

Form #3

100-443887-10

AUG 28 9 46 AM '00

OFFICE OF WEST VIRGINIA
SECRETARY OF STATE

Vincent Lustig, Ph.D.
Authorized Signature

BRIEF SUMMARY

Legislative Rule Title 8 Series 3 establishes the disciplinary and complaint procedures for licensed hearing aid dealers and fitters in the State of West Virginia.

CIRCUMSTANCES REQUIRING RULE 8-3

HB 4062, passed February 15, 2000, effective 90 days from passage,, mandates the W. Va. Board of Hearing Aid Dealers propose Legislative rules for disciplinary and complaint procedures for hearing aid dealers.

□
APPENDIX B

FISCAL NOTE FOR PROPOSED RULES

Rule Title: Title 8-3 Disciplinary and Complaint Procedures

Type of Rule: X Legislative Interpretive Procedural

Agency: W. Va. Board of Hearing Aid Dealers

Address: 701 Jefferson Road

South Charleston, WV 25309

Contacts: Shari Ward, Board Secretary (304) 558-4865

Vincent Lustig, Board Member (304) 345-8522

1. Effect of Proposed rule:

	ANNUAL FISCAL YEAR				
	INCREASE	DECREASE	CURRENT	NEXT	THEREAFTER
ESTIMATED TOTAL COST	-0-	-0-	-0-	-0-	-0-
PERSONAL SERVICES					
CURRENT EXPENSE					
REPAIRS & ALTERATIONS					
EQUIPMENT					
OTHER					

2. Explanation of Above Estimates:

The rule should have no fiscal implications.

3. Objectives of These Rules:

To establish disciplinary and complaint procedures for hearing aid dealers licensed in West Virginia.

Rule Title: Title 8-3 Disciplinary and Complaint Procedures

4. Explanation of Overall Economic Impact of Proposed Rule:

A. Economic Impact on State Government:

None

B. Economic Impact on Political Subdivisions; Specific Industries; Specific Groups of Citizens:

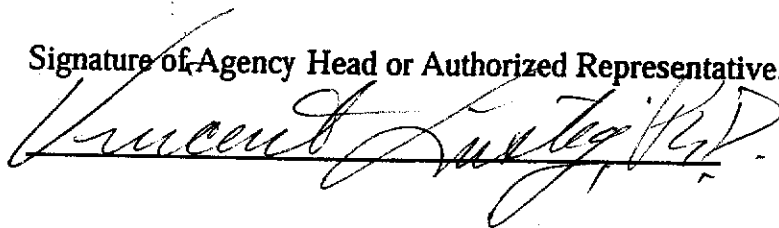
None

C. Economic Impact on Citizens/Public at Large.

None

Date: August 28, 2000

Signature of Agency Head or Authorized Representative:

A handwritten signature in cursive script, appearing to read "Vincent J. Lutz, Jr.", is written over a horizontal line.

QUESTIONNAIRE

(Please include a copy of this form with each filing of your rule: Notice of Public Hearing or Comment Period; Proposed Rule, and if needed, Emergency and Modified Rule.)

DATE: August 28, 2000

TO: **LEGISLATIVE RULE-MAKING REVIEW COMMITTEE**

FROM: *(Agency Name, Address & Phone No.)* W. Va. Board of Hearing Aid Dealers

701 Jefferson Road

South Charleston, WV 25309 (304) 558-4865

LEGISLATIVE RULE TITLE: Title 8, Series 3, Disciplinary and Complaint

Procedures for Hearing Aid Dealers

1. Authorizing statute(s) citation §30-26 et seq.
2. a. Date filed in State Register with Notice of Hearing or Public Comment Period:

June 29, 2000

- b. What other notice, including advertising, did you give of the hearing?

Advertising in Charleston Gazette newspaper, copy of proposed

rules mailed to licensed hearing aid dealers.

- c. Date of Public Hearing(s) *or* Public Comment Period ended:

July 31, 2000

- d. Attach list of persons who appeared at hearing, comments received, amendments, reasons for amendments.

