

**WEST VIRGINIA  
SECRETARY OF STATE  
JOE MANCHIN, III  
ADMINISTRATIVE LAW DIVISION**

Form #2

Do Not Mark In This Box

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2001 JAN 22 P 1:34

WEST VIRGINIA  
SECRETARY OF STATE

**NOTICE OF A COMMENT PERIOD ON A PROPOSED RULE**

AGENCY: West Virginia Board of Dental Examiners TITLE NUMBER: 5

RULE TYPE: Legislative CITE AUTHORITY: 30-4 -1 et. seq.

AMENDMENT TO AN EXISTING RULE: YES  NO

IF YES, SERIES NUMBER OF RULE BEING AMENDED: 1

TITLE OF RULE BEING AMENDED: Rules for the West Virginia Board of Dental Examiners

IF NO, SERIES NUMBER OF RULE BEING PROPOSED: \_\_\_\_\_

TITLE OF RULE BEING PROPOSED: \_\_\_\_\_

IN LIEU OF A PUBLIC HEARING, A COMMENT PERIOD HAS BEEN ESTABLISHED DURING WHICH ANY INTERESTED PERSON MAY SEND COMMENTS CONCERNING THESE PROPOSED RULES. THIS COMMENT PERIOD WILL END ON February 28, 2002 AT 11:59 p.m. ONLY WRITTEN COMMENTS WILL BE ACCEPTED AND ARE TO BE MAILED TO THE FOLLOWING ADDRESS:

WV Board of Dental Examiners  
PO Drawer 1459  
Beckley, WV 25802-1459

THE ISSUES TO BE HEARD SHALL BE LIMITED TO THIS PROPOSED RULE.

  
Authorized Signature

ATTACH A **BRIEF** SUMMARY OF YOUR PROPOSAL

\$10.00

## NOTICE OF COMMENT PERIOD

Please take notice that a comment period has been established during which any interested person may send comments concerning proposed changes to Series 1, Title Number 5, Agency: West Virginia Board of Dental Examiners. This comment period will end on Thursday, February 28, 2002 at 11:59 p.m. The basic substance of the rule is as follows:

1. To allow additional expanded duties for dental assistants and licensed dental hygienists under the direct supervision of their employer licensed dentist.
2. To allow for cleanups needed as an affect of the new dental law effective July 1, 2001.
3. To allow for correction in the number of years of post-graduate education, internship or residency needed to qualify for specialist in Endodontics.

Copies of the proposed rule may be obtained from the Secretary of State's Office as follows: Secretary of State, Administrative Law Division, Capitol Complex, Charleston, WV 25305, or by writing the West Virginia Board of Dental Examiners as follows: West Virginia Board of Dental Examiners, P. O. Drawer 1459, Beckley, West Virginia, 25802-1459. Comments may also be mailed to the following address: West Virginia Board of Dental Examiners, P. O. Drawer 1459, Beckley, West Virginia, 25802-1459.

Only written comments will be accepted and are to be mailed to the following address: West Virginia Board of Dental Examiners, PO Drawer 1459, Beckley, WV 25802-1459.

West Virginia Board of Dental Examiners

John F. Parkulo  
Assistant Executive Secretary

## SUMMARY OF PROPOSED RULE

To allow for expanded duties of a dental assistant and/or licensed dental hygienist under the direct supervision of their employer dentist and additionally cleanups as an affect of the new dental law effective July 1, 2001 and correction of number of years of post-graduate education, internship or residency needed to qualify for specialist in Endodontics.

## STATEMENT OF CIRCUMSTANCES

Promulgation for implementation of changes to existing rules concerning expanded duties for a dental assistant or licensed dental hygienist to allow additional duties under the direct supervision of their employer dentist and additionally cleanups as an affect of the new dental law effective July 1, 2001 and correction of number of years of post-graduate education, internship or residency needed to qualify for specialist in Endodontics.

## STATEMENT OF PURPOSE

To enable the Board to continue to carry out effectively their responsibilities of updating regulations concerning the practice of dentistry in the State of West Virginia and protecting the welfare of the Citizens of this great State.

■  
APPENDIX B

FISCAL NOTE FOR PROPOSED RULES

Rule Title: Rules for the West Virginia Board of Dental Examiners

Type of Rule:  Legislative     Interpretive     Procedural

Agency: West Virginia Board of Dental Examiners

Address: PO Drawer 1459, Beckley, WV 25802-1459

1. Effect of Proposed rule:

	ANNUAL FISCAL YEAR				
	INCREASE	DECREASE	CURRENT	NEXT	THEREAFTER
ESTIMATED TOTAL COST	0	0			
PERSONAL SERVICES	0	0			
CURRENT EXPENSE	0	0			
REPAIRS & ALTERATIONS	0	0			
EQUIPMENT	0	0			
OTHER	0	0			

2. Explanation of Above Estimates:

The proposed rule will allow for additional expanded duties of a dental assistant or licensed dental hygienist and allow for some cleanups as an affect of the new dental law effective July 1, 2001 as well as make a correction to the number of years needed of post-graduate education, internship or residency to qualify for specialist in Endodontics and will not affect the budget as there are no fee increases or decreases.

3. Objectives of These Rules:

To allow additional expanded duties of a dental assistant or licensed dental hygienists allow for some cleanups and corrections.

Rule Title: Rules for the West Virginia Board of Dental Examiners

4. Explanation of Overall Economic Impact of Proposed Rule:

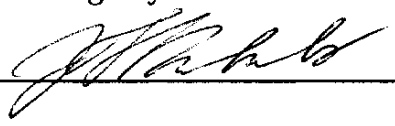
A. Economic Impact on State Government:  
none

B. Economic Impact on Political Subdivisions; Specific Industries; Specific Groups of Citizens: none

C. Economic Impact on Citizens/Public at Large.  
none

Date: 1/21/07

Signature of Agency Head or Authorized Representative:



WEST VIRGINIA ADMINISTRATIVE  
RULES

WEST VIRGINIA BOARD OF  
DENTAL EXAMINERS  
CHAPTERS 30-4 & 30-4A

SERIES I

(2001)

FILED

2002 JAN 22 P 1:34

OFFICE WEST VIRGINIA  
SECRETARY OF STATE

Subject: Rules for the West Virginia Board of Dental Examiners.

