

**WEST VIRGINIA
SECRETARY OF STATE**

JOE MANCHIN, III

ADMINISTRATIVE LAW DIVISION

Form #3

Do Not Mark In This Box

FILED

2004 AUG 24 P 3:12

OFFICE WEST VIRGINIA
SECRETARY OF STATE

**NOTICE OF AGENCY APPROVAL OF A PROPOSED RULE
AND
FILING WITH THE LEGISLATIVE RULE-MAKING REVIEW COMMITTEE**

AGENCY: WV Consolidated Public Retirement Board TITLE NUMBER: 162

CITE AUTHORITY: W. Va. Code § 5-10D-1

AMENDMENT TO AN EXISTING RULE: YES ☐ NO ☒

IF YES, SERIES NUMBER OF RULE BEING AMENDED: _____

TITLE OF RULE BEING AMENDED: _____

IF NO, SERIES NUMBER OF RULE BEING PROPOSED: Series 10

TITLE OF RULE BEING PROPOSED: Deputy Sheriff Retirement System

THE ABOVE PROPOSED LEGISLATIVE RULE HAVING GONE TO A PUBLIC HEARING OR A PUBLIC COMMENT PERIOD IS HEREBY APPROVED BY THE PROMULGATING AGENCY FOR FILING WITH THE SECRETARY OF STATE AND THE LEGISLATIVE RULE-MAKING REVIEW COMMITTEE FOR THEIR REVIEW.


Authorized Signature

QUESTIONNAIRE

(Please include a copy of this form with each filing of your rule: Notice of Public Hearing or Comment Period; Proposed Rule, and if needed, Emergency and Modified Rule.)

DATE: August 24, 2004

TO: **LEGISLATIVE RULE-MAKING REVIEW COMMITTEE**

FROM: **West Virginia Consolidated Public Retirement Board
State Capitol Complex
Building 5, Room 1000
1900 Kanawha Boulevard, East
Charleston, WV 25305**

LEGISLATIVE RULE TITLE: Title 162, Series 10; Consolidated Public Retirement Board;
Deputy Sheriff Retirement System

1. Authorizing statute(s) citation: W. Va. Code § 5-10D-1
2. a. Date filed in State Register with Notice of Hearing or Public Comment Period:
July 1, 2004

-
- b. What other notice, including advertising, did you give of the hearing?

Written notice, along with a copy of the proposed rule amendments, were forwarded to various members of the WV Deputy Sheriffs Association and to Board Physician Dr. John Walden for review and circulation to their potentially interested members. Copies were also provided to the Chairs of the House and Senate Committees on Pension and Retirements.

-
-
- c. Date of Public Hearing(s) *or* Public Comment Period ended: August 2, 2004
- d. Attach list of persons who appeared at hearing, comments received, amendments, reasons for amendments.

Attached X No comments received

-
-
-
- e. Date you filed in State Register the agency approved proposed Legislative Rule following public hearing: (be exact)

August 24, 2004

-
-
-
-
- f. **Name, title, address and phone/fax/e-mail numbers** of agency person(s) to receive all *written correspondence* regarding this rule: (Please type)

Joseph J. Jankowski, Jr., Executive Director
WV Consolidated Public Retirement Board
State Capitol Complex
Building 5, Room 1000
1900 Kanawha Boulevard, East
Charleston, WV 25305
(304) 558-6244 x 403
(304) 558-6337 (fax)
jjankowski@wvretirement.com

- g. **IF DIFFERENT FROM ITEM 'f'**, please give **Name, title, address and phone number(s)** of agency person(s) who wrote and/or has responsibility for the contents of this rule: (Please type)

Karen S. Copeland, Membership Manager
WV Consolidated Public Retirement Board
State Capitol Complex
Building 5, Room 1000
1900 Kanawha Boulevard, East
Charleston, WV 25305
(304) 558-6244 x 414
(304) 558-6337 (fax)
kcopeland@wvretirement.com

3. If the statute under which you promulgated the submitted rules requires certain findings and determinations to be made as a condition precedent to their promulgation:
- a. Give the date upon which you filed in the State Register a notice of the time and place of a hearing for the taking of evidence and a general description of the issues to be decided.
 - b. Date of hearing or comment period:
 - c. On what date did you file in the State Register the findings and determinations required together with the reasons therefor?
 - d. Attach findings and determinations and reasons:

