

State of West Virginia Campaign Financial Statement (Long Form) in Relation to the 2011 Election Year

Candidate or Committee Name Rick Thompson		Candidate or Committee's Treasurer J.C. Powell	
Political Party (for candidates) Democrat		Treasurer's Mailing Address (Street, Route or P.O. Box) 405 Capitol Street, Suite P-1200	
Office Sought (for candidates) Governor	District/Division 19	City, State, Zip Code Charleston WV 25301	Daytime Phone # (304) 346-2889

Election Cycle Reporting Period (check one):

<input type="checkbox"/> Primary - First Report Due last Saturday in March or within 6 days thereafter.	<input type="checkbox"/> Pre-primary Report Due 15 days preceding primary election or within 4 days thereafter	<input type="checkbox"/> Post-primary Report Due 13 days following primary election or within 20 business days thereafter.
<input type="checkbox"/> General - First Report Due last Saturday in September or within 6 days thereafter.	<input type="checkbox"/> Pre-general Report Due 15 days preceding general election or within 4 days thereafter.	<input type="checkbox"/> Post-general Report Due 13 days following general election or within 20 business days thereafter.

Check if Applicable:

<input type="checkbox"/> Amended Report You must also check box of appropriate reporting period
<input type="checkbox"/> Final Report Zero balance required. PAC must also file Form F-6 Dissolution

Non-Election Cycle Reporting Period:

Annual Report Due In 2013 **Calendar Year**
Due last Saturday in March or within 6 days thereafter

REPORT TOTALS

Fill in totals at the completion of the report.

RECEIPTS OF FUNDS:

Totals for this Period

CASH BALANCE SUMMARY

Contributions (Page 3)	\$ 15,825.00
Monetary Contributions from all Fund-Raising Events (Page 4)	+ \$ 5,000.00
Receipt of a Transfer of Excess Funds (Page 8)	+ \$ 0.00
Total Monetary Contributions:	= \$ 20,825.00
In-Kind Contributions (Page 5)	+ \$ 301.43
Total Contributions:	= \$ 21,126.43

Beginning Balance (ending balance from previous report)	\$ 782.50
Total Monetary Contributions	+ \$ 20,825.00
Total Other Income	+ \$ 0.00
Subtotal: a.	= \$ 21,607.50

Other Income (Page 5)	\$ 0.00
Loans Received (Page 6)	+ \$ 0.00
Total Other Income:	= \$ 0.00

Total Expenditures (Page 7)	\$ 27.80
Total Disbursements of Excess Funds (Page 8)	+ \$ 0.00
Repayment of Loans (Page 6)	+ \$ 19,500.00
Subtotal: b.	= \$ 27.80

OUTSTANDING LOANS & DEBTS:

Unpaid Bills (Page 9)	\$ 0.00
Outstanding Loans (Page 6)	+ \$ 36,276.82
Total Debts:	= \$ 36,276.82

Ending Balance: (Subtotal a. - Subtotal b.)	= \$ 21,579.70
<i>*Cannot be negative balance</i>	

**TOTAL CONTRIBUTIONS
ELECTION YEAR-TO-DATE**
(Add total contributions from all reports)

\$ 21,376.43

**TOTAL EXPENDITURES
ELECTION YEAR-TO-DATE**
(Add total expenditures from all reports)

\$ 42.80

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Page 3. 1 of 4	Contributors of More than \$250	<input checked="" type="checkbox"/> Check if additional pages have been attached.
DATE	INDIVIDUAL CONTRIBUTOR OR COMMITTEE'S NAME	AMOUNT
10/1/12	Full Name: John Bantivoglio Address: (residential and mailing if they are different) 433 Plaza Real, Suite 275 Contributor's job: (individual contributor only) Boca Raton, FL 33432 Where contributor works: (individual contributor only) Investor - Self Employed Affiliation: (political committee only)	500.00
10/3/12	Full Name: Mel Heifetz Address: (residential and mailing if they are different) 304 South 12th Street Contributor's job: (individual contributor only) Philadelphia, PA 19107 Where contributor works: (individual contributor only) Real Estate Developer Affiliation: (political committee only) Self Employed	500.00
10/6/12	Full Name: Clark Pellett Address: (residential and mailing if they are different) 680 N. Lake Shore Drive Contributor's job: (individual contributor only) Suite 1302 Where contributor works: (individual contributor only) Chicago, IL 60611 Affiliation: (political committee only) Attorney Consultant Self Employed	500.00
10/6/12	Full Name: Robert Kohl Address: (residential and mailing if they are different) 680 N. Lakeshore Drive Contributor's job: (individual contributor only) Suite 1302 Where contributor works: (individual contributor only) Chicago, IL 60611 Affiliation: (political committee only) Executive Robert Kohl Company	500.00
10/7/12	Full Name: Kirk Wallace Address: (residential and mailing if they are different) 622 Greenwich Street Apt Contributor's job: (individual contributor only) New York, NY 10014 2C Where contributor works: (individual contributor only) Attorney - Skadden Affiliation: (political committee only) Arps, et al.	500.00
10/15/12	Full Name: Peter McKown Address: (residential and mailing if they are different) PO. Box 100550 Contributor's job: (individual contributor only) Denver, CO 80250 Where contributor works: (individual contributor only) Chief Financial Officer Affiliation: (political committee only) Prince Street Cap Mgt.	1,000.00