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Section 1. GENERAL.

1.1. Authority. This rule is issued under the authority of West Virginia Code §30-4-4a.

1.2. Scope. This rule regulates the West Virginia Board of Dental Examiner's proceedings and carries out the purposes and enforces the provisions of West Virginia Code §30-1-1 et seq and §30-4-1 et seq which are applicable to the West Virginia Board of Dental Examiners.

1.3. Effective Date. -

1.4. Filing Date. -

1.5. Certification. This rule is certified authentic by the President and Secretary of the West Virginia Board of Dental Examiners by Certification No. II.

1.6. Amend.

This rule amends West Virginia Board of Dental Examiners Rule 5CSR1, West Virginia Administrative rules, West Virginia Board of Dental Examiners which became effective on May 12, ~~1994~~ 1997.

Section 2. DEFINITIONS.

- 2.1. "Board" means the West Virginia Board of Dental Examiners.
- 2.2. "Specialty or Specialization" is a restriction of practice to a certain field or phase of dentistry.
- 2.3. "DENTAL PUBLIC HEALTH" is the science and art of preventing and controlling dental diseases and promoting dental health through organized community efforts. For the purposes of this rule the term "community" is used in a restricted sense and relates to the people of a particular region having common organization or interests and living in the same place under the same laws. It is that form of dental practice which serves the community as a patient rather than the individual. It is concerned with the dental health education of the public, with research, and the application of the findings of research, and with the administration of group dental care programs as well as the prevention and control of dental diseases on a community basis.
- 2.4. "ENDODONTICS" is that area of dentistry dealing with etiology, histopathology, diagnosis, preventions, and treatment of the diseases of the dental pulp and their sequelae.
- 2.5. "ORAL AND MAXILLOFACIAL SURGERY" is the specialty of dentistry which includes the diagnosis, surgical and adjunctive treatment of diseases, injuries, and defects involving both the functional and aesthetic aspects of the hard and soft tissues of the oral and maxillofacial regions.
- 2.6. "ORAL PATHOLOGY" embraces both morphologic and clinical study of diseases affecting the oral regions. An oral pathologist is a person who, through special study and training, is qualified to diagnose and otherwise study tumors and lesions, both local and systemic, of the oral regions.

2.7. "ORTHODONTICS and DENTOFACIAL ORTHOPEDICS" is prevention and correction of dental and oral anomalies by changing positions of teeth and jaw relationship by the use of appliances; correction of causative habits; or corrective muscular exercises, in order to establish normal function of the masticating mechanism and to encourage a normal development of the jaws and associated tissues.

2.8. "~~PEDODONTICS~~" "PEDIATRIC DENTISTRY" is the prevention, control and treatment of the oral and dental diseases of children.

2.9. "PERIODONTICS" is the prevention, control and treatment of diseases of the supporting tissues of the teeth.

2.10. "PROSTHODONTICS" is the restoration of masticatory function in part or as a whole through the designing and construction of removable dental prosthetic appliances known as artificial dentures, which are supported wholly or in part by the soft tissues of the mouth and not permanently attached to the natural teeth.

### Section 3. OFFICIAL SEAL.

3.1. General. The Board's official seal shall affix by way of stamp or embossing and shall contain somewhere thereon the word "seal" and West Virginia Board of Dental Examiners.

### Section 4. CORPORATE PRACTICE OF DENTISTRY.

4.1. Qualifications. Only duly licensed dentists eligible to practice in the State of West Virginia may form a dental corporation. The dentists shall file a written application with the Board of Dental Examiners on a form prescribed by the Board. A fee of \$200.00 shall accompany each application, no part of which is returnable. If the Board finds that the signers are duly licensed dentists or if there be more than one, that all of the signers of such applications are duly licensed

dentists, the Board shall notify the Secretary of State that a Certificate of Authorization has been issued to the individual or individuals signing the application, to form a dental corporation. Provided, however, that there is compliance with the applicable provisions of West Virginia Code §31-1-1, concerning corporations generally.

#### Section 5. SPECIALTIES.

5.1. Specialist General Qualifications. A licensee may apply to the Board for a certificate of qualification in a specialty of dentistry if the licensee can satisfactorily prove to the State Board of Dental Examiners that he or she possesses the following general qualifications, in excess of those required for the completion of a general course of study as given in a dental school or college recognized by the State Board:

- (a). Membership in the American Dental Association or the National Dental Association;
- (b). An exemplary record of professional ethics; and
- (c). Requisite training. All training requirements for qualifications of each specialty shall be approved by the Counsel on Dental Education of the American Dental Association.

5.2. Specialist General Limitations. A person certified by the West Virginia State Board of Dental Examiners as a specialist has the following limitations:

- (a). The licensee shall limit his or her practice of dentistry only to the specialty in which he or she is licensed and in which he or she holds himself out to the general public as a specialist; and
- (b). The licensee shall limit his or her listing in the telephone directory to the specialties in which he or she has an office or offices.

5.3. Specialty Fields Licensed by the West Virginia Board of Dental Examiners. The Board will issue certificates of qualification in the following specialties:

(a). DENTAL PUBLIC HEALTH.

In order to qualify for certification in this specialty, the licensee shall have a minimum of one full-time academic year of at least eight calendar months each of graduate or post-graduate education, internship or residency.

(b). ENDODONTICS.

In order to qualify for certification in this specialty, the licensee shall have a minimum of ~~three~~ two full-time academic years of at least eight calendar months each of graduate or post-graduate education, internship or residency.

(c). ORAL AND MAXILLOFACIAL SURGERY.

In order to qualify for certification in this specialty, the licensee shall have a minimum of three full-time academic years of at least eight calendar months each of graduate or post-graduate education, internship or residency.

(d). ORAL PATHOLOGY.

In order to qualify for certification in this specialty, the licensee shall have a minimum of two full-time academic years of at least eight calendar months each of graduate or post-graduate education, internship or residency.

(e). ORTHODONTICS and DENTOFACIAL ORTHOPEDICS.