Attached _____

No comments received X

- e. Date you filed in State Register the agency approved proposed Legislative Rule following public hearing: (be exact)

August 28, 2000

- f. **Name, title, address and phone/fax/e-mail numbers** of agency person(s) to receive all *written correspondence* regarding this rule: (Please type)

Shari Ward, Board Secretary

701 Jefferson Road

South Charleston, WV 25309

Phone: (304) 558-4865

FAX: (304) 558-7886

- g. **IF DIFFERENT FROM ITEM 'f'**, please give **Name, title, address and phone number(s)** of agency person(s) who wrote and/or has responsibility for the contents of this rule: (Please type)

Vincent Lustig, Ph.D., Board Member

2205 Washington Street, E.

Charleston, WV 25311

Phone: (304) 345-8522

FAX: (304) 344-5305

3. If the statute under which you promulgated the submitted rules requires certain findings and determinations to be made as a condition precedent to their promulgation:

- a. Give the date upon which you filed in the State Register a notice of the time and place of a hearing for the taking of evidence and a general description of the issues to be decided.

N/A

b. Date of hearing or comment period:

N/A

c. On what date did you file in the State Register the findings and determinations required together with the reasons therefor?

N/A

d. Attach findings and determinations and reasons:

Attached N/A

FILED

**TITLE 8
LEGISLATIVE RULE
BOARD OF HEARING-AID DEALERS**

AUG 28 9 47 AM '00

OFFICE OF WEST VIRGINIA
SECRETARY OF STATE

**SERIES 3
DISCIPLINARY AND COMPLAINT PROCEDURES FOR HEARING-AID DEALERS**

§ 8-3-1. General.

1.1. Scope. -- This rule specifies a procedure for the investigation and resolution of complaints against hearing-aid dealers, hearing-aid fitters and temporary trainee permittees.

1.2. Authority. -- W.Va. Code § 30-26-1 *et seq.* and § 30-1-1 *et seq.*

1.3. Filing Date. -- June 29, 2000

1.4. Effective Date. --

§ 8-3-2. Application.

This rule applies to all licensed hearing-aid dealers, hearing-aid fitters and trainees.

§ 8-3-3. Definitions.

The following words and phrases as used in this rule have the following meanings, unless the context otherwise requires:

3.1 "Applicant" means any person making application for an original or renewal license or a temporary trainee permit pursuant to West Virginia Code § 30-26-1 *et seq.*

3.2 "Board" means the West Virginia Board of Hearing-Aid Dealers.

3.3 "License" means a license or temporary trainee permit issued by the Board pursuant to W.Va. Code § 30-26-1 *et seq.*

3.4. "Hearing-aid dealer or hearing-aid fitter" means a person who practices the dealing in or fitting of hearing aids. Hearing-aid dealers, hearing-aid fitters and trainees are defined in W. Va. Code § 30-26-1.

3.5 "Ethics investigator" means a person or board committee member licensed to practice hearing-aid dealing in this state, and who is hired by the Board or who may be a board committee for the purpose of reviewing complaints against hearing-aid dealers, hearing-aid fitters and trainees.

§ 8-3-4. Causes for Denial, Probation, Limitation, Discipline, Suspension or Revocation of Licenses of Hearing-Aid Dealers, Hearing-Aid Fitters or Trainees.

The Board may deny an application for license, place a licensee on probation, limit or restrict a license, suspend a license or revoke any license issued by the Board, upon satisfactory proof that a licensee has been convicted of a felony or is, in his or her professional capacity, engaged in conduct, practices or acts constituting professional negligence or a willful departure from accepted standards of professional conduct or committed other acts in violation of West Virginia Code § 30-26-1 *et seq.* or the rules of the Board.

§ 8-3-5. Disposition of Complaints.

5.1. Any person, firm, corporation, member of the Board, or public officer may make a complaint to the Board which charges a hearing-aid dealers, hearing-aid fitter, trainee or applicant

with a violation of West Virginia Code § 30-26-1 *et seq.* or of the rules of the Board. The Board may provide a form for that purpose, but a complaint may be filed in any written form. In addition to describing the alleged violation which prompted the complaint, the complaint should contain the following:

5.1.1. The name and address of the hearing-aid dealer, hearing-aid fitter, trainee or applicant against whom the complaint is lodged;

5.1.2. The date of the transaction or fitting;

5.1.3. The name of any person who may have seen the person after the alleged incident; and,

5.1.4. The name of the business where the incident took place.

5.2. A complaint against a hearing-aid dealer, hearing-aid fitter, trainee or applicant shall allege that such person has been convicted of a felony or is, in his or her professional capacity, engaging in conduct, practices or acts constituting professional negligence or a willful departure from accepted standards of professional conduct or committed other improper acts in violation of West Virginia Code § 30-26-1 *et seq.* or the rules of the Board.

