and mailing if they are different) 32 9th St., McMechen, WV 26040	\$250.00
8/21	Bill O'Brien	\$25.00	8/21	Contributor's job: (Individual only) Delegate Where contributor works: (Individual only) WV House of Delegates Affiliation: (Political committee only)	
8/21	Stacey Ruckle	\$50.00	8/21	Shawn L. Fluharty Address: (residential and mailing if they are different) 2518 Westwood Ave., Wheeling, WV 26003	\$500.00
8/21	Beth White	\$100.00	8/21	Contributor's job: (Individual only) Delegate Where contributor works: (Individual only) WV House of Delegates Affiliation: (Political committee only)	
8/21	Robin Stultz	\$100.00	8/21	Natalie Tennant Address: (residential and mailing if they are different) P.O. Box 1065, Charleston, WV 25324	\$500.00
8/21	David McMahon	\$100.00	8/21	Contributor's job: (Individual only) Secretary of State Where contributor works: (Individual only) WV Secretary of State's office Affiliation: (Political committee only)	
8/21	Andrew Robinson	\$100.00	8/21	Barbara Evans Fleischer Address: (residential and mailing if they are different) 851 Baker's Ridge Rd. Morgantown, WV 26508	\$1,000.00
8/21	Rodney Miller	\$100.00	8/21	Contributor's job: (Individual only) Delegate Where contributor works: (Individual only) WV House of Delegates Affiliation: (Political committee only)	
8/21	Raymona Kinneberg	\$200.00	8/21	Harry Keith White Address: (residential and mailing if they are different) P.O. Box 1985, Gilbert, WV 25621	\$1,000.00
8/21	Andy Daniel	\$250.00	8/21	Contributor's job: (Individual only) Retired Where contributor works: (Individual only) Retired Affiliation: (Political committee only)	
8/21	Phillip Reale	\$250.00			
8/21	Sean Hornbuckle	\$250.00			
8/21	Frank Blackwell	\$250.00			
8/21	Rosalee Suba-Plumley	\$250.00			
8/21	Dave Pethtel	\$250.00			
8/21	Jack Rossi	\$250.00			
Subtotal of contributors of \$250 or less: \$3,035.00			Subtotal of contributors of more than \$250: \$7,750.00		
			Subtotal of contributors of \$250 or less: +\$3,035.00		
			Total Contributions: \$10,785.00		

MAKE COPIES OF THIS PAGE TO LIST ADDITIONAL CONTRIBUTIONS. ATTACH ADDITIONAL PAGES TO REPORT.

FUND-RAISING EVENTS

Check if additional pages have been attached.

All monetary contributions received at a fundraiser must be reported in the Event Summary below. If contributor's name and amount are not listed, the contribution must be turned over to the West Virginia General Revenue Fund. The only exception to this rule may apply to political party executive committees. (WV Code §3-8-5a)

EVENT SUMMARY

Date of Event <u>8/21/2016</u> Type of Event <u>Fundraiser</u> Name of Place Held <u>Paterno's at the Park</u> Address of Place Held _____	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Total Monetary Contributions:</td> <td style="width:50%;"></td> </tr> <tr> <td>Total Expenditures: (Itemized on page 7)</td> <td style="text-align: center;">-</td> </tr> <tr> <td>NET RECEIPTS:</td> <td style="text-align: center;">=</td> </tr> <tr> <td>Total In-Kind Contributions related to the Fund-raiser: (Itemized on page 5)</td> <td style="text-align: center;">_____</td> </tr> </table>	Total Monetary Contributions:		Total Expenditures: (Itemized on page 7)	-	NET RECEIPTS:	=	Total In-Kind Contributions related to the Fund-raiser: (Itemized on page 5)	_____
Total Monetary Contributions:									
Total Expenditures: (Itemized on page 7)	-								
NET RECEIPTS:	=								
Total In-Kind Contributions related to the Fund-raiser: (Itemized on page 5)	_____								

Contributors of \$250 or less

Contributors of more than \$250

Date	Full Name	Amount	Date	Full Name; Address; Job; Where contributor works; Affiliation	Amount
8/21	Belinda Bialore	\$250.00	8/21	Full Name: North Central WV Carpenters (Local 476 & PAC) Address: (residential and mailing if they are different) 604 PAC 604 Bowdoy Ave., Bridgeport, WV 26330 Contributor's job: (Individual only) PAC Where contributor works: (Individual only) PAC Affiliation: (Political committee only)	\$500.00
8/21	Jason Webb	\$250.00			
			8/21	Full Name: Chad Lovejoy Address: (residential and mailing if they are different) 186 Argstone Dr., Huntington, WV 25701 Contributor's job: (Individual only) Attorney Where contributor works: (Individual only) Duffield & Lovejoy Affiliation: (Political committee only)	\$1,000.00
			8/21	Full Name: WV AFL-CIO COPE Address: (residential and mailing if they are different) 501 Leon Sullivan Way, Charleston, WV 26301 Contributor's job: (Individual only) PAC Where contributor works: (Individual only) PAC Affiliation: (Political committee only)	\$1,000.00
			8/21	Full Name: Building & Construction Trades Address: (residential and mailing if they are different) 600 Leon Sullivan Way, Charleston, WV 25301 Contributor's job: (Individual only) PAC Where contributor works: (Individual only) PAC Affiliation: (Political committee only)	\$1,000.00
			8/21	Full Name: AFT - WV Address: (residential and mailing if they are different) 1610 Washington St. E., STE. 200 Charleston, WV 25311 Contributor's job: (Individual only) PAC Where contributor works: (Individual only) PAC Affiliation: (Political committee only)	\$1,000.00
Subtotal of contributors of \$250 or less:					
Subtotal of contributors of more than \$250:					
Total Contributions:			+		

MAKE COPIES OF THIS PAGE TO LIST ADDITIONAL CONTRIBUTIONS. ATTACH ADDITIONAL PAGES TO REPORT.