BRIEF SUMMARY OF PROPOSED AMENDMENT TO TITLE 162, SERIES 10

The West Virginia Consolidated Public Retirement Board (Board), as administrator of the State's several pension plans, proposes an amendatory addition to Title 162 to set forth a specific rule to address and outline the processes by which a member of the Deputy Sheriff Retirement System may apply for and be granted state disability retirement and the manner in which appeals from the disability determinations of the Consolidated Public Retirement Board may be presented for administrative resolution. This rule also addresses general administrative criteria not found in the statutory provisions of the Deputy Sheriff Retirement System.

STATEMENT OF CIRCUMSTANCES REQUIRING
PROPOSAL AND PROMULGATION OF PROPOSED RULES - SERIES 10
OF TITLE 162 OF THE WV CODE OF STATE REGULATIONS

As statutory administrator of the Deputy Sheriff Retirement System, the West Virginia Consolidated Public Retirement Board has determined that the legislative rules which currently exist and which are followed for disability determinations in the Public Employees Retirement System and the Teachers' Retirement System do not adequately address the unique features of the deputy sheriff's plan. Therefore, this rule is designed to set forth specific procedures to be followed whenever an application is made for a deputy sheriff to be retired from active service on the basis of disability. This rule also addresses a member's ability to receive credited service for periods of workers' compensation benefits, a surcharge for delinquency of employer and employee contributions, as well as the refund of contributions where an annuity may be payable.

APPENDIX B
FISCAL NOTE FOR PROPOSED RULES

Rule Title: Title 162 (Series 10) Deputy Sheriff Retirement System

Type of Rule: X Legislative Interpretive Procedural

Agency: The State of West Virginia Consolidated Public Retirement Board

Address: 1900 Kanawha Boulevard, East, Building 5, Room 1000, Capitol Complex
Charleston, West Virginia 25305

1. Effect of Proposed rule:

	ANNUAL		FISCAL YEAR		
	INCREASE	DECREASE	CURRENT	NEXT	THEREAFTER
ESTIMATED TOTAL COST	0.21% of Payroll		\$57,000	\$60,000	Increasing 4.5% Annually
PERSONAL SERVICES	\$0				
CURRENT EXPENSE	\$0				
REPAIRS & ALTERATIONS	\$0				
EQUIPMENT	\$0				
OTHER	\$0				

2. Explanation of Above Estimates:

The rule sets forth certain general administration rules and interpretations of statutes for the Plan. The interpretations include disability benefit rights that are more liberally interpreted than the current Actuarial Valuation pricing of those benefits, resulting in an increase in the Unfunded Actuarial Accrued Liabilities of the Plan and increased contribution requirements. This is a result of the Rules providing post regular retirement disability rights not normally found in industry practice. The rule modifies certain benefit distribution rights. The rule sets forth contribution deposit requirements and penalty for late deposits.

Rule Title: Title 162 (Series 10) Deputy Sheriff Retirement System

3. Objectives of These Rules:

- a. Provides general administrative procedures for the Plan.
- b. Provides administrative definitions and technical provisions.
- c. Provides disability application and review procedures.
- d. Provides post regular retirement disability application rights.
- e. Provides for disability examination procedures.
- f. Provides for supplemental review of disability denials.
- g. Provides for appeal procedures in case of supplemental denial of disability.
- h. Implements an annual earnings statement review for disability retirees for administrative monitoring of statutory requirements.
- i. Requires re-certification of disability status following retirement.
- j. Provides procedures for co-ordination with Workers Compensation claims.
- k. Provides that members eligible for regular retirement are only eligible for retirement benefits and may not elect a cash out of member contributions.
- l. Provides for a 10 day following end of month deposit requirement for all contributions. Establishes a late fee assessment of the greater of \$50 or the actuarial interest loss for late deposits on a monthly basis.

4. Explanation of Overall Economic Impact of Proposed Rule:

A. Economic Impact on State Government:

The administrative provisions will allow for the more effective administration of the Plan and clarify certain provisions for administrative purposes.