5.3. Complainants are immune from liability for the allegations contained in their complaints filed with the Board unless the complaint is filed in bad faith or for a malicious purpose.

5.4. The Board shall maintain a complaint log which records the receipt of each complaint, its nature and its disposition.

5.5. The Board shall maintain a separate file on each complaint received, and each file shall have a number assigned to it.

5.6. Upon receipt of a complaint, the Board shall issue one of the following acknowledgments to the complainant:

5.6.1. That the matter will be reviewed by the Board;

5.6.2. That the complaint is outside the jurisdiction of the Board, with suggestions as to how the complainant might best obtain a resolution of his or her problem; or,

5.6.3. That more information will be required in order to adequately review the individual complaint.

5.7. The Board shall send a copy of the complaint, including any supporting documentation, by certified mail to the licensee or permittee or applicant in question for his or her written comment, and he or she shall submit a written response to the Board within thirty (30) days of the date of such correspondence, or waive the right to do so.

5.8. Requests for comment on complaints sent to licensees, permittees or applicants shall be considered properly served when sent to their last known address. It is the responsibility of the licensee or permittee or applicants to keep the Board informed of his or her current address.

5.9. Upon receipt of a licensee's or applicant's comments in response to a complaint, the Board shall promptly send a copy of the same, including any supporting documentation, to the complainant.

5.10. After receipt and review of a complaint, unless the complaint is determined to fall within the provisions of sub-division 5.6. of this rule, the Board shall cause to be conducted any reasonable inquiry or investigation it considers necessary to determine the truth and the validity of the allegations set forth in the complaint. The review of complaints or investigation thereof may, at the discretion of the Board, be assigned to a committee of the Board.

5.11. At any point in its investigation of a complaint the Board may, at its discretion, assign the matter to an ethics investigator for review and investigation.

5.12. Upon receipt of a complaint the ethics investigator or investigating committee shall, within sixty (60) days, review and investigate the same and provide the Board with a report. The report shall contain a statement of the allegations, a statement of facts, and an analysis of the complaint including a description of the care provided, the records reviewed and a statement of the ethics investigator's findings and recommendations. The ethics investigator or committee shall, upon request, be afforded an opportunity to have an investigation interview with the licensee, permittee or applicant in question or other involved parties, a report of which shall be placed in the investigation file.

5.13. To facilitate the disposition of a complaint, the Board or the investigating committee may request any person to attend an informal conference, or to appear at a regular meeting of the Board, at any time prior to the Board entering any order with respect to the complaint. The Board or the committee shall give notice of the conference, which notice shall include a statement of issues to be informally discussed. Statements made at a conference may not be introduced at any subsequent hearing on the merits without the consent of all parties to the hearing. No prejudice shall attach for failure to attend a conference pursuant to a request.

5.14. The Board, the investigating committee or chairperson may issue subpoenas and subpoenas duces tecum to complete the Board's investigation and to determine the truth or validity of complaints. The ethics investigator may request the Board or its president to issue a subpoena or subpoena duces tecum. Any such request shall be accompanied by a brief statement specifying the necessity for the same.

5.15. At any point in the course of an investigation or inquiry into a complaint, the Board may determine that there is not and will not be sufficient evidence to warrant further proceedings, or that the complaint fails to allege misconduct for which a hearing-aid dealer, hearing-aid fitter or permittee may be sanctioned by the Board: Provided, that in the event the review and investigation of a complaint is assigned to the committee or an ethics investigator, the committee or ethics investigator shall make their respective findings and recommendations to the Board prior to the Board dismissing the complaint.

§ 8-6-1. Contested Case Hearings.

6.1. The Board may refuse to renew a license or suspend a license if it determines there is probable cause to believe that hearing-aid dealer, hearing-aid fitter or trainee's conduct, practices or acts constitute an immediate danger to the public.

§ 8-6-2. Appeals.

6.1. Any applicant who has had his or her application for a license denied by order of the Board may appeal the order within thirty (30) days of that action in accordance with the contested case hearing procedures set forth in West Virginia Code § 29A-6-1 *et seq.* and the rules of the Board: Provided, that the appeal shall not include cases in which the Board denies a license or permit after an examination to test the knowledge or the ability of the applicant where the controversy concerns whether the examination was fair or whether the applicant passed the examination.