

**WEST VIRGINIA
SECRETARY OF STATE
NATALIE E. TENNANT
ADMINISTRATIVE LAW DIVISION**

Form #2

Do Not Mark In This Box

2013 JUN 17 PM 3:59

NOTICE OF A COMMENT PERIOD ON A PROPOSED RULE

AGENCY: West Virginia Division of Corrections TITLE NUMBER: 90

RULE TYPE: Procedural CITE AUTHORITY: W.Va.Code, Sections 25-1-5, 25-1A-2, 25-1A-2a

AMENDMENT TO AN EXISTING RULE: YES ☒ NO ☐

IF YES, SERIES NUMBER OF RULE BEING AMENDED: 9

TITLE OF RULE BEING AMENDED: Inmate Grievance Procedures

IF NO, SERIES NUMBER OF RULE BEING PROPOSED: _____

TITLE OF RULE BEING PROPOSED: _____

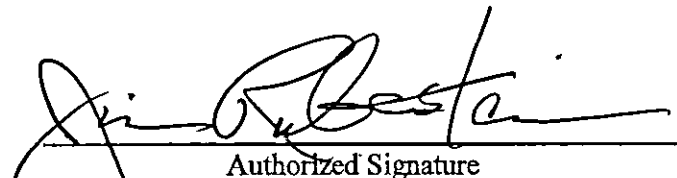
IN LIEU OF A PUBLIC HEARING, A COMMENT PERIOD HAS BEEN ESTABLISHED DURING WHICH ANY INTERESTED PERSON MAY SEND COMMENTS CONCERNING THESE PROPOSED RULES. THIS COMMENT PERIOD WILL END ON July 17, 2013 AT 5:00 p.m. ONLY WRITTEN COMMENTS WILL BE ACCEPTED AND ARE TO BE MAILED TO THE FOLLOWING ADDRESS:

West Virginia Division of Corrections

1409 Greenbrier Street

Charleston, WV 25311

THE ISSUES TO BE HEARD SHALL BE LIMITED TO THIS PROPOSED RULE.


Authorized Signature

ATTACH A **BRIEF** SUMMARY OF YOUR PROPOSAL

TITLE 90
PROCEDURAL RULE
DEPARTMENT OF MILITARY AFFAIRS AND PUBLIC SAFETY
DIVISION OF CORRECTIONS

SERIES 9
INMATE GRIEVANCE PROCEDURES

Summary of Proposed Rule

This proposed rule repeals and replaces the procedures of the same title and series, bearing the same name, filed November 5, 2012 and effective January 1, 2013. The proposed rule creates specific procedures as required by West Virginia Code, Sections 25-1A-2 and 25-1A-2a(f) effective July 1, 2013 for addressing grievances filed by inmates which allege violence against the inmate or allege imminent violence against the inmate. The proposed rule defines grievances which are subject to ordinary administrative remedies and defines "imminent violence." The proposed rule requires for an immediate response to a grievance alleging imminent violence. The proposed rule sets forth the range of appropriate immediate responses to a grievance alleging imminent violence. The proposed rule sets forth procedures for documenting the particular threat or threats, the outcome of the investigation of the grievance's allegations and the response or responses taken in response to the allegations of imminent violence. The proposed rule leaves in place existing grievance procedures for grievances subject to ordinary administrative remedies and for grievances addressing sexual abuse allegations.

Statement of Circumstances Requiring Rule

The West Virginia Legislation has promulgated changes to West Virginia Code, Section 25-1A-2 and enacted West Virginia Code, 25-1A-2a which provides for the Commissioner of the West Virginia Division of Corrections to establish procedures for processing and inmate grievance which alleges imminent violence and requires the commissioner of the West Virginia Division of Corrections to propose rules for legislative approval.

FISCAL NOTE FOR PROPOSED RULES

Rule Title: Inmate Grievance Procedures 90 C.S.R. 09

Type of Rule: ☐ Legislative ☐ Interpretive ☒ Procedural

Agency: West Virginia Division of Corrections

Address: 1409 Greenbrier Street
Charleston, WV 25311

Phone Number: 304-558-2036 Email: _____

Fiscal Note Summary

Summarize in a clear and concise manner what impact this measure will have on costs and revenues of state government.