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OF THIS PAGE AS YOU NEED

Subtotal of all contributors of more than \$250
 Subtotal of all contributors of \$250 or less (From page 2)
 Total Contributions:

3,500.00
+
=



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Page 3. 2 of 4	Contributors of More than \$250	<input checked="" type="checkbox"/> Check if additional pages have been attached.
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DATE	INDIVIDUAL CONTRIBUTOR OR COMMITTEE'S NAME	AMOUNT
2/5/13	Full Name: <i>Dan Poling</i> Address: (residential and mailing if they are different) <i>1007 Star Ave Parkersburg, WV 26101</i> Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	1,000.00
9/4/12	Full Name: <i>Ted Snowdon</i> Address: (residential and mailing if they are different) <i>50 Riverside Drive, 15C New York, NY 10024</i> Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) <i>Theatrical Producer - Self Employed</i> Affiliation: (political committee only)	500.00
8/21/12	Full Name: <i>Nick Scandalios</i> Address: (residential and mailing if they are different) <i>65 West 13th Street Apt 4F New York, NY 10011</i> Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) <i>Theatre Executive Nederlander Productions</i> Affiliation: (political committee only)	500.00
9/26/12	Full Name: <i>Esmond Harmsworth</i> Address: (residential and mailing if they are different) <i>535 Boylston Street Boston, MA 2116</i> Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) <i>Literary Agent Zachary Shuster Harmsworth</i> Affiliation: (political committee only)	500.00
9/28/12	Full Name: <i>Scott McCormack</i> Address: (residential and mailing if they are different) <i>560 Lexington Ave New York, NY 10022</i> Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) <i>Analyst GCM</i> Affiliation: (political committee only)	500.00
9/29/12	Full Name: <i>Jim Hooker</i> Address: (residential and mailing if they are different) <i>PO. Box 69452 Los Angeles, CA 90069</i> Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) <i>Investor - Self Employed</i> Affiliation: (political committee only)	400.00

MAKE AS MANY COPIES OF THIS PAGE AS YOU NEED	Subtotal of all contributors of more than \$250 Subtotal of all contributors of \$250 or less (From page 2)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center; padding: 5px;">3400.00</td> </tr> <tr> <td style="text-align: center; padding: 5px;">+</td> </tr> <tr> <td style="text-align: center; padding: 5px;">=</td> </tr> </table>	3400.00	+	=
3400.00					
+					
=					
Total Contributions:		→			

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Page 3. 3 of 4.	Contributors of More than \$250	<input checked="" type="checkbox"/> Check if additional pages have been attached.
DATE	INDIVIDUAL CONTRIBUTOR OR COMMITTEE'S NAME	AMOUNT
10/11/12	Full Name: David Lee 10866 Wilshire Blvd, 10th FL Address: (residential and mailing if they are different) Los Angeles, CA 90024 Contributor's job: (individual contributor only) Writer/Director/Producer Where contributor works: (individual contributor only) Self Employed Affiliation: (political committee only)	\$500.00
9/12/12	Full Name: David Tuckerman 3 Wellsby Court Address: (residential and mailing if they are different) Lafayette, CA 94549 Contributor's job: (individual contributor only) Consultant Where contributor works: (individual contributor only) Tuckerman & Associates Inc Affiliation: (political committee only)	1,000.00
10/18/12	Full Name: William Resnick 500 Westbourne Drive Address: (residential and mailing if they are different) West Hollywood, CA 90048 Contributor's job: (individual contributor only) Retired Where contributor works: (individual contributor only) Affiliation: (political committee only)	600.00
9/4/12	Full Name: David Deckman 525 Park Avenue PH C Address: (residential and mailing if they are different) New York, NY 10065 Contributor's job: (individual contributor only) Investment Management SRA Where contributor works: (individual contributor only) Highfields Capital Management Affiliation: (political committee only)	1,000.00
10/4/12	Full Name: Charles Ledley 129 Charles Street Address: (residential and mailing if they are different) Boston, MA 02114 Contributor's job: (individual contributor only) Investor Where contributor works: (individual contributor only) Highfields Capital Management Affiliation: (political committee only)	1,000.00
10/8/12	Full Name: Rebecca Ledley 129 Charles Street Address: (residential and mailing if they are different) Boston, MA 02114 Contributor's job: (individual contributor only) Grad Student Where contributor works: (individual contributor only) Affiliation: (political committee only)	1,000.00