In order to qualify for certification in this specialty, the licensee shall have a minimum of two full-time academic years of at least eight calendar months each of graduate or post-graduate education, internship or residency.

(f). ~~PEDODONTICS~~ PEDIATRIC DENTISTRY.

In order to qualify for certification in this specialty, the licensee shall have a minimum of two full-time academic years of at least eight calendar months each of graduate or post-graduate education, internship or residency.

(g). PERIODONTICS.

In order to qualify for certification in this specialty, the licensee shall have a minimum of two full-time academic years of at least eight calendar months each of graduate or post-graduate education, internship or residency.

(h). PROSTHODONTICS.

In order to qualify for certification in this specialty, the licensee shall have a minimum of two full-time academic years of at least eight calendar months each of graduate or post-graduate education, internship or residency.

Section 6. ISSUANCE OF TEMPORARY AND/OR SPECIAL PERMITS.

6.1. General. The Board may issue a temporary permit to practice dentistry or dental hygiene. Temporary and/or special permits will only be granted pursuant to a board meeting with a quorum of members present. Furthermore, the following fees shall be paid to the Board upon the issuance of the following temporary and/or special permits:

~~Temporary permit--\$100.00~~

~~Dental Intern/Residency permit--\$50.00~~

~~Teaching permit--\$100.00~~

Nothing in this rule prohibits the practice of dentistry or dental hygiene by persons licensed in another state who, at the request of an approved dental school or any regularly organized dental society, may give a clinic at the school or at a scientific meeting of the dental society for the purpose

of advancing the professional knowledge of members of the dental profession or members of the student body of a dental school.

6.2. Temporary Permit. The Board of Dental Examiners may issue a temporary permit to practice dentistry or dental hygiene to graduates of schools of dentistry or dental hygiene approved by the Board who are certified to the board of directors of dental clinics established by law, by the chief executive of any hospital or sanitarium licensed or operated by the State or by the chief dental officer of the health department of the State. The permits shall terminate when the holder of the permit ceases to be employed by the person certifying him or her. ~~A fee of \$100.00 shall be paid to the board upon issuance of the permit by the person certifying the applicant.~~

6.3. Dental Intern or Dental Residency Permit. The Board of Dental Examiners may issue a dental intern or dental residency permit to graduates of dental schools approved by the Board who are not licensed to practice dentistry in this State and who have not failed an examination for a license to practice dentistry in this State. An applicant for a permit shall be certified to the Board by the director or a hospital operated or licensed by the State which maintains a dental intern or residency program. The permit shall authorize the holder of the permit to serve as a dental intern or a dental resident for a period of not more than one year in any hospital licensed or operated by the State which maintains an established dental department under the supervision of a licensed dentist. The holder of a permit shall function under the supervision of the dental staff of the hospital and shall limit his or her practice to patients selected by the hospital. The holder of a permit is not entitled to receive any fee or other compensation other than the salary paid by the hospital. Permits may be revoked by the Board for cause and expire at the end of one year or on the date the dental

internship or residency is discontinued, whichever first occurs. ~~A fee of \$50.00 shall be paid to the Board upon the issuance of a permit by the hospital nominating the dental intern or dental resident.~~

6.4. Teaching Permits. The Board of Dental Examiners may issue teaching permits to persons who are graduates of a school of dentistry or dental hygiene approved by the Board where those persons are not licensed to practice dentistry or dental hygiene in this State. The permit shall be issued only upon the certification of the dean of a dental school located in this State that the applicant is a bona fide member of the staff of that school. The permits are valid for one year and may be reissued by the Board in its discretion. The holder of a permit may perform all operations which a person licensed to practice dentistry or dental hygiene in this State may perform, but only within the facilities of the dental school and as an adjunct to his or her teaching functions in the school. ~~A fee of \$100.00 shall be paid to the Board on the issuance of a teaching permit or upon each renewal by the school nominating the applicant.~~

## Section 7. SUSPENSION OR REVOCATION OF A LICENSE.

7.1. Board Meeting. Prior to the suspension or revocation of a license, a majority of the Board shall meet with a quorum voting for revocation or suspension of the licensee.

7.2. Notice. The Board shall notify the licensee concerning a revocation or suspension. The notice shall contain grounds for the revocation or suspension (at least thirty (30) days prior to the hearing), and notify the licensee that he or she may appear with witnesses and be heard in person, by counsel, or by both. The notice shall also contain the time and place of the hearing concerning the suspension or revocation. The notice shall also contain a statement informing the licensee that the Board will receive any evidence the licensee may wish to offer, that the licensee will be given

the opportunity to cross-examine any witnesses appearing before the Board, and that the Board will receive any statement the licensee may desire to make to them.

7.3. Publicity. The Board shall give no advance publicity prior to the hearing, during the hearing or prior to its decision concerning a licensee's suspension or revocation of a license.

7.4. Service of Notice. A notice may be served by delivering a copy of the notice in writing to the party in person; or if he or she cannot be found, by delivering the copy at his or her usual place of abode, and giving information of its purport, to the spouse, or to any other person found there who is a member of the licensee's family and above the age of sixteen years; or if neither the spouse nor any other person is found there, and the licensee is not found, leaving the copy posted at the front door of the place of abode. Any sheriff or constable shall serve a notice within his or her county and make return of the manner and time of service; for a failure to do so he or she shall forfeit twenty dollars. The return, or a similar return by any other person who verified it by affidavit, is evidence of the manner and time of service.

7.5. Service by Publication. Any notice to a person not residing in this State may be served by the publication of the notice once a week for three successive weeks in a newspaper published in this State.

7.6. Hearing. The Board has the power to compel the attendance of witnesses and the power to administer oaths. A stenographic report of a proceeding to suspend or revoke a license shall be made at the expense of the Board and a transcript of the hearing retained in the Board's file. The Board shall make a written report of its findings, which constitute part of the record and a copy of the findings shall be filed with the Secretary of State.