The amendments to this rule are not anticipated to have significant fiscal impact upon the agency.

Fiscal Note Detail

Show over-all effect in Item 1 and 2 and, in Item 3, give an explanation of Breakdown by fiscal year, including long-range effect.

FISCAL YEAR			
Effect of Proposal	Current Increase/Decrease (use "-")	Next Increase/Decrease (use "-")	Fiscal Year (Upon Full Implementation)
1. Estimated Total Cost	0.00	0.00	0.00
Personal Services	0.00	0.00	0.00
Current Expenses	0.00	0.00	0.00
Repairs & Alterations	0.00	0.00	0.00
Assets	0.00	0.00	0.00
Other	0.00	0.00	0.00
2. Estimated Total Revenues	0.00	0.00	0.00

Rule Title: Inmate Grievance Procedures 90 C.S.R. 09

Rule Title:

Inmate Grievance Procedures 90 C.S.R. 09

3. **Explanation of above estimates (including long-range effect):**
Please include any increase or decrease in fees in your estimated total revenues.

See Below.

MEMORANDUM

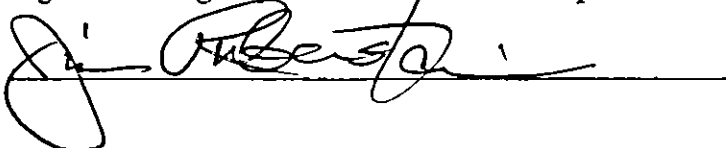
Please identify any areas of vagueness, technical defects, reasons the proposed rule would not have a fiscal impact, and/or any special issues not captured elsewhere on this form.

The purpose of this rule is to revise and enhance the existing inmate grievance procedures and to reinforce and enhance already existing emergency procedures for handling inmate allegations of imminent violence. The rule provides a response system in which any inmate can have an allegation of imminent violence immediately addressed and documented. The rule takes advantage of the requirement that inmates go through the grievance process prior to being able to file a civil action thereby providing inmates with the incentive to use the grievance process and give facilities the opportunity to take preventive action. It is hoped that the rule will enhance the agency's ability to take needed preventive actions, but any savings it may realize are not quantifiable.

Date:

June 17, 2013

Signature of Agency Head or Authorized Representative



**TITLE 90
PROCEDURAL RULE
DEPARTMENT OF MILITARY AFFAIRS AND PUBLIC SAFETY
DIVISION OF CORRECTIONS**

**SERIES 9
INMATE GRIEVANCE PROCEDURES**

§90-9-1. General.

1.1. Scope. -- It is the policy of the West Virginia Division of Corrections to maintain a mechanism that ensures the promulgation of uniform procedures concerning the formal review of issues relating to any aspect of confinement for inmates confined in its institutions/facilities/centers. It also the policy of the West Virginia Division of Corrections that all inmates be required to fully and properly utilize these procedures to the fullest extent required under any forum for which the inmate would otherwise seek judicial redress within.

1.2. Authority. -- W. Va. Code §25-1A-2 and 25-1A-2a.

1.3. Filing Date. -- July __, 2013

1.4. Effective Date. --

1.5 This Rule repeals and replaces Title 90 Series 9, titled Inmate Grievance Procedures, filed July 17, 2007 and effective August 16, 2007.

1.6 Applicability: All units within the Division of Corrections for all matters except classification, which shall follow administrative remedies set forth in Policy Directives 401.01 and Policy Directive 326.01 and inmate discipline which shall

follow administrative remedies set forth in Policy Directive 325.00.

§90-9-2. Definitions.

2.1 "Accept" shall mean the act of formally receiving the grievance for a review of the grievance on the merits.

2.2 "Commissioner" shall mean the Commissioner of Corrections. Any task to be completed by the Commissioner herein may be completed by his/her designee.