OTHER INCOME: INTEREST, REFUNDS, MISCELLANEOUS RECEIPTS

Date	Source of Income	Type of Receipt	Amount

Total Other Income:

Check if additional pages have been attached.

IN-KIND CONTRIBUTIONS

Date	Name and Contributor Information	Description of Contribution	Value

Total In-Kind Contributions:

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LOANS

Check if additional pages have been attached.

West Virginia Code: §3-8-5f. Loans to candidates, organizations or persons for election purposes.

"Every candidate, financial agent, person or association of persons or organization advocating or opposing the nomination or election of any candidate or the passage or defeat of any issue or item to be voted upon may not receive any money or any other thing of value toward election expenses except from the candidate, his or her spouse or a lending institution. All loans shall be evidenced by a written agreement executed by the lender, whether the candidate, his or her spouse, or the lending institution. Such agreement shall state the date and amount of the loan, the terms, including interest and repayment schedule, and a description of the collateral, if any, and the full names and addresses of all parties to the agreement. A copy of the agreement shall be filed with the financial statement next required after the loan is executed."

The loan agreement **must** include all items asked for in the statute. (See above.) The loan agreement does not have to follow a certain format; generally, if all the required information is listed, any format is acceptable.

Candidates or political committees that take out a loan for the campaign through a bank or other commercial lending institution must include a copy of the loan agreement executed with that bank or institution. Candidates should not take out loans which are partially for personal use and partially for the campaign. It is almost impossible to keep reporting straight in this case.

Any money a candidate contributes to his or her campaign committee with the hope of repayment must be treated as a loan and reported in this section. When a candidate determines that no further repayment can be expected, the loan can be reported as repaid in this section by entering the amount left to repay in the repayments column and reporting the same amount as a contribution from the candidate on Page 2. **These loans must be executed in writing. Caution: Candidates may not carry outstanding loans from one campaign to the next. Each campaign is separate. Funds from a current campaign cannot be used to repay a loan from a previous campaign.**

How to report loans

1. Each loan for your campaign should be listed on a separate line. (Each time you loan money to the campaign or get a loan, it is considered to be a separate loan.) Include the following information on the form below:
 - a. loan(s) from prior reporting periods and the balance of each loan (Col. A.) If a payment was made on the loan, list that in Col. C. **Any loan that was repaid in previous reporting periods does not need to be listed.**
 - b. new loans, the amount (Col. B), any repayments (Col. C), and the balance (Col. D.)
2. **Attach a copy of the loan agreement for each loan received during the reporting period.**

LOANS

(A copy of the loan agreement for each loan secured during this filing period must accompany this report)

Bank Loans: List name & address of financial institution Candidate or Candidate's Spouse Loans: List name, residence and mailing address of person(s) making or cosigning loan	Column A	Column B		Column C		Column D
	Balance of previous loan at end of period	Amount of new loan received during period		Repayments during period		Balance outstanding at end of period
	Amount	Date	Amount	Date	Amount	Amount
1.						
2.						
3.						
4.						
5.						
Totals:		Loans Received		Repayment of Loans		Outstanding Loans

ITEMIZED EXPENDITURES

(Itemize 3rd party expenditures/ reimbursements)

Check if additional pages have been attached.

Date	Name of Person or Vendor and Address	Purpose	Amount
8/29	Michael Bates Beckley, WV	Food, drink, and fundraiser venue (cost of fundraiser) reimbursement	\$3,810
8/29	Red Carpet Lounge Charleston, WV	Catering for Candidate training	\$1,131.53
8/29	Sam Moore 1989 Parkwood Rd, Charleston, WV 25314	office supplies and fundraiser preparation (cost of fundraiser) reimbursement	\$330.78
9/14	Justin Gilmore 1356 Denniston St., Pittsburgh, PA 15217	payroll	\$1,073.86
9/14	Sam Moore 1989 Parkwood Rd, Charleston, WV 25314	Payroll	\$2,300
9/6	Act Blue PO Box 441146, Somerville, Ma 02144	Fees	\$5.55
8/10	United Bank Beckley, WV	Bank fees	\$86.85

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Total Expenditures: \$8,738.57

Receipt of a Transfer of Excess Funds

Check if additional pages have been attached.

Date	Candidate Committee Name and Year	Amount
8/21	Miley for WV	\$5,000.00
8/30	Doug Reynolds for House 2014	\$6,000.00
6/10	Friends to Elect Frank (Bucky) Blackwell	\$131.00
6/11	Michael T Ferro Campaign Fund	\$131.00
Total Receipts of Transfers of Excess Funds:		\$11,262.00

Disbursements of Excess Funds

Date	Name of candidate committee and election year disbursing excess funds	Purpose of Disbursement	Amount
 			

Total Disbursements of Excess Funds:

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UNPAID BILLS

Check if additional pages have been attached.

Date	Owed to Whom	Affiliated with what Company or Group	Purpose	Amount

Total Unpaid Bills:

OATH OR AFFIRMATION

Chae ZAT, swear or affirm that the attached statement is true and correct, to the best of my knowledge, for all financial transactions occurring within the period covered by this statement, as required by West Virginia Code §3-8-5a.

[Signature] Signature of Candidate, Financial Agent or Treasurer

Date 9/22/16, 2016.

Office Use Only
STATE OF WEST VIRGINIA
SECRETARY OF STATE
2016 SEP 30 PM 12:50
RECEIVED
Received By: _____



WV DHIC
PO Box 11716
Charleston, WV 25339

Secretary of State
Bldg. 1, Suite 157-14
1900 Kanawha Blvd. East
Charleston, WV 25305-0770

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