

**WEST VIRGINIA
SECRETARY OF STATE
NATALIE E. TENNANT
ADMINISTRATIVE LAW DIVISION**

Form #7

Do Not Mark In This Box
Filing Date

FILED

2015 JUN 25 P 4: 20

OFFICE WEST VIRGINIA
SECRETARY OF STATE
Effective Date

NOTICE OF AN EMERGENCY RULE

AGENCY: West Virginia Health and Human Resources TITLE NUMBER: 78

CITE AUTHORITY: S.B. 274 / 9-9-11(d)

EMERGENCY AMENDMENT TO AN EXISTING RULE: YES NO

IF YES, SERIES NUMBER OF RULE BEING AMENDED: _____

TITLE OF RULE BEING AMENDED: _____

IF NO, SERIES NUMBER OF RULE BEING PROPOSED: 23

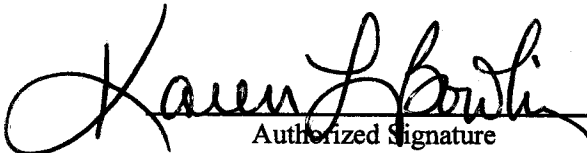
TITLE OF RULE BEING PROPOSED: W. Va. Works Program Sanctions

THE ABOVE RULE IS BEING FILED AS AN EMERGENCY RULE TO BECOME EFFECTIVE AFTER APPROVAL BY SECRETARY OF STATE OR 42ND DAY AFTER FILING, WHICHEVER OCCURS FIRST.

THE FACTS AND CIRCUMSTANCES CONSTITUTING THE EMERGENCY ARE AS FOLLOWS:

The passage of S.B. 274, amended and reenacted 9-9-11, relating to Temporary Assistance for Needy Families, specifically directs the West Virginia Department of Health and Human Resources to promulgate an emergency rule.

Use additional sheets if necessary


Authorized Signature

W. Va. WORKS PROGRAM SANCTIONS

BRIEF SUMMARY OF THE RULE

This rule establishes scheduled sanctions; identifies factors and considerations for sanctions; defines terms; provides notice and reasons for sanctions; establishes emergency rule authority; and, establishes due process procedures in contested cases when sanctions are imposed.

STATEMENT OF CIRCUMSTANCES WHICH REQUIRE THE PROPOSED RULE

This rule has been legislatively mandated by Senate Bill 274, which was passed on March 14, 2015. By establishing and imposing sanctions that take into account other contiguous states' sanction policies and/or rules, better outcomes for individuals and families to attain self-sufficiency increases.

APPENDIX B

FISCAL NOTE FOR PROPOSED RULES

W. Va. Works Program Sanctions

Rule Title: _____

Type of Rule: Legislative Interpretive Procedural

Agency: West Virginia Department of Health and Human Resources

Address: Bureau for Children and Families

Attn. Jim Weekley, CFO
350 Capitol Street, Rm. 730
Charleston, W. Va. 25301

Phone Number: (304) 356-4544

Email: Jim.K.Weekley@wv.gov

Fiscal Note Summary

Summarize in a clear and concise manner what impact this measure will have on costs and revenues of state government.

No impact on costs or revenue.

Fiscal Note Detail

Show over-all effect in Item 1 and 2 and, in Item 3, give an explanation of Breakdown by fiscal year, including long-range effect.

FISCAL YEAR			
Effect of Proposal	Current Increase/Decrease (use "-")	Next Increase/Decrease (use "-")	Fiscal Year (Upon Full Implementation)
1. Estimated Total Cost			
Personal Services			
Current Expenses			
Repairs & Alterations			
Assets			
Other			
2. Estimated Total Revenues			

W. Va. Works Program Sanctions

Rule Title: _____

Rule Title: _____

3. **Explanation of above estimates (including long-range effect):**
Please include any increase or decrease in fees in your estimated total revenues.

MEMORANDUM

Please identify any areas of vagueness, technical defects, reasons the proposed rule **would not** have a fiscal impact, and/or any special issues **not** captured elsewhere on this form.

Since the Department had established and imposed sanctions prior to the requirement of promulgating a legislative rule, it is not anticipated that there will be a fiscal impact.

Date: June 25, 2015

Signature of Agency Head or Authorized Representative

Karen L. Bowling



EMERGENCY RULE QUESTIONNAIRE

DATE: _____

TO: LEGISLATIVE RULE-MAKING REVIEW COMMITTEE

FROM: (Agency Name, Address & Phone No.) West Virginia Department of Health and Human Resources,
One Davis Square, Suite 100 East, Charleston, W. Va. 25301; (304) 558-0684

EMERGENCY RULE TITLE: W. Va. Works Program Sanctions

1. Date of filing _____

2. Statutory authority for promulgating emergency rule:

W. Va. Code 9-9-11(d)

3. Date of filing of proposed legislative rule: September 12, 2015

4. Does the emergency rule adopt new language or does it amend or appeal a current legislative rule? New language

5. Has the same or similar emergency rule previously been filed and expired?

No

6. State, with particularity, those facts and circumstances which make the emergency rule necessary for the **immediate** preservation of public peace, health, safety or welfare.

N/A

7. If the emergency rule was promulgated in order to comply with a time limit established by the Code or federal statute or regulation, cite the Code provision, federal statute or regulation and time limit established therein.

W. Va. Code 9-9-11(d)

8. State, with particularity, those facts and circumstances which make the emergency rule necessary to prevent substantial harm to the public interest.

N/A

78 CSR 23

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TITLE 78
LEGISLATIVE RULE
DEPARTMENT OF HEALTH AND HUMAN RESOURCES

OFFICE WEST VIRGINIA
SECRETARY OF STATE

SERIES 23
W. Va. Works Program Sanctions

1. General.

1.1. Scope. - This rule establishes and sets forth the schedule of sanctions; identifies factors and considerations for sanctions; provides notice and reasons for sanctions, and, establishes due process procedures in contested cases when sanctions are imposed by the West Virginia Department of Health and Human Resources in the administration of the West Virginia Works Program pursuant to provisions of W. Va. Code § 9-1-1 et seq.

1.2. Authority. - W. Va. Code § 9-9-11.

1.3. Filing date. - June 25, 2015.

1.4. Effective date. - June ___, 2015.

1.5. Purpose - This rule establishes and sets forth the schedule of sanctions; identifies factors and considerations for sanctions; provides notice and reasons for sanctions; and establishes due process procedures in contested cases when sanctions are imposed by the West Virginia Department of Health and Human Resources in the administration of the West Virginia Works Program pursuant to provisions of W. Va. Code § 9-9-1 et seq. In developing this rule, the Department has considered contiguous states in developing sanctions that discourage individuals from moving from other states into this state in an effort to take advantage of lesser sanctions imposed for the same or similar violation and ensures better outcomes for individuals and families to attain self-sufficiency.

2. Definitions.

2.1. Assistance Group - All at-risk individuals living in a residence together who purchase and prepare meals for the group.

2.2. De minimis Violation - A minor violation or failure to perform a required act required by this rule. A de minimis violation is not per se an automatic sanction violation but could be actionable or result in a sanction if the violation is willful or determined not to constitute good cause.

2.3. Department - The West Virginia Department of Health and Human Resources.

2.4. Good Cause - A legitimate excuse for failing to perform an act required by a Personal Responsibility Contract or Self-Sufficiency Plan such as, including but not limited to, physical and mental capacity or the unavailability of child care. See, Income Maintenance Manual Chapter 13.10

2.5. Personal Responsibility Contract - Is a written contract between each of the adult(s) or emancipated minor(s) of the WV WORKS program, or non-recipient Work-Eligible Individual(s), and the Department Worker, as the representative of the Department.

2.6. Sanction Violation - A violation that results in the termination of a beneficiary's cash assistance. A sanction violation includes, but is not limited to, fraud, noncompliance of the personal responsibility contract, failure or refusal to comply with the requirements of the work component may adversely affect the beneficiary's WV WORKS eligibility or the amount of his WV WORKS check. See, Income Maintenance Manual, Chapter 9.

2.7. Self-Sufficiency Plan - Is a written plan that lists the goals of each individual and the tasks necessary to accomplish those goals.

2.8. Termination - The act of ending a beneficiary's cash assistance for the term specified by the sanction.

2.9. Work-Eligible Individual - Is an individual that is not included in the WV WORKS payment but is required to participate in an activity.

3. Breach of Personal Responsibility Contract, Fraud or Deception, Code Violation, Rule Violation and Department Policy.

3.1. The department shall terminate, unless good cause exists, cash assistance benefits to an at-risk family if it finds any of the following:

3.1.a. Fraud or deception by the beneficiary in applying

for or receiving program benefits;

3.1.b. A substantial breach by the beneficiary of the requirements and obligations set forth in the personal responsibility contract and any amendments or addenda to the contract; or

3.1.c. A violation by the beneficiary of any provision of the personal responsibility contract or any amendments or addenda to the contract, Ch. 9, Art. 9, or any rule or policy promulgated by the secretary pursuant to this Ch. 9, Art. 9 of the W. Va. Code.

3.1.d. When a member of the Assistance Group or non-recipient Work-Eligible Individual does not comply with requirements found on his Personal Responsibility Contract or Self-Sufficiency Plan, termination of benefits may be imposed unless the Worker determines that good cause exists.

4. Sanctions.

4.1. Sanctions Are applied in the form of termination of benefits for a length of term. The length of termination of benefits is determined as follows:

First sanction -- entire assistance group ineligible for one month.

Second sanction -- entire assistance group ineligible for 3 months.

Third sanction -- entire assistance group ineligible for 6 months.

Fourth and subsequent or ongoing sanctions -- entire assistance group ineligible for 12 months.

5. Notice.

5.1. In the event the department determines that benefits received by the beneficiary are subject to reduction or termination, written notice of the reduction or termination and the reason for the reduction or termination shall be deposited in the United States mail, postage prepaid and addressed to the beneficiary at his or her last-known address at least thirteen days prior to the termination or reduction.

5.2. The notice shall state the action being taken by the department and grant the beneficiary a reasonable opportunity to be heard at a fair and impartial hearing before the department in accordance with administrative procedures established by the department and due process of law.

6. Burden of Proof.

6.1. In any hearing conducted pursuant to the provisions of this rule, the beneficiary has the burden of proving that his or her benefits were improperly reduced or terminated and shall bear his or her own costs, including attorneys' fees.

7. Emergency Rule.

7.1. The Department shall have authority to promulgate emergency rules, in accordance with section fifteen, article three, chapter twenty nine-a of the W. Va. Code, to discourage individuals from moving from other states into this state in an effort to take advantage of lesser sanctions should the Department determine that sanctions applied by contiguous states are more stringent for the same or similar violation.