B. Economic Impact on Political Subdivisions; Specific Industries; Specific Groups of Citizens:

For local governments sponsoring the Plan, the required actuarial contributions to the Plan are expected to increase by 0.21% of payroll. The Unfunded Actuarial Accrued Liability of the Plan will increase by \$401,000 as of July 1, 2004 based on the July 1, 2003 Actuarial Valuation Report for the Plan and the assumptions used for that Valuation. The increase in the Unfunded Actuarial Accrued Liability will require an increase in the amortization requirement of \$23,000 for FY 2005. The change also increases the Normal Cost requirement for FY 2005 by \$34,000. The total FY 2005 increase is \$57,000. The Plan is funded based on level percentage of payroll funding and is expected to increase as a dollar amount by 4.5% annually. The resulting FY 2006 contribution increase is \$60,000. The contribution is expected to increase to remain at 0.21% of payroll through FY 2030.

Rule Title: Title 162 (Series 10) Deputy Sheriff Retirement System

It should be noted that the actuarial assumptions for the Plan are currently under review and are expected to be modified effective July 1, 2004 based on Actuarial Experience review being completed. Expected changes in the assumptions would increase the actuarial costs of the Plan and therefore will also increase the actuarial cost impact of the post retirement disability rule provisions.

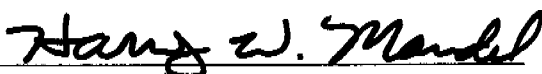
Other provisions restrict members from making member contribution cash out elections following retirement eligibility, protecting the full retirement benefits. Requires contribution deposits within 10 days following the months end and sets forth penalties for employers who contribute late. The penalties protect employers who contribute in a timely manner.

C. Economic Impact on Citizens/Public at Large:

None.

Date: July 1, 2004

Signature of Agency Head and/or Authorized Representative:


Harry W. Mandel
Member, American Academy of Actuaries
Board Actuary, WVCPRB

FILED

TITLE 162
LEGISLATIVE RULE
CONSOLIDATED PUBLIC RETIREMENT BOARD

2000 AUG 24 P 3:12

SERIES 10
DEPUTY SHERIFF RETIREMENT SYSTEM

OFFICE WEST VIRGINIA
SECRETARY OF STATE**§162-10-1. General.**

1.1. Scope. -- This rule addresses general administrative criteria, outlines the processes by which a member of the Deputy Sheriff Retirement System may apply for and be granted state disability retirement, and the manner in which appeals from the disability determinations of the Consolidated Public Retirement Board may be presented for administrative resolution.

1.2. Authority. -- W. Va. Code §5-10D-1.

1.3. Filing Date. --

1.4. Effective Date. --

§162-10-2. Definitions.

2.1. "Sheriff" refers to the sheriff of the county in which the deputy sheriff is employed in covered employment.

2.2. "Essential duties of a law enforcement officer" refers to those duties which deputy sheriffs must be capable of performing in order to be capable of continuing in covered employment.

2.3. "Vocational consultant" refers to consultants who possess the requisite training, skills, and professional certification to accurately evaluate a member's ability to engage in various types of substantial gainful activity, including but not limited to the member's ability to perform the essential duties of a law enforcement officer.

§162-10-3. Disability Applications and Petitions; Eligibility.

3.1. A member of the Deputy Sheriff Retirement System, or a person acting with legal

authority on behalf of the member, who remains in covered employment, may file a disability retirement application with the Consolidated Public Retirement Board whenever the member has reason to believe that he or she is no longer physically or mentally capable of performing the essential duties of a law enforcement officer. Application shall be made on forms approved by the Board, and the member shall cause a copy of the application to be served upon the Sheriff of his or her employment at the time of its filing with the Board.

3.2. If the Sheriff has reason to believe that a member is no longer physically or mentally capable of performing the essential duties of a law enforcement officer, and if the member has failed or refused to file an application for disability retirement, the Sheriff may file a petition with the Board seeking the disability retirement of the member. The Sheriff's petition shall be made on forms approved by the Board, and a copy of the petition shall be served by the Sheriff upon the member at the time of the filing of the petition with the Board.