7.7. Review by Circuit Court and Supreme Court of Board's Decision on Suspension and Revocation of License. A person having his or her license suspended or revoked may, within thirty days after the decision of the Board, present a petition in writing to the circuit court of the county in which the person resides, or to the judge of the court in vacation, praying for the review and reversal of the decision. Before presenting his or her petition to the court or judge, the petitioner shall mail copies of the petition to the president and secretary, respectively, of the Board. Upon receipt of the copy, the secretary shall immediately transmit to the clerk of the court the record of the proceedings before the Board. The court or judge shall fix a time for the review of the proceedings at his or her earliest convenience. Notice in writing of the time and place of the hearing shall be given to the president and secretary of the Board at least ten days before the date set for the hearing. The court or judge shall, without a jury, hear and determine the case upon the record of the proceedings before the Board. The court or judge may enter an order affirming, revising, or reversing the decision of the Board if it appears that the decision was clearly wrong. Prior to the entry of the order, no order shall be made or entered by the court to stay or supersede any suspension, revocation or cancellation of any certificate, license, registration or authority. The judgement of the Circuit Court may be reviewed upon appeal in the Supreme Court of Appeals.

#### Section 8. EXPANDED DUTIES OF DENTAL HYGIENISTS AND DENTAL ASSISTANTS.

8.1. General. Licensed dentists may assign to their employed dental hygienists or assistants intraoral tasks as set out in this section for dental hygienists and/or assistants, subject to the following conditions:

(a). The performance of intraoral tasks by dental hygienists or assistants shall be under the direct supervision of the employer-dentist;

(b). None of the following procedures may be assigned to a dental hygienist or assistant or to any other person not licensed to practice dentistry:

(1). Diagnosis, treatment planning and prescription (including prescriptions for drugs and medicaments or authorizations for restorative, prosthodontic or orthodontic appliances); or

(2). Surgical procedures on hard and soft tissue within the oral cavity or any other intraoral procedure that contributes to or results in an irremediable alteration of the oral anatomy; and

(c). The licensed dentist assigning expanded duties to a dental hygienist and/or assistant is solely responsible for checking the dental hygienist and/or assistant to determine that he or she is competent to handle assigned duties. Further, no licensed dentist shall assign additional duties to a dental hygienist and/or assistant until he or she is assured that the dental hygienist and/or assistant is fully competent and completely qualified to perform the assigned expanded duty and/or duties.

8.2. The following duties and/or intraoral tasks may be assigned by a licensed dentist to a dental hygienist and/or assistant in the licensed dentist's employment provided that under no circumstances can an assistant use a power driven instrument of any type intraorally except as specifically setforth hereinafter:

(a). Placing, exposing, developing, and mounting dental radiographs;

~~(b)~~ (b). Charting existing restorations and missing teeth;

(c). Dental health education;

(d). Inspection of the oral cavity to view and report the symptoms/problems to supervising dentist;

(e). Performing pulp vitality testing (thermal or electrical);

- (b) (f). Placing and removing rubber dams;
- (g). Insert and adjust athletic mouth guard and bleaching tray with final check by supervising dentist;
- (h). Placing and removing periodontal dressing with final check by supervising dentist;
- (i). Placing and removing matrices;
- (j). Applying topical anesthetic agents with prior approval by supervising dentist;
- (k). Applying topical anticariogenic agents with prior approval by supervising dentist;
- (l). Applying pit and fissure sealants with final check by supervising dentist with the taking of a board approved course;
- (m). Applying cavity liners and bases with final check by supervising dentist prior to placement of permanent restoration with the taking of a board approved course;
- (n). Holding and removing materials, trays, strips, sutures, brackets and bands previously placed in the patient's mouth by the dentist;
- (o). Removing excess cement from coronal surfaces of teeth without the use of rotating or power-driven instruments; and
- (p). Removing soft tissue dressings;
- (q). Fabricate and cement temporary crowns and bridges with final check by supervising dentist with the taking of a board approved course;
- (r). Placing and removing temporary restoration by a non power driven method with final check by supervising dentist with the taking of a board approved course;
- (s). Taking intra and extra-oral photographs;

(t). Chemical conditioning of the tooth to accept a restoration and/or bracket by topical application with the taking of a board approved course;

(u). Use of power driven handpiece with rubber cup only and/or brush only for preparing a tooth for accepting a restoration and/or appliance;

(v). Placing retraction cord for crown impressions with prior approval by supervising dentist;

(w). Taking final impressions for fixed or removable prosthesis and/or appliance with final check by supervising dentist with the taking of a board approved course;

(f) (x). Taking impressions for study cast and pouring models;

(y). To check for loose orthodontic appliances and take orthodontic measurements;

(z). Fitting bands and brackets prior to final cementation and or bonding by the supervising dentist;

(aa). Bending archwires with final check by supervising dentist at time of placement;

(bb). Place or remove temporary space maintainers, orthodontic separating devices and/or ligature wires with final check by supervising dentist at time of placement;

(cc). Remove loose or broken bands, brackets or archwires when directed by the supervising dentist;

(dd). Monitoring nitrous oxide analgesia which only includes increasing oxygen and decreasing nitrous oxide with the taking of a board approved course;

8.3. In addition to those duties set forth in 8.2, the following duties and/or intraoral tasks may be assigned by a licensed dentist to a dental hygienist in the licensed dentist's employment:

(a). Supra and Subgingival scaling of teeth;

(b). Placement of subgingival medicaments, fibers, chips, etc.;

- (b) (c). Polishing of coronal and/or exposed surfaces of teeth;
- ~~(c). Dental Health Education;~~
- (d). Nutritional Counseling;
- ~~(e). Application of caries preventive agents and other topical medicaments to the surfaces of teeth and surrounding tissues (including topical anesthesia);~~
- ~~(f). Placing, exposing, developing, and mounting dental radiographs;~~
- (e). Finishing and polishing of restorations with a slow speed hand piece;
- ~~(g). Finishing and polishing amalgams, resin, composite, and silicate restorations;~~
- ~~(h)(f)~~. Examining and recording periodontal findings;
- (g). Debridement and/or root planning of teeth;
- (h). Application of bleaching agents;
- (i). Scaling excessive cement from the surfaces of teeth and restorations;
- (j). Performing clinical examinations and diagnostic tests of teeth and surrounding tissues and recording findings for interpretation by a dentist (includes such procedures as restorative chartings, caries activity test, cytology smears, ~~endodontic cultures~~, vitality test, etc. );
- ~~(k). Removing soft tissue dressings;~~
- ~~(t). Removing ligature wires;~~
- ~~(m)~~ (k). Preparing medical and dental histories for interpretation by a dentist;
- ~~(n). Placing and removing rubber dams;~~
- ~~(o). Taking intra and extra-oral photographs; and~~
- ~~(p). Removing oral sutures;~~
- (l). Performing salivary analysis and smears;

(m). Placing, condensing and carving amalgams by a non power driven method with final check by supervising dentist with the taking of a board approved course;

(n). Administration of infiltration and block anesthesia with the taking of a board approved course and passing a board approved test.