2.3 "Days" shall mean working days exclusive of weekends or state holidays.

2.4 "Exhaustion" shall mean submitting an accepted grievance and properly appealing an accepted grievance fully and receiving a final response thereto by the Commissioner. Rejections do not constitute exhaustion. Remands are not final responses unless expressly stated in the decision.

2.5 "Grievance" shall mean the formal process by which an inmate seeks redress over any matter concerning prison life, whether it involves general circumstances or particular episodes. The term "grievance" shall be considered the administrative remedy for prisoners unless this policy specifically sets forth another administrative procedure.

2.6 "Grievance Number" shall be a number affixed at the inmate's place of incarceration or point of receiving the grievance which shall be set by a two digit number for the year in which the grievance is filed (ex. 07) separated by a hyphen, the second part of the number shall be the facility designation which are the following:

ACC	Anthony Correctional Center
BCC	Beckley Correctional Center
CWRC	Charleston Work Release Center
DCC	Denmar Correctional Center
HCC	Huttonsville Correctional Center
HWC	Huttonsville Work Camp
HWRC	Huntington Work Release Center
LCC	Lakin Correctional Center
MCC	Martinsburg Correctional Center
MDC	McDowell / Stevens Correctional Center
MOCC	Mount Olive Correctional Complex
NCC	Northern Correctional Center
OCC	Ohio County Correctional Center
PBCC	Parkersburg Correctional Center
PCC	Pruntytown Correctional Center
SMCC	St. Marys Correctional Center
SWC	Slayton Work Camp

After the facility designation and a hyphen, the facility shall assign a distinct sequential number which shall be utilized for tracking the grievance and for reference. The number shall be set forth by the inmates unit designation and a sequential number separated by a hyphen. For tracking the Unit Manager of each unit shall maintain a log of grievances. The log shall conform to attachment 2 and sequential numbers shall be assigned from the beginning of each calendar year.

2.7 "Inmate" shall mean an inmate either presently at the facility or having previously been incarcerated at the facility.

2.8 "Investigate" refers to a process, whether formal or informal, by which information necessary to compile a response is provided. It can be as simple as a verbal

inquiry or can involve a more detailed investigation.

2.9 "Ordinary administrative remedy" shall mean a formal administrative process by which an inmate submits a grievance seeking redress or presenting concerns regarding any general or particular aspect of prison life which does not involve violence, sexual assault or sexual abuse against an inmate. An ordinary administrative remedy includes, but is not limited to, complaints concerning food quality, health care, appeals of prison discipline, physical plant, classification, staff treatment or some other alleged wrong.

2.9 "Reject" shall mean a refusal to review a grievance on the merits due to a failure of the inmate to follow the procedural requirements for filing such grievance. Except in cases filed under Section 7 of this Rule, grounds for rejection shall include but not be limited to failure to file the grievance in a timely manner; filing a grievance on a matter that has been previously submitted in a prior grievance; attaching excessive pages; submitting writing on multiple sides of a page; submitting a grievance appeal out of conformity with this policy (for example; including more than one grievance in a mailing) or any other matter that would be out at variance with this policy.

2.10 "Remand" means to return a grievance to a lower level for further action. When a grievance is remanded, unless the decision specifies otherwise, it is expected that a new decision will be issued at the level to which the grievance is remanded and the process continues at that level in the same manner as if it were originally filed/appealed to that level. Unless other times are specified action on a remand shall occur within 10 days of its receipt.