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Subtotal of all contributors of more than \$250	5,100.00
Subtotal of all contributors of \$250 or less (From page 2)	+
Total Contributions:	=

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Contributors of More than \$250

Check if additional pages have been attached.

DATE	INDIVIDUAL CONTRIBUTOR OR COMMITTEE'S NAME	AMOUNT
10/31/12	Full Name: <i>Gerald Cacciotti</i> <i>177 Hancock Street</i> Address: (residential and mailing if they are different) <i>San Francisco, CA 94114</i> Contributor's job: (individual contributor only) <i>Management Consultant</i> Where contributor works: (individual contributor only) <i>Oliver Wyman</i> Affiliation: (political committee only)	<i>\$1,000.00</i>
9/2/12	Full Name: <i>Weston Milliken</i> <i>P.O. Box 691550</i> Address: (residential and mailing if they are different) <i>West Hollywood, CA 90069</i> Contributor's job: (individual contributor only) <i>Consultant</i> Where contributor works: (individual contributor only) <i>CUE Consulting</i> Affiliation: (political committee only)	<i>1,000.00</i>
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	

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Subtotal of all contributors of more than \$250
 Subtotal of all contributors of \$250 or less (From page 2)
Total Contributions:

<i>2,000.00</i>
<i>+ 1825.00</i>
<i>= 15,825.00</i>

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FUND-RAISING EVENTS

Check if additional pages have been attached.

All monetary contributions received at a fundraiser must be reported in the Event Summary below. If contributor's name and amount are not listed, the contribution must be turned over to the West Virginia General Revenue Fund. The only exception to this rule may apply to political party executive committees. (WV Code §3-8-5a)

EVENT SUMMARY

Date of Event	10/16/12	Total Monetary Contributions:	
Type of Event	Dinner	Total Expenditures: (Itemized on page	-
Name of Place Held	Philip A. Reale's Office	NET RECEIPTS:	=
Address of Place Held	405 Capitol Street Suite 1100, Charleston, WV 25301	Total In-Kind Contributions Related to the Fund-raiser (Itemized on page 5.)	

Contributors of \$250 or less

Contributors of more than \$250

Date	Full Name	Amount	Date	Full Name	Amount
10/16/12	Mark Polen	150.00		Full Name: Ralph J. Palmer Address: (residential and mailing if they are different) P.O. Box 747 Winchester, KY 40392 Contributor's job: (Individual only)	500.00
10/16/12	David K. Cole	100.00	10/18/12	Where contributor works: (Individual only) Affiliation: (Political Committee Only)	
10/15/12	Terry & Philip Reale	250.00		Full Name: GTECH - PAC Address: (residential and mailing if they are different) 10 Memorial Blvd. Providence, RI 02903 Contributor's job: (Individual only)	500.00
10/16/12	William & Monica Schwartz	250.00	10/19/12	Where contributor works: (Individual only) Affiliation: (Political committee only)	
10/18/12	Jaw's Racing Julia Ward	250.00		Full Name: Humana, Inc - PAC Address: (residential and mailing if they are different) 975 F. Street N.W. - Suite 530 Washington, DC 20004 Contributor's job: (Individual only)	500.00
10/22/12	Terry & Lisa Haber	250.00	10/4/12	Where contributor works: (Individual only) Affiliation: (Political committee only)	
10/19/12	Janele & David Peck	250.00		Full Name: Mark A. Hunt Address: (residential and mailing if they are different)	500.00
			10/16/12	Contributor's job: (Individual only) Attorney Where contributor works: (Individual only) Charleston, WV Affiliation: (Political committee only)	
				Full Name: S.H. Raines, III, DDS Address: (residential and mailing if they are different) 4300 Kanawha Ave, S.E. Charleston, WV 25304-1735 Contributor's job: (Individual only)	500.00
			10/25/12	Where contributor works: (Individual only) Affiliation: (Political committee only)	
				Subtotal of contributors of more than \$250:	2500.00
				Subtotal of contributors of \$250 or less:	+1500.00
				Total Contributions:	4000.00

Subtotal of contributors of \$250 or less: 1500.00

MAKE COPIES OF THIS PAGE TO LIST ADDITIONAL CONTRIBUTIONS. ATTACH ADDITIONAL PAGES TO REPORT.