Section 9. ADMINISTRATION OF GENERAL ANESTHESIA AND PARENTERAL  
CONSCIOUS SEDATION BY DENTISTS.

9.1. Legislative findings and declaration of purpose. The Legislature hereby finds and declares that dentists are increasingly administering general anesthesia and parenteral conscious sedation in their offices on an out-patient basis; that the administration of general anesthesia and parenteral conscious sedation carries with it an inherent risk and danger to the patient; that, however, the administration of general anesthesia and parenteral conscious sedation on an out-patient basis by dentists is necessary and for the good of the public; but that because of the inherent dangers in the administration of general anesthesia and parenteral conscious sedation, it is necessary to insure that the persons administering and supervising the general anesthesia or parenteral conscious sedation are competent and trained in the techniques; that it is in the best interests of the public and the dentists of West Virginia to prohibit dentists from administering or supervising the administration of general anesthesia or parenteral conscious sedation unless those dentists meet certain minimal training and competency standards in the administration and supervision of general anesthesia or parenteral conscious sedation; and that requiring a dentist to obtain a special permit before he or she can administer or supervise general anesthesia or parenteral conscious sedation is the best method to preserve the use of general anesthesia and parenteral conscious sedation by

dentists on out-patients and, at the same time, insure that such administration and supervision is performed by competent dentists trained in the use of such techniques .

9.2. Definitions.

(a). The scope of practice of a licensed "dentist" is defined in West Virginia Code §30-4-2.

(b). "General anesthesia" means a controlled state of unconsciousness produced by any drug or pharmacologic agent accompanied by a partial or complete loss of protective reflexes, including the inability to independently maintain an airway and respond purposefully to physical stimulation of verbal commands.

(c). "Nitrous oxide -- oxygen analgesia" refers to the administration by inhalation of a combination of nitrous oxide and oxygen gas which produces an altered level of consciousness without the loss of the patient's ability to independently and continuously maintain an airway and respond appropriately to physical stimulation or verbal commands.

(d). "Parenteral conscious sedation" means a depressed state of consciousness produced by the injection of pharmacologic substances that retains the patient's ability to independently and continuously maintain an airway and respond appropriately to physical stimulation or verbal commands.

(e). "State of consciousness" refers to a patient being fully capable of rational response to verbal commands, with all protective reflexes intact, and including the ability to clear and maintain an airway in a patent state.

9.3. Permit of authorization required for both general anesthesia or parenteral conscious sedation.

No dentist may administer or supervise the administration of general anesthesia and parenteral conscious sedation for dental patients unless the dentist possesses a permit of authorization from the West Virginia Board of Dental Examiners: Provided, that no permit shall be required for the administration of general anesthesia or parenteral conscious sedation by a dentist in a hospital licensed by the State of West Virginia.

9.4. Eligibility requirements for general anesthesia permits.

To receive a permit for the use of general anesthesia and parenteral conscious sedation, a dentist shall:

- (a). Be a dentist licensed by the Board;
- (b). Apply to the West Virginia Board of Dental Examiners on an application form prescribed by the Board;
- (c). Include with the application an application fee in the amount of three hundred dollars;
- (d). Have a properly equipped facility for the administration of general anesthesia, staffed with a supervised team of auxiliary personnel capable of reasonably handling procedures, problems, and emergencies incident thereto as outlined in the office anesthesia evaluation manual as adopted and amended by the Board of Dental Examiners;
- (e). In the case of any dentist who treats children who applies for any permit under this section, document his or her competency to administer general anesthesia and parenteral conscious sedation to children by demonstrating to the satisfaction of the Board his or her familiarity with the "Guidelines for the elective use of conscious sedation, deep sedation and general anesthesia in pediatric patients" of American Academy of Pediatrics and the American Academy of Pediatric Dentistry; and

(f). Produce evidence showing at least one of the following:

(1). He or she has completed a minimum of one year of advanced training in an approved anesthesia residency;

(2). He or she is a diplomate of the American Board of Oral and Maxillofacial Surgery;

(3). He or she is eligible for an examination by the American Board of Oral and Maxillofacial Surgery (ABOMS);

(4). He or she is a fellow of the American Association of Oral and Maxillofacial Surgery (AAOMS);

(5). He or she has successfully completed an American Dental Association accredited oral and maxillofacial surgery program as evidenced by a letter from the program director stating that said applicant is qualified to perform such anesthesia techniques;

(6). He or she is a fellow of the American Dental Society of Anesthesiology; or

(7). He or she employs or works in conjunction with a licensed and trained doctor of medicine or osteopathic physician who is a member of the anesthesiology staff of a hospital licensed by the State of West Virginia, provided the anesthesiologist personally supervises or administers the general anesthesia and remains on the premises of the dental facility until any patient given a general anesthetic or parenteral conscious sedation regains consciousness.

9.5. Eligibility requirements for permit to administer parenteral conscious sedation only.

To receive a permit for use of parenteral conscious sedation only, the dentist shall:

(a). Be a dentist licensed by the West Virginia Board of Dental Examiners and registered to practice dentistry in the State of West Virginia;

(b). Apply to the West Virginia Board of Dental Examiners on an application form prescribed by the Board for the use of parenteral conscious sedation only;

(c). Include with the application a fee in the amount of three hundred dollars;

(d). Maintain a properly equipped facility for the administration of parenteral conscious sedation, staffed with a supervised team of auxiliary personnel capable of reasonably handling procedures, problems, and emergencies incident thereto as outlined in the office anesthesia evaluation manual described in West Virginia Code §30-4A-4-(d).