2.11 Sexual Abuse:

a. Inmate-on-inmate sexual abuse: Encompasses all incidents of inmate-on-inmate sexually abusive contact and inmate-on-inmate sexually abusive penetration.

i. Inmate-on-inmate sexually abusive contact: Non-penetrative touching (either directly or through the clothing) of the genitalia, anus, groin, breast, inner thigh, or buttocks without penetration by an inmate of another inmate without the latter's consent, or of an inmate who is coerced into sexual contact by threats of violence, or of an inmate who is unable to consent or refuse.

ii. Inmate-on-inmate sexually abusive penetration: Penetration by an inmate of another inmate without the latter's consent, or of an inmate who is coerced into sexually abusive penetration by threats of violence, or of an inmate who is unable to consent or refuse. The sexual acts included are:

- Contact between the penis and the vagina or the anus;
- Contact between the mouth and the penis, vagina, or anus; or
- Penetration of the anal or genital opening of another person by a hand, finger, or other object.

b. Inmate-on-inmate sexual harassment: Repeated and unwelcome sexual advances, requests for sexual favors, verbal comments, or gestures or actions of a derogatory or offensive sexual nature by one inmate directed toward another.

c. Staff-on-inmate sexual abuse: Encompasses all occurrences of staff-on-inmate sexually abusive contact,

staff-on-inmate sexually abusive penetration, staff-on-inmate indecent exposure, and staff-on-inmate voyeurism. Staff solicitations of inmates to engage in sexual contact or penetration constitute attempted staff-on-inmate sexual abuse.

i. Staff-on-inmate sexually abusive contact: Non-penetrative touching (either directly or through the clothing) of the genitalia, anus, groin, breast, inner thigh, or buttocks by a staff member of an inmate with or without the latter's consent that is unrelated to official duties.

ii. Staff-on-inmate sexually abusive penetration: Penetration by a staff member of an inmate with or without the latter's consent. The sexual acts included are:

- Contact between the penis and the vagina or the anus;
- Contact between the mouth and the penis, vagina, or anus; or
- Penetration of the anal or genital opening of another person by a hand, finger, or other object.

iii. Staff-on-inmate indecent exposure: The display by a staff member of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate.

iv. Staff-on-inmate voyeurism: An invasion of an inmate's privacy by staff for reasons unrelated to official duties or when otherwise not necessary for safety and security reasons, such as peering at an inmate who is using a toilet in his or her cell; requiring an inmate to expose his or her buttocks, genitals, or breasts; or taking images of all or part of an inmate's naked body or of an inmate performing bodily functions and

distributing or publishing them.

d. Staff-on-inmate sexual harassment: Repeated verbal comments or gestures of a sexual nature to an inmate by a staff member. Such statements include demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

2.12 "Unit Manager" shall refer to the Unit Manager of the housing unit to which the inmate is assigned. In any housing unit that does not have a Unit Manager, the person occupying the position of Unit Manager for purposes of this policy shall be the commanding officer of such unit.

2.13 "Warden/Administrator" shall refer to the Chief executive officers of the facility in which the inmate is confined and shall also include work release administrators. All tasks designated to a Warden/Administrator in this policy may be completed by a designee.

§90-9-3. General Provisions.

3.1 Nothing in this Rule shall be construed to provide an inmate with any additional liberty interest that would not otherwise exist if this Rule did not exist.

3.2 Copies of all inmate grievances, appeals, and responses at Unit Manager and Warden/Administrator's level shall be maintained at the institution. Once appealed to the Commissioner, the grievance shall be filed within the inmate's central office file. Only the grievance filed within the central office file shall be indicative of whether the inmate has exhausted administrative remedies.

3.3 Except for allegations brought under Section 7 of this Rule, an inmate may not use the Inmate Grievance Procedure to submit a

grievance or appeal on behalf of another inmate or for any matter that does not directly affect the inmate filing the grievance.

3.3 Any inmate who fails to fully and properly comply with the provisions set forth in this Rule shall not be considered to have taken full advantage of administrative remedies afforded him/her and therefore has not exhausted administrative remedies.

3.4 The Warden/Administrator shall maintain a searchable record of all grievances. The record shall be searchable in the following manners: name or DOC number of inmate; grievance number; type of grievance and date of filing.

3.5 This Rule represents the general administrative remedy procedures for the Division of Corrections. Staff are instructed that this Rule will apply to any issue advanced by an inmate that does not have a specific administrative remedy identified by this Rule. Inmates should, as a general guideline, not to be told that an issue is not "grievable." If an issue is not properly presented under this Rule, the inmate should be instructed as to the proper policy and procedure for seeking an administrative remedy. However, being able to grieve an issue does not equate to being entitled to relief sought.