3.3. Once a member has commenced to receive a retirement benefit from the retirement system, the retired member is no longer eligible to apply for disability retirement benefits, unless he or she proves to the Board, by clear and convincing evidence, that his or her alleged disability is a direct and proximate result of an injury or illness sustained in the line of duty. If more than five years have passed since the time a member has commenced regular retirement on the basis of age and service, there shall be a rebuttable presumption that the retired member's illness or injury is not directly and proximately related to his or her duties as a deputy sheriff. For members who have left covered employment and

who have elected to defer their retirement, the five year period referred to in this rule begins to run on the date the member leaves the active performance of the duties required of a deputy sheriff.

3.4. Notwithstanding any provision of this rule to the contrary, a member who has applied for and commenced to receive a partial duty related disability award is permitted to apply to the Board for a total duty disability award, if the member believes that his or her condition has deteriorated to the point that he or she no longer retains the mental or physical ability to engage in any gainful employment.

3.5. Notwithstanding any provision of this rule to the contrary, a member who has applied for and commenced to receive a partial non-duty related disability award is permitted to apply to the Board for a total non-duty disability award, if the member believes that his or her condition has deteriorated to the point that he or she no longer retains the mental or physical ability to engage in any gainful employment.

§162-10-4. Medical and Factual Information.

4.1. Any member whose mental or physical fitness to perform the essential duties of a law enforcement officer is at issue in any application for state disability retirement shall complete any and all forms, authorizations or releases requested by the Board for the processing of the member's alleged disability application, and shall cooperate fully in the gathering of relevant medical and factual information and records that are determined necessary by the Board for its consideration of the application or petition for disability retirement.

4.2. Following the filing of an application for disability retirement, the member shall, upon request by the Board, provide the Board with copies of all current and past medical records, reports and information which are relevant to the Board's determination of the member's physical or mental capacity to perform the essential duties of a law enforcement officer. Failure of the applicant to provide relevant records and reports

as requested by the Board, or failure to cooperate fully in the disability determination process, constitutes just cause for the Board's denial of the member's application.

4.3. Following the filing of an application for disability retirement, the Sheriff shall provide to the Board a copy of any fitness for duty standards which relate to the nature of the member's alleged disability. Unless the fitness for duty standards which relate to the nature of the member's alleged disability specify that corrective devices (including but not limited to hearing aids or eyeglasses) may be used to satisfy the relevant fitness standard, the Board shall presume for the purpose of its determination of the member's disability status that corrective devices may not be used to meet the standards. This presumption is rebuttable upon the presentation of clear and convincing evidence to the contrary by the member or the Sheriff.

4.4. The Sheriff shall provide the Board with any and all relevant medical and factual records and reports in his or her possession regarding the nature of the member's claimed disability, the duty relatedness of the member's claimed disability, and the member's fitness to perform the essential duties of a law enforcement officer as specified in policies adopted by the Sheriff. The Sheriff shall also complete such forms or reports as are considered necessary by the Board in its determination of the member's alleged disability.

§162-10-5. Disability Examinations.

5.1. Any member whose mental or physical fitness to perform the essential duties of a law enforcement officer is at issue in any application or petition for state disability retirement shall, upon request of the Board, submit to any mental or physical examinations determined necessary by the Board, in its discretion, for proper disposition of the alleged disability application or petition. The cost of the examinations, when requested by the Board, shall be at Board expense. The member shall cooperate fully with the Board in the scheduling of the examinations, and shall appear at such time and place for scheduled

examinations as requested by the Board. Failure to cooperate fully in the examination process may result, in the Board's discretion, in the denial of the disability application. Further, the Board shall provide advance notice to the member that should he or she fail to appear at the time and place for scheduled examinations requested by the Board, he or she may be held responsible for some or all fees charged by the physician's office for that scheduled exam.

5.2. Any physician who performs examinations under this rule for the purpose of determining a member's fitness to perform the essential duties of a law enforcement officer shall have access to and may review any and all medical and factual records, reports or other information pertaining to the member which have been gathered by the Board pursuant to this rule, and which are relevant to the physician's full and fair evaluation of the member's disability status.

5.3. At the conclusion of any physical or mental examination of a member under this rule, the examining physician or physicians shall furnish to the Board a report which states the physician's findings and opinions regarding the nature, extent and cause of the member's allegedly disabling injury or illness. The examining physician's report shall also state his or her opinion as to whether or not the member's disability, if any, is likely to be permanent.