(e). In the case of any dentist who treats children who applies for any permit under this section, document his or her competency to administer parenteral conscious sedation to children by demonstrating to the satisfaction of the Board his or her familiarity with the "Guidelines for the elective use of conscious sedation, deep sedation and general anesthesia in pediatric patients" of the American Academy of Pediatrics and the American Academy of Pediatric Dentistry; and

(f). Produce evidence showing at least one of the following:

(1). He or she meets at least one of the criteria described in West Virginia Code §30-4A-4-(f).

(2). He or she has satisfactorily completed at least one year of post-doctoral dental training in a dental residency or specialty program approved by the American Dental Association or the American Medical Association which included didactic studies and practical experience in the administration of general anesthesia and parenteral conscious sedation. A letter from the chief of the approved residency program verifying that the dentist has satisfactorily completed the training and is competent to administer parenteral conscious sedation may be considered acceptable evidence thereof; or

(3). He or she has satisfactorily completed a continuing education course or program regarding the administration of parenteral conscious sedation which meets or exceeds the American Dental Association council on dental education's current "Guidelines for Teaching the Comprehensive Control of Pain and Anxiety in Dentistry."

9.6. Nitrous oxide. Oxygen analgesia exclusion.

The administration of nitrous oxide - oxygen inhalation analgesia shall not require a special permit for use by a licensed dentist. However, a licensed dentist rendering such treatment to his or her patients shall have a properly equipped facility for the administration of nitrous oxide-oxygen inhalation analgesia. The dentist and his or her office personnel shall have instruction in the administration of cardiac life support. The nitrous oxide-oxygen inhalation equipment shall have fail-safe features and a minimum twenty-five percent oxygen flow.

9.7. Authority of the West Virginia Board of Dental Examiners to review, inspect and reinspect dentists for issuance of permits.

By making application to the Board of Dental Examiners for a general anesthesia or parenteral conscious sedation permit, the dentist consents and authorizes the Board of Dental Examiners to review his or her credentials, inspect or reinspect his or her facilities, and investigate any alleged anesthesia mortalities, misadventures, or other adverse occurrences which the Board feels is justified in the best interest of the public and the Board. The Board of Dental Examiners has the authority and right to conduct an in-office review or on-site inspection of any dentist applying for or holding a permit to administer general anesthesia or parenteral conscious sedation at any time the Board considers necessary.

9.8. Appointment of subcommittee by the West Virginia Board of Dental Examiners; credentials review; and on-site inspections.

The West Virginia Board of Dental Examiners shall appoint a five member subcommittee to carry out the review and on-site inspection of any dentist applying for or renewing a permit under WV Code §30-4A-8. The subcommittee shall also make a recommendation for issuing or revoking a permit under WV Code §30-4A-8. This subcommittee shall be known as the "West Virginia Board of Dental Examiners Subcommittee on General Anesthesia and Parenteral Conscious Sedation," hereinafter referred to as the "subcommittee." The subcommittee shall consist of one member of the Board of Dental Examiners who shall act as chairman of the subcommittee, one diplomate of the American Board of Oral and Maxillofacial Surgery; one fellow of the American Dental Society of Anesthesiology or fellow of the American Association of Oral and Maxillofacial Surgery; one general dental practitioner engaged in providing out-patient general anesthesia or parenteral conscious sedation services; and one dental practitioner specializing in pediatric dentistry. Four members of the subcommittee must be practitioners possessing a current general anesthesia or parenteral conscious sedation permit. During the first year of the existence of the subcommittee, the four members of the subcommittee shall possess qualifications as described in this section for a temporary provisional permit. No subcommittee member shall serve longer than a four-year term. Initial members of the subcommittee may be appointed to longer or shorter terms at the discretion of the Board of Dental Examiners so that the terms may be staggered and the subcommittee may maintain experienced and qualified members at all times.

9.9. On-site inspection by West Virginia Board of Dental Examiners.

Prior to issuing a permit, the Board of Dental Examiners has the right to conduct an on-site inspection of the facility, equipment, and auxiliary personnel of the applicant to determine if, in fact, all the requirements for the permit have been met. This inspection or evaluation, if required, shall be carried out by at least two members of the subcommittee directly appointed by the Board of Dental Examiners as prescribed in West Virginia Code §30-4A-8. This evaluation is to be carried out in a manner following the principles, but not necessarily the procedures, set forth by the current edition of the office anesthesia evaluation manual of the West Virginia Board of Dental Examiners. On-site inspections are required and shall be performed for all initial applicants. Thereafter, the Board may reinspect annually, at its discretion, but must perform an on-site inspection for all permit holders at least once every five years. The Board reserves the right to conduct an on-site inspection whenever it considers necessary. However, all on-site inspections shall be held during regular business hours and with at least forty-eight hours' notification.

#### 9.10. Immunity from liability.

(a). Notwithstanding any other provision of law, no person providing information to the Board of Dental Examiners or to the subcommittee may be held, by reason of having provided the information, to be civilly liable under any law unless the information was false and the person providing such information knew or had reason to believe that the information was false.

(b). No member or employee of the Board of Dental Examiners or the subcommittee may be held by reason of the performance by him or her of any duty, function, or activity authorized or required of the Board or the subcommittee to be civilly liable. The provisions of this subsection shall not apply with respect to any action taken by any individual if the individual, in taking such action, was motivated by malice toward any person affected by the action.

9.11. New applicants.

Any dentist not previously administering or supervising general anesthesia or parenteral conscious sedation techniques but wishing to do so, shall make application to the Board as prescribed in this rule. The Board and the subcommittee shall then review the applicant's credentials and further will require an on-site evaluation of the dentist's facilities, equipment, techniques, and personnel prior to issuing a regular annual permit. After the initial on-site inspection, the Board, at its discretion, will conduct further on-site evaluations as described in West Virginia Code §30-4A-9.