§ 90-9-4 Initial Filing of Grievance

4.1 Any inmate may file a grievance utilizing a grievance form within fifteen (15) days of any occurrence that would cause him/her to file a grievance. (See Attachment 1) These forms shall be made available to members of the inmate population at all institutions/facilities/centers. At a minimum, grievance forms shall be available in all inmate housing units and the law libraries.

4.2 An inmate may grieve only one (1) issue or complaint per form, and, except for grievances pursuant to Section 7, the issue must directly pertain to the inmate filing the grievance.

4.3 The grievance form shall initially be submitted by the inmate to his/her Unit Manager. Upon receipt of the grievance form, the Unit Manager shall log the grievance and assign it a number in conformity with the procedure set forth above.

4.4 Prior to responding to the grievance, the Unit Manager shall inspect the grievance to determine whether the grievance was filed in a timely manner; whether the grievance contains excessive pages; whether the grievance is otherwise not submitted within the proper format; or whether the grievance seeks to discuss matters previously addressed in a prior grievance. If the grievance is not filed within a proper time frame, contains excessive pages, is not within the proper format or seeks to present an issue previously addressed, the Unit Manager shall reject the grievance, providing a brief explanation of the grounds for rejection, and return the grievance to the inmate, noting the rejection on the log. **Except for grievances rejected due to having been previously address in a grievance or those filed beyond the time limits to file a grievance**, the inmate shall have 5 days to correct the defect and re-file a new grievance. An inmate may appeal a rejection in the same manner as a decision but the scope of the appeal is limited only to the propriety of the rejection and not to the merits such that appeal the rejection to the commissioner does not exhaust administrative remedies on the issue presented in the defective grievance.

4.5 It shall be the responsibility of the Unit

Manager to ensure that an answer to the grievance is provided back to the inmate within 5 days. The response should be clear, concise, complete and professional. The Unit Manager is not required to personally answer the grievance, so long as he or she ensures that the grievance ultimately received is timely addressed and, if accepted, answered. *Provided* that the Unit Manager shall ensure that the staff member to which the grievance pertains shall not be responsible for answering the grievance. In the case of accepted grievances addressing questions of health care, the Unit Manager shall route the grievance to the facility's health care administrator to review and provide the inmate an answer. However, the Unit Manager, while not reading the grievance response from the medical unit, shall ensure that a response has been completed and provided to the inmate, logging the same.

4.6 The inmate shall be provided a copy of his or her grievance form prior to submission at each level for the inmate's records. The inmate may attach to the grievance only one (1) 8.5 x 11 inch page with writing on a single side. Only one staple may be used to affix the pages together. The inmate may not tear, fold or affix tape to the forms, except that the forms may be folded and placed into a number 10 envelope.

4.7 If the Unit Manager fails to answer or reject the grievance within the time frame noted in Section 4.5 of this Rule, the inmate may treat the non-response as a denial of his/her grievance. The inmate shall indicate on the form that the grievance that was set forth in the form to the Warden/Administrator was previously filed without a response to the Unit Manager. The Warden/Administrator shall investigate such allegation. If it is determined that the inmate had submitted a grievance without

response the Warden/Administrator should require an immediate response from the Unit Manager. If it is determined by the Warden/Administrator that the inmate had either, not filed the form with the Unit Manager or had been given a timely response, the Warden/Administrator should initiate appropriate disciplinary action under Policy Directive 325.00.

§ 90-9-5 Appeal to Warden/Administrator

5.1 Should the response at the Unit Manager level not resolve the issue, the inmate may appeal to the Warden/Administrator within five (5) days from delivery of the response to his/her grievance. The inmate shall use the same form as was submitted to the Unit Manager and signing in the appropriate location. Only the grievance form and Unit Manager's response shall be submitted. Submission of any additional materials beyond the initial grievance and response shall be grounds to reject the appeal, except in the case of grievances submitted pursuant to Section 7 of this Rule.