5.4. In the Board's discretion, the report of the examining physician and all other relevant evidence pertaining to the allegedly disabling injury, as well as information concerning the essential duties of a law enforcement officer, may thereafter be submitted for review to a vocational consultant who has been selected by the Board. In those cases, the vocational consultant shall then provide the Board with a written opinion as to whether or not the member is mentally and physically capable of performing the essential duties of a law enforcement officer and, if not, whether or not the member retains the mental and physical capability of performing other types of gainful employment.

5.5. Subject to any confidentiality and disclosure limitations set forth in state law, the member and the Sheriff shall, upon request, be provided with a copy of the examining physician and vocational consultant reports which have been generated in the disability evaluation process under this rule.

5.6. The Sheriff may also require a member who intends to or who has applied for a state disability retirement to submit to an examination by a physician of his or her selection, either prior to or following the member's examination by a physician selected by the Board. The report of that examination shall be provided to the Board for consideration in the disability determination process, and a copy of the report shall be made available to the member upon written request to the Sheriff. Failure of the member to submit to an examination by a physician selected by the Sheriff may, in the Board's discretion, result in the denial of the disability retirement application.

5.7. The member or the Sheriff may pursue review by vocational consultants of their choosing following receipt of the report of the Board selected vocational consultant. If a supplemental vocational review is requested, the cost of the review shall be at the expense of the requesting party.

§162-10-6. Initial Determination.

6.1. The Executive Director of the Board or his or her designee, and two staff members appointed by the Executive Director, shall constitute the Staff Review Committee. The Staff Review Committee shall review all reports and other evidence from all sources, and shall make a recommendation for disposition of the disability application or petition, consistent with this rule and laws of the member's retirement system, to the Board's Review Committee. A preponderance of the evidence standard shall be applied in the evaluation of the evidence of record.

6.2. The Board's Review Committee shall be comprised of at least three Board members who have been appointed by the Board. The Board

Review Committee shall consider the recommendations of the Staff Review Committee as well as all reports and other evidence relating to the disability application or petition. The Board Review Committee shall thereafter present its findings and position to the full Board as to whether the member is physically or mentally incapacitated from performing the essential duties of a law enforcement officer, and should be retired on the basis of the incapacity. A preponderance of the evidence standard shall apply in the evaluation of the evidence of record.

6.3. If the member's application, or the petition of the Sheriff as provided in subdivision 10.3.2 of this Rule, is not granted by the full Board, the Board shall notify both the member and the Sheriff in writing of its decision and the basis for the decision. The notification shall state, with specificity, what action may be taken by the member or the Sheriff to pursue an administrative appeal of the Board's denial of the disability application or petition.

§162-10-7. Denial of Application; Supplemental Examination Option.

7.1. If the Board does not grant the member a disability retirement upon initial review, either the member or the Sheriff may, within twenty (20) days of receipt of the notice of denial from the Board, request that the member be re-examined by another physician of the Board's selection, at the expense of the requesting party.

7.2. If a second examination is requested by either the member or the Sheriff, the physician performing the examination shall, upon completion of the examination, furnish the Board with a written report stating his or her opinion regarding the nature, extent and cause of the member's alleged disabling injury or illness, and an opinion as to whether or not the disability is likely to be permanent. Subject to any confidentiality and disclosure limitations set forth in federal or state law, copies of the supplemental physician's report shall be furnished by the Board to the member or the Sheriff, upon written request.

7.3. If a vocational consultant was used during the initial review, the supplemental physician's report shall thereafter be submitted by the staff of the Board to the vocational consultant for a second review and supplemental report.

7.4. The reports of the examining physician, the vocational consultant, and all of the other relevant evidence of record, shall thereafter be reviewed by the Staff Review Committee. The Staff Review Committee shall, following review of all reports and other evidence from all sources, make a recommendation consistent with the evidence and applicable law to the Board Review Committee. The Board Review Committee shall thereafter consider the Staff Review Committee's recommendation, as well as the entirety of the other evidence of record, and shall make a recommendation to the full Board as to whether or not the member should be retired on the basis of disability.

7.5. The Board shall notify the member and the Sheriff of its determination following the supplemental examination process, and shall state, with specificity, what action may be taken to pursue an administrative appeal of the Board's decision.

§162-10-8. Decisions on Initial Review; Appeals by Sheriff or Member.

8.1. If the Board, upon review of an application or petition for disability retirement, determines that the member is mentally or physically incapable of performing the essential duties of a law enforcement officer and should therefore be retired, either the member or the Sheriff who may be aggrieved by the determination may, within twenty (20) days after receipt of the notice of favorable decision from the Board, request an appeal of the Board's decision to a hearing officer appointed by the Board as stated in section 9 of this rule.

8.2. If the Board, upon review of an application or petition for disability retirement, determines that the member is not mentally or physically incapable of performing the essential

duties of a law enforcement officer and should therefore not be retired, either the member or the Sheriff who may be aggrieved by such determination may, within twenty (20) days after receipt of the notice of the Board's decision, request an appeal of the Board's decision to a hearing officer appointed by the Board as stated in section 9 of this rule.

§162-10-9. Processes for Appeal of Board Decisions.

9.1. The Board shall not hear appeals directly, but shall appoint a hearing officer who shall make a complete and independent review of the disability application or petition, and make recommendations to the Board. The hearing officer who is retained by the Board for the hearings shall be an attorney who is licenced to practice law in the State of West Virginia.

9.2. The hearing officer shall, within sixty (60) days of the Board's receipt of notice of an appeal request, schedule a time and place for the appeal to be heard. All parties to the appeal shall be given at least ten (10) days written notice of the hearing.

9.3. At any hearing conducted pursuant to this rule, the applicant may appear pro se, or may be represented by counsel or by a lay representative. The Sheriff has the right to appear by a lay designee, or by counsel. Counsel for the Board may also appear at any hearing held pursuant to this rule.

9.4. The rules of evidence shall not strictly apply at any administrative hearing held pursuant to this rule, and the interests of justice and fair play shall guide the proceedings.

9.5. All parties to the appeal are, subject to any confidentiality or disclosure limitations of federal or state law, entitled to copies of any and all relevant medical and factual documents and reports which are in the possession of the Board, and which were previously considered by the Board in its prior decision on the disability application or petition.

9.6. The hearing officer may subpoena documents and witnesses if the information sought is relevant and necessary to a proper analysis of the disability claim and the formulation of his or her recommendation to the Board.

9.7. The Board shall cause a stenographic recording of the administrative hearing to be made which shall constitute the official record of the hearing. The recording shall not be transcribed except upon request of any party, at the requesting party's expense.

9.8. The hearing officer shall, within sixty (60) days of his or her receipt of any and all documents and evidentiary testimony, prepare formal findings of fact and conclusions of law, stating therein the reasons for his or her findings, and noting with specificity the medical and other evidence considered in reaching a recommendation.

9.9. Following written notice and tender of the hearing officer's recommended decision to all parties, the hearing officer shall present his or her recommended decision to the full Board at its next regularly scheduled meeting. The staff of the Board shall notify the member, the Sheriff and counsel for the Board of the date, time and place of the Board's meeting during which the appeal and the recommended decision will be presented to the Board by the hearing officer. Any party has the right to submit, in writing, a proposed final order to the hearing officer for consideration prior to presentation of the case to the Board.

9.10. The Board shall take action, in open session, on the recommendation of the hearing officer on any appeal held pursuant to this rule. Presentation of the appeal shall be made by the hearing officer, and no additional oral argument is permitted.

9.11. The Board shall accept the recommendation of the hearing officer unless an abuse of discretion is found to have occurred, or unless the recommendation is found to be based upon an error in facts or contrary to law. The

Board's final decision shall be sent, by certified mail, to the member and to the Sheriff.

§162-10-10. Appeal Denied.

10.1. If an appeal is denied in whole or in part by final decision of the Board pursuant to this rule, the aggrieved party may request a transcript of the administrative hearing, with cost of the preparation of the transcript being paid by the requesting party. An individual aggrieved by a final decision of the Board may pursue a judicial appeal to the Circuit Court of Kanawha County within the time limits set forth in Chapter 29A of the W. Va. Code.

§162-10-11. Annual Statement.

11.1. The Board may require a disability benefit recipient awarded a disability retirement under the provisions of this rule to file an annual statement of earnings and any other financial information required by the Board. If a disability recipient refuses to file the statement or financial information as requested by the Board, the Board shall suspend the disability benefit until the statement or financial information are filed. If the refusal continues for sixty (60) days, the Board shall terminate the recipient's right to the disability benefit.

§162-10-12. Disability Re-certification.

12.1. At least once each year during the first five years following the retirement of a member on account of disability, as provided in this rule, and at least once in each three-year period thereafter, the Board may require a disability retirant, who has not attained age sixty years, to undergo a medical examination to be made by or under the direction of a physician designated by the Board. Should the said retirant refuse to submit to such medical examination in any such period, his or her disability annuity may be discontinued by the Board until his or her withdrawal of such refusal. Should such refusal continue for one year, all his or her rights in and to his or her annuity may be revoked by the Board. If upon such medical examination of a

disability retirant, the said physician reports to the Board that the retirant is physically able and capable of resuming employment, his or her disability annuity shall terminate: Provided, That the report of the said physician is concurred in by the Staff Review Committee, the Board's Review Committee and the full Board.

12.2. A disability retirant who is returned to employment as a deputy sheriff shall again become a member of the retirement system and his or her credited service in force at the time of his or her retirement shall be restored to his or her credit.

§162-10-13. Member Receiving Workers' Compensation.

Any member of the Retirement System who is injured or suffers a disease growing out of the course of his or her employment and who is receiving temporary total Workers' Compensation benefits shall receive credited service toward his or her retirement: Provided, That such credited service ceases when a member returns to employment with a participating employer or is granted a disability or regular retirement under the provisions of this plan.

§162-10-14. Surcharge for Delinquency of Employer and Employee Contributions.

Any participating public employer who fails to make any payment due the Retirement System by the fifteenth (15th) day following the end of each calendar month wherein the contributions are due may be required to pay the actuarial rate of interest lost on the total employee and employer contributions owed for each month the payment is delinquent, with a minimum surcharge of fifty (50) dollars per month.

§162-10-15. Refunds of Contributions Where an Annuity May Be Payable.

15.1. Any member of the Retirement System who terminates employment after attaining age sixty (60) and who has five (5) or more years credited service and is otherwise entitled to an

annuity payable from the Retirement System, is not eligible for a refund of contributions made to the Retirement System, but, in lieu thereof, is entitled to a monthly annuity payable pursuant to W. Va. Code §7-14D-11. Any member of the Retirement System who terminates employment before attaining age sixty (60) and who is otherwise entitled to a normal retirement annuity payable from the Retirement System at the time of his or her application for a refund, is not eligible for a refund of contributions made to the Retirement System, but, in lieu thereof, is entitled to a monthly annuity payable pursuant to W. Va. Code §7-14D-11. However, any member of the Retirement System who terminates employment before attaining age sixty (60) and who is not immediately entitled to a normal retirement annuity payable from the Retirement System, even though he or she may be entitled to early or deferred benefits, shall be entitled to a refund of contributions upon written application filed with the Retirement System.

§162-10-16 Errors.

16.1. Each level of review is presumed to cure all errors in procedure of any prior level, and no right to remand in order to cure procedural errors exists. An independent determination of the facts shall, however, be made at each level of administrative review.

**Board Members**

Governor Bob Wise
Auditor Glen B. Gainer III, Vice Chairman
Treasurer John D. Perdue
Acting Cabinet Secretary Tom Susman

Executive Director

Joseph J. Jankowski, Jr.

State of West Virginia
Consolidated Public Retirement Board

Capitol Complex, Building 5, Suite 1000
1900 Kanawha Boulevard, East
Charleston, West Virginia 25305-0720
Telephone: 304-558-3570 or 800-654-4406
Fax: 304-558-6337
email: CPRB@wvretirement.com
www.state.wv.us/admin/cprb

August 1, 2004

Board Members

David L. Wyant, Chairman
David Anderson
F. Douglas Beasley
E. Gene Davis
Carl A. Guthrie
Frances A. Hughes
William B. McGinley
D. Todd Murray
Jerry A. Weaver
Janet F. Wilson

Mr. Joseph J. Jankowski, Jr.
Consolidated Public Retirement Board
Bldg. 5, Room 1000
State Capitol Complex
Charleston, WV 25305

RE: Legislative Rules Public Comment

Dear Mr. Jankowski:

Please accept this letter as public comment to Series 3 (Teachers Defined Contribution System), Series 4 (Teachers' Retirement System) and Series 10 (Deputy Sheriffs' Retirement System) of the West Virginia Code of State Regulations Title 162. I request that the daily limit allowed an employer to remit employee and employer contributions be extended from ten (10) days to fifteen (15) days in the following sections:

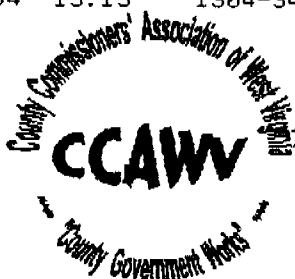
- § 162-3-4.1.
- § 162-3-4.2.
- § 162-4-10.
- § 162-10-14.

I request that this change be made to be consistent with the other comments received regarding the Public Employees Retirement System Series 5 Legislative Rule. Oftentimes, ten days is not sufficient in order to have checks signed by authorized signers and to allow for mailing time. Therefore, the limit of ten days is not always a reasonable or sufficient amount of time. Also, consistency between the multiple plans is much desired for the administrative process.

I appreciate your taking these comments into consideration. Should you have any questions regarding my concerns, please do not hesitate to contact me.

Sincerely,

Terasa L. Miller
Deputy Executive Director



**County Commissioners' Association
of West Virginia**

2309 Washington Street, East
Charleston, West Virginia 25311

E-mail ccaww@citynet.net (304) 345-4639 Fax (304) 346-3512

August 1, 2004

Joseph J. Jankowski, Executive Director
WV Consolidated Public Retirement Board
State Capitol Complex, Building 5, Room 1000
1900 Kanawha Blvd, East
Charleston, West Virginia 25305

Dear Mr. Jankowski:

I am responding to the following rules on behalf of the County Commissioners' Association of West Virginia:

These comments are in response to Proposed Legislative Rules:

Title 162, Series 5, and Title 162, Series 10

The proposed rules would allow CPRB to assess a surcharge to PERS participating employers that fail to remit payment of employer and employee contributions in a timely manner. The proposed rules allow for 10 days & imposed a penalty thereafter. We feel that 15 days would be a more reasonable deadline. Fifteen days is consistent with the date by which federal liabilities must be paid and would also fall in line with bi-monthly payrolls. While we agree that everyone benefits when contributions are timely submitted, those entities which might be late in paying are those with probably the least ability to pay, therefore, it would seem rational to give at least 15 days before imposing a penalty causing additional cost.

Thank you for your consideration of our comments.

Sincerely,

Vivian Parsons,
Executive Director

Vivian Parsons, Executive Director

visit our web site www.polsci.wvu.edu/ccaww

From: <Wvdsapresident@aol.com>
To: <jjankowski@wvretirement.com>
Date: 8/2/04 4:41PM
Subject: Public Comment on DSRS Legislative Rules

Mr. Jankowski:

The WV Deputy Sheriff's Association would ask that a section of the rules be stricken. Ie: §162-10-13.....We would request that from the word Provided, That through the rest of that sentence ending with the word disease be deleted.

We feel that this would be detrimental to a deputy, for example, who may possibly be shot in the line of duty and is never able to return to duty.

Sorry for this last minute comment. This particular section was brought to my attention today by a deputy sheriff with concern over this matter.

Your attention to this matter would be greatly appreciated. Thank you very much.

Terry Miller, State President
WV Deputy Sheriff's Assoc.

[This e-mail was scanned for viruses by F-Prot/Declude Antivirus]

[This e-mail was scanned for viruses by F-Prot/Declude Antivirus]

RESPONSE TO PUBLIC COMMENT RECEIVED ON TITLE 162, SERIES 10

The West Virginia Consolidated Public Retirement Board (Board) received public comment regarding the proposed surcharge for the delinquency of employer and employee contributions. The Board agrees that requiring the contributions to be remitted by the tenth day following the end of each calendar month may not allow a reasonable or sufficient amount of time to get checks signed by authorized personnel and mail time. The Board has modified the rule to assist its participating employers in the timely remittance of employer and employee contributions.

Additionally, the Board received public comment requesting a section of the proposed language regarding workers' compensation service credit be stricken from the proposed rule. The Board has modified the rule to provide that such credited service ceases when a member returns to employment with a participating employer or is granted a disability or regular retirement under the provisions of this plan.