9.12. Issuance of regular annual permits.

Upon the recommendations of the subcommittee to the Board of Dental Examiners, the Board shall issue regular permits to applicable dentists. A general anesthesia or parenteral conscious sedation permit must be renewed annually as described in West Virginia Code §30-4A-16.

9.13. Waiting period for reapplication or reinspection of facilities.

A dentist whose application has been denied for failure to satisfy the requirements in the application procedure or the on-site evaluation must wait thirty days from the date of the denial prior to reapplying and must submit to another on-site evaluation prior to receiving a regular annual permit. It is the responsibility of the Board and the subcommittee to promptly reinspect the applicant dentist's facilities, techniques, equipment, and personnel within ninety days after the applicant has made reapplication.

9.14. Annual renewal of regular permits; fees.

The Board of Dental Examiners requires an application for annual renewal of a previously issued general anesthesia or parenteral conscious sedation permit and requires a renewal fee of one hundred dollars. The Board shall renew permits for the use of general anesthesia or parenteral

conscious sedation after receiving the renewal fee, unless the permit holder has been informed in writing within sixty days prior to the renewal date that a reevaluation of his or her credentials is required. In determining whether the reevaluation is necessary, the Board may consider such factors as it considers appropriate, including, but not limited to, patient, dentist or physician complaints and reports of adverse occurrences or misadventures. Reevaluation may also include a yearly on-site inspection of the facility, equipment, personnel, licentiate and procedures utilized by the holder of this permit. However, an on-site inspection of the facility, equipment, personnel, licentiate and procedures utilized by the holder of a permit will be required for all permit holders within a five-year period from the permit holder's last on-site inspection.

9.15. Violations; penalties for practicing general anesthesia or parenteral conscious sedation without a permit.

Violations of any of the provisions of West Virginia Code, whether intentional or unintentional, may result in the revocation or suspension of the dentist's permit to administer general anesthesia or parenteral conscious sedation; multiple or repeated violations or gross infractions, such as practicing general anesthesia or parenteral conscious sedation without a valid permit may result in suspension of the dentist's license to practice dentistry for up to one year as well as other disciplinary measures as deemed appropriate by the Board of Dental Examiners.

#### Section 10. DESIGNATION OF TESTING BODIES.

10.1. The Board designates for dental and dental hygiene license testing the following Boards:

(1). The Joint Commission on National Dental Examinations for the written examination, and

(2). The North East Regional Board of Dental Examiners for the clinical examination or any other state and/or regional clinical examination.

#### Section 11. CONTINUING EDUCATION REQUIREMENTS.

11.1. Hours Required Biennially. Each licensed dentist shall complete biennially not less than twenty (20) hours of continuing education. Each licensed dental hygienist shall complete biennially not less than twelve (12) hours of continuing education.

#### 11.2. Approved Providers.

(a). The Continuing Education course must be offered by a Board approved provider.

(b). The Board approves any course or program sponsored by the following providers, or their constituent or component organizations for credit:

- (1). An accredited dental or dental hygiene school;
- (2). The American Dental Association;
- (3). A National Dental Association;
- (4). The American Dental Hygienists' Association;
- (5). The National Dental Hygiene Association;
- (6). The American Medical Association;
- (7). The American Hospital Association;
- (8). The American Red Cross;
- (9). ADA recognized specialty societies;
- (10). The Academy of General Dentistry;
- (11). The Veteran's Administration;
- (12). The United States Uniformed Services;

- (13). The U. S. Department of Health and Human Services;
- (14). The West Virginia Department of Health;
- (15). The West Virginia Dental Association;
- (16). The West Virginia Dental Hygienists' Association; and
- (17). Study Clubs: "Study Club" means a group of at least five (5) dentists or dental hygienists who do the following:
  - (aa). Organize for the purpose of scientific study;
  - (bb). Operate under the direction of elected officers;
  - (cc). Maintain written by-laws;
  - (dd). Conduct regular meetings; and
  - (ee). Maintain written attendance records of all meetings.

(c). Providers not identified in the approved list may petition the Board for approval of continuing education that they offer. The Board may approve other sponsors of continuing education credits. This approval expires after two consecutive years and must be renewed. The course or program must be designed to enhance the licensee's clinical knowledge and ability to treat dental patients.

(d). Courses or programs that are not approved for continuing education credit for license renewal include, but are not limited to, those on the subjects of money management, personal finance, personal business matters, cultural subjects, personal health and recreation, politics, memory training, and speed reading.

### 11.3. Record Keeping, Reporting, & Monitoring:

(a). It is the responsibility of each dentist and dental hygienist to maintain and compile accurate records relating to all continuing education courses he or she has successfully completed.

(b). The records and information pertaining to each year must be maintained for a period of six ( 6 ) years.

(c). A licensee must report all continuing education courses on the form provided by the West Virginia Board of Dental Examiners. The licensee shall submit records relating to continuing education courses to the West Virginia Board of Dental Examiners at the time of license renewal.

(d). The West Virginia Board of Dental Examiners shall randomly audit the continuing education records maintained by each dentist and dental hygienist.

(e). Each dentist and dental hygienist must submit to the Board by February 1, 1996, and every two years thereafter documentation of satisfactory completion of the required hours of continuing education during the previous two years.

#### 11:4. Criteria.

(a). The Board shall not give continuing education credit for identical courses taken during the same continuing education reporting period.

(b). The Board shall give one hour of credit for each hour of attendance and an hour of attendance is defined as fifty (50) minutes.

(c). At least three (3) hours of continuing education shall be related to infection control during each continuing education reporting period.

#### 11:5. Categories of Credit.

The licensee is free to select areas of study within the following categories, not to exceed the maximum number of hours in each category.

(a). Educational and scientific courses - One hundred percent (100%) of the requirement may be obtained in this category; Educational and scientific courses given by permanent or Board-approved biennial sponsors.

(b). Supervised self-instruction - Fifty percent (50%) of the requirement may be obtained in this category. Supervised self-instruction must include a testing mechanism supplied by a permanent or Board approved biennial sponsor. The licensee must complete a test and demonstrate a level of comprehension before the Board will award credit. Tests must be graded by the sponsor and results returned to the licensee. Supervised self-instruction includes, but is not limited to:

- (1). Graded audio/video courses;
- (2). Graded correspondence courses; and
- (3). Graded computer courses.