5.2 As with the initial level, the inmate shall be provided a copy of his or her grievance form prior to submission to the Warden/Administrator for their records. Only the grievance form (including the 1 page attachment submitted to the Unit Manager if any) and Unit Manager response is to be copied.

5.3 Prior to responding to the appeal the Warden/Administrator shall review the grievance to determine whether any grounds for rejection exists in the same manner as Sections 4.4 or 5.1 or any other ground in this Rule. If such exists the grievance shall be rejected in the same manner as provided in Section 4.4.

5.4 The Warden/Administrator shall

respond to the appeal, using the grievance form, within five (5) days. The Warden/Administrator shall consider the statement of the grievance, as presented at the initial level, together with the Unit Manager's response to determine whether the response is appropriate and in furtherance with the mission of the Division of Corrections and consistent with the orderly operation of the facility. After a review of the grievance, the Warden/Administrator may: affirm the Unit Manager and deny the grievance; deny the grievance for reasons other than that which is addressed by the Unit Manager; grant the grievance; or remand the grievance back to the Unit Manager for further action. In reviewing the grievance the Warden/Administrator should place the expectation upon the Unit Manager that the grievance will be fully addressed at their level such that additional investigation should rarely be necessary, and that a decision can be rendered from a review of the grievance document. If a grievance has not been properly submitted through any level by an inmate, it shall be rejected.

§ 90-9-6 Appeal to Commissioner

6.1 Should the inmate believe that the Warden/Administrator's response does not resolve his/her grievance or the Warden/Administrator fails to respond in the time frames set forth in Section 5.4 of this Rule, the inmate may submit an appeal to the Commissioner of the Division of Corrections within five (5) days after he/she receives the Warden/Administrator's response or the time for the response has passed. The appeal shall be submitted using the same form as was submitted to the Unit Manager and signing in the appropriate location. Only the grievance form together with the Unit Manager and Warden/Administrator's responses shall be submitted. Each grievance appealed to the commissioner shall be mailed to the

Commissioner by first class mail. **Only one grievance per envelope shall be permitted.**

Except for grievances filed pursuant to Section 7, submission of multiple grievances or submission of grievances bound with tape or more than one staple or by any other means shall be grounds for rejection of the entire mailing in addition to all other grounds. The inmate may not tear or fold the forms, except that the forms may be folded to place it in a number 10 envelope. The grievance shall be appealed to the Commissioner by mailing only the grievance form and single 8 ½ inch page attachment, together with any response from the unit level and Warden/Administrator to the Commissioner at the following address:

W.Va. Division of Corrections
Commissioner's Office
Attention: Inmate Grievance Review
1409 Greenbrier St.
Charleston, WV 25311

6.2 As with the initial level and Warden/Administrator's level, the inmate shall be provided a copy of his or her grievance form prior to submission to the Commissioner for their records. Only the grievance form (including the 1 page attachment submitted to the Unit Manager if any) and responses are to be copied.

6.3 Prior to responding to the appeal the Commissioner shall review the grievance to determine whether any grounds for rejection exists in the same manner as Sections 4.4, 5.1, 5.4 and 6.1 or any other provision of this Rule. If such exists the grievance shall be rejected in the same manner as provided in Section 4.4.

6.4 The Commissioner shall respond to the appeal, in writing, within ten (10) days. The Commissioner shall consider the statement of the grievance, as presented at the initial level,

together with the Warden/Administrator and Unit Manager's response to determine whether the response is appropriate and in furtherance with the mission of the Division of Corrections and with the orderly operation of the facility. Upon review of the grievance, the Commissioner may: affirm the Warden/Administrator and deny the grievance; deny the grievance for reasons other than that which is addressed by the Warden/Administrator and Unit Manager; grant the grievance; or remand the grievance back to the Warden/Administrator or Unit Manager for further action. In reviewing the grievance an expectation is placed upon the Warden/Administrator and Unit Manager that the grievance will be fully addressed at their levels and additional investigation should rarely be necessary. A decision should be able to be rendered from a review of the grievance document. If a grievance has not been properly submitted through any level by an inmate, it shall be rejected. A rejected grievance does not exhaust the grievance process or that step of the process.