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OTHER INCOME: INTEREST, REFUNDS, MISCELLANEOUS RECEIPTS

Date	Source of Income	Type of Receipt	Amount

Total Other Income:

Check if additional pages have been attached.

IN-KIND CONTRIBUTIONS

Date	Name and Contributor Information	Description of Contribution	Value
10/16/12	Philip Reale 208 Mariel Way Charleston, WV 25302	Food & Beverages	301.43

Total In-Kind Contributions:

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LOANS

Check if additional pages have been attached.

West Virginia Code: §3-8-5f. Loans to candidates, organizations or persons for election purposes.

"Every candidate, financial agent, person or association of persons or organization advocating or opposing the nomination or election of any candidate or the passage or defeat of any issue or item to be voted upon may not receive any money or any other thing of value toward election expenses except from the candidate, his or her spouse or a lending institution. All loans shall be evidenced by a written agreement executed by the lender, whether the candidate, his or her spouse, or the lending institution. Such agreement shall state the date and amount of the loan, the terms, including interest and repayment schedule, and a description of the collateral, if any, and the full names and addresses of all parties to the agreement. A copy of the agreement shall be filed with the financial statement next required after the loan is executed."

The loan agreement must include all items asked for in the statute. (See above.) The loan agreement does not have to follow a certain format; generally, if all the required information is listed, any format is acceptable.

Candidates or political committees that take out a loan for the campaign through a bank or other commercial lending institution must include a copy of the loan agreement executed with that bank or institution. Candidates should not take out loans which are partially for personal use and partially for the campaign. It is almost impossible to keep reporting straight in this case. Any money a candidate contributes to his or her campaign committee with the hope of repayment must be treated as a loan and reported in this section. When a candidate determines that no further repayment can be expected, the loan can be reported as repaid in this section by entering the amount left to repay in the repayments column and reporting the same amount as a contribution from the candidate on Page 2. **These loans must be executed in writing. Caution: Candidates may not carry outstanding loans from one campaign to the next. Each campaign is separate. Funds from a current campaign cannot be used to repay a loan from a previous campaign.**

How to report loans

1. Each loan for your campaign should be listed on a separate line. (Each time you loan money to the campaign or get a loan, it is considered to be a separate loan.) Include the following information on the form below:
 - a. loan(s) from prior reporting periods and the balance of each loan (Col. A.) If a payment was made on the loan, list that in Col. C. **Any loan that was repaid in previous reporting periods does not need to be listed.**
 - b. new loans, the amount (Col. B), any repayments (Col. C), and the balance (Col. D.)
2. Attach a copy of the loan agreement for each loan received during the reporting period.

LOANS

(A copy of the loan agreement for each loan secured during this filing period must accompany this report)

Bank Loans: List name & address of financial institution Candidate or Candidate's Spouse Loans: List name, residence and mailing address of person(s) making or cosigning loan	Column A	Column B		Column C		Column D
	Balance of previous loan at end of period	Amount of new loan received during period		Repayments during period		Balance outstanding at end of period
	Amount	Date	Amount	Date	Amount	Amount
Richard Thompson 4625 Route 152 1. Lava Lott, WV 25535	55,776.82			10/9/12	\$6,000.00	\$49,776.82
2.				12/5/12	\$13,500.00	36,276.82
3.						
4.						
5.						
		Loans Received		Repayment of Loans		Outstanding Loans
				\$19,500.00		\$36,276.82
Totals:						

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ITEMIZED EXPENDITURES (Itemize 3rd party expenditures/ reimbursements)

Check if additional pages have been attached.

Date	Name of Person or Vendor and Address	Purpose	Amount
2/28/13	Huntington Bank National Bank	Monthly Service Fees	27.80
MAKE AS MANY COPIES OF THIS PAGE AS YOU NEED.			Total Expenditures: 27.80

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Check if additional pages have been attached.

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Receipt of a Transfer of Excess Funds

	Candidate Committee Name and Year	Amount
Total Receipts of Transfers of Excess Funds:		

Disbursements of Excess Funds

	Name of candidate committee and election year disbursing excess funds	Purpose of Disbursement	Amount
Total Disbursements of Excess Funds:			

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POWELL & MAJESTRO, P.L.L.C.
405 CAPITOL STREET, SUITE P-1200
CHARLESTON, WV 25301
(800) 290-2889

TO:

Secretary of State
Attn: Missi Kinder
State Capitol Complex
Bldg 1, Suite 157-K
Charleston, WV 25305