(c). Non-supervised self-instruction - A maximum of ten percent (10%) of the requirement may be obtained in the category. Non-supervised self-instruction includes, but is not limited to:

- (1). Home study - journals and publications;
- (2). Correspondence programs;
- (3). Educational television;
- (4). Audio/video programs;
- (5). Scientific exhibits; and
- (6). Study clubs other than biennial sponsors;

(d). Scientific papers, publications, and scientific presentations - A maximum of ten percent of the requirement may be obtained in the category of papers, publications, and scientific presentations; A maximum of ten percent (10%) may also be obtained by original scientific papers

authored by the licensee and published in a scientific professional journal, and the original presentation of papers, essays, or formal lectures to recognized groups of fellow professionals.

(e). Teaching and research appointments - A maximum of ten percent (10%) of the requirement may be obtained in this category. Licensees involved in teaching or research activities at an accredited institution, or a part time faculty or research appointment can also receive a maximum ten percent (10%) of the requirement.

(f). Original table clinics and scientific exhibits - A maximum of ten percent (10%) of the requirement may be obtained in the category of original table clinics and scientific exhibits.

(g). The Board recognizes successful completion of the following written examinations as equivalent to twenty (20) hours of continuing education:

(1). North East Regional Board's (NERB) Dental Hygiene Comprehensive Examination (for hygienists only).

(2). NERB's Diagnosis and Oral Radiology plus Comprehensive Treatment Planning Examinations.

(3). Academy of General Dentistry's Fellowship Examination.

(4). Examinations leading to recognized Specialty Boards' Diplomate status.

(5). National Board - All parts, successfully completed.

(6). Central Regional Dental Testing Agency's Written Examinations.

(7). South East Regional Testing Agency's Written Examinations.

(8). Western Regional Examining Board's Written Examination.

(h). Compliance: Compliance with this rule is required for all licensees seeking license renewal beginning February 1, 1994, and biennially thereafter.

11.6. Recent graduates licensed within one (1) year of a continuing education reporting period are not required to fulfill continuing education requirements for the first continuing education reporting period. Retired and/or disabled dentists or dental hygienists are not required to fulfill continuing education requirements.

11.7. Penalties for Violation.

(a). The Board shall not renew the license of any licensee who fails to meet the requirements of this section.

(b). A licensee who has been found to have not fulfilled the continuing education requirements of the Board has six (6) months in which to satisfy the requirements.

(c). A false statement on a renewal form constitutes unprofessional conduct and may result in disciplinary action against the licensee as set forth in WV Code §30-4-7.

Section 12. PRACTICE OF DENTISTRY UNDER TRADE NAME OR FIRM NAME.

12.1 No person shall practice, or offer or undertake to practice, dentistry under any firm name or trade name, or under any name other than his own true name: Provided, that nothing herein contained shall prohibit the practice of dentistry by a partnership, corporation and/or pllc under a firm name containing nothing but the surname of every member who shall be a duly licensed dentist.

Section 13. ANNUAL INFORMATION AND RENEWAL NOTICE; REINSTATEMENT; PENALTY FEES; WAIVER OF PAYMENT OF FEE ON RETIREMENT OR DISABILITY; CHANGE OF ADDRESS.

13.1 On or before the first day of February of each year, every dentist licensed to practice dentistry in this state, and every dental hygienist licensed to practice dental hygiene in this state, shall transmit to the secretary of the board upon a form prescribed by the board, their signature, post-office

address, office address, the serial number of their license certificate, whether they have been engaged during the preceding year in the active and continuous practice of dentistry or dental hygiene, as the case may be, whether within or without this state, and such other information as may be required by the board, together with an information and renewal fee.

Upon receipt of the required information and the payment of the proper renewal fee, the licensee shall be issued a renewal certificate authorizing them to continue the practice of dentistry or the practice of dental hygiene in this state for a period of one year from the first day of February.

A license to practice dentistry or dental hygiene granted under the authority of Chapter 30-4-1 et. seq. shall be canceled on the first day of May if the holder thereof fails to secure a current renewal certificate by that day. Any licensee whose license is thus canceled by reason of the failure, neglect or refusal to secure the proper renewal certificate may be reinstated by the board at any time within six months from the date of the cancellation of said license upon the payment of the proper renewal fee and an additional fee of twenty-five dollars. If the licensee shall not apply for renewal of their license as herein required within the said six months, that person shall, at the discretion of said board, be required to file an application for and take the examinations provided in Chapter 30-4-1 et. seq. should they desire to practice dentistry or dental hygiene in this state.

Upon failure of any licensee to submit the required information and pay the annual renewal fee as herein required by the statutory date, the board shall attempt to notify such licensee in writing by mailing to their last registered address a notice of the requirements of this section apprising them of the fact that their license to practice will be canceled on the statutory date: Provided, that failure to mail or receive such notice shall not affect the cancellation of their license.

The board may waive the annual payment of the renewal fee herein required, and issue a retired renewal certificate to any West Virginia licensee who has held a license for at least twenty-five years and is presently retired from active practice, or to any West Virginia licensee who has retired for reasons of physical disability, so long as such retirement continues: Provided, that the licensee provides the board with the information required by this section.

Every licensed dentist and/or dental hygienist within thirty days of changing their place of residence and/or their place of practice or establishing additional offices shall furnish the secretary of the board with their new addresses.

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January 21, 2002

Ms. Judy Cooper  
Office of the Secretary of State  
Administrative Law Division  
Capitol Complex  
Charleston, WV 25305

Dear Ms. Cooper:

It would be appreciated if the Secretary of State's office would file the enclosed Notice of Hearing on a Proposed Rule with attachments and place the same on the State Register. Please file one copy with the Legislative Rule Making Review Committee and return to us a copy with the filing date shown for our records. If additional information is needed please do not hesitate to contact this office.

I wish to thank you for your help and cooperation. The Board greatly appreciates it.

Very truly yours,

A handwritten signature in cursive script, appearing to read "John F. Parkulo".

JOHN F. PARKULO  
Assistant Executive Secretary

JFP/smc  
Enclosures