§ 90-9-7 Special Procedures for Allegations of Sexual Abuse

In cases where an inmate alleges they have been sexually abused as defined in this Rule:

7.1 An inmate may file a grievance at any time concerning sexual abuse and the grievance shall not be rejected at the Unit Manager's level.

7.2 In any case where an inmate would be required to submit his or her grievance to a staff member they are alleging engaged in the sexual abuse, the inmate shall submit the grievance to the Warden/Administrator (or if the Warden/Administrator is the individual alleged to be the individual sexually abusing the inmate, to the Commissioner). The Warden/Administrator and/or the

Commissioner, as the case may be shall then assign appropriate staff to respond to the grievance.

7.3 The time frames for processing the grievance and for appeals shall be the same as for ordinary greivances which requires completion of the process within 60 days.

7.4 Reports and correspondence not initially filed as a grievance.

a. Whenever a staff member is notified either verbally or in writing of an allegation that an inmate has been sexually abused, including notification from another inmate, the staff member shall transmit a copy of this information to the Warden/Administrator, who shall forthwith transmit the same to the Director of the Corrections' Investigation Division and to the Inmate's Unit Manager. In the event the Warden/Administrator is the one accused of committing the sexual abuse, the copy shall be directly sent to the Director of the Corrections' Investigation Division and Unit Manager by the staff member. The Unit Manager shall consider such notification as a grievance submitted on behalf of the inmate and shall assign the information a grievance number. The Unit Manager shall ensure that all verbal reports are reduced to writing. A grievance or an appeal thereof relating to sexual abuse shall not be rejected due to defects in the form of the grievance or for any other reason for rejection as noted above.

b. The Unit Manager or assigned staff member shall inform the inmate allegedly sexually abused that a grievance has been submitted on his or her behalf and shall process it under the above stated procedures. The Unit Manager shall also request the inmate to complete a grievance form, but the inmate is not required. If the inmate expressly requests that it not be processed,

the Unit Manager shall document any such request and close the grievance. Provided however, that the Unit Manager shall remain responsible for ensuring the information was reported to the Corrections Investigation Division.

c. After the Unit Manager's initial actions, the inmate will be responsible for personally pursuing any subsequent steps in the grievance process through exhaustion.

7.5 Emergency Procedures:

a. An inmate alleging that he or she is subject to a substantial risk of imminent sexual abuse may file a grievance directly to his or her Warden/Administrator who shall in turn cause an immediate review of the inmate's allegations and circumstances to determine whether such substantial risk of imminent sexual abuse exists. Such review can be in conjunction with an application for special management under Policy Directive 326.00. The scope of the Warden/Administrator's review shall be limited to whether the inmate is at substantial risk of imminent sexual abuse.

b. As soon as possible, but no longer than within 48 hours, the Warden/Administrator shall make an initial response determining whether the inmate's allegations that he or she is at substantial risk of imminent sexual abuse was substantiated and if so, the Warden/Administrator shall take all necessary corrective action. Within 5 calendar days the Warden/Administrator shall issue a final written response setting forth reasons supporting the decision and any action taken.

c. If no emergency exists the Warden/Administrator shall return the grievance to the inmate, and require the inmate to follow the normal grievance

procedures.

d. The Warden/Administrator shall provide a written explanation of why the grievance does not qualify as an emergency.

e. Any inmate found to have intentionally filed an emergency grievance where no emergency exists and in bad faith shall be subject disciplinary action under Policy Directive 325.00.

90-9-8 Special Procedures for Allegations of Violence and Imminent Violence

8.1 An inmate alleging that he/she is in danger of imminent violence shall file a grievance to his/her unit manager or, if the unit manager is unavailable, to the shift commander. Any staff person receiving a grievance in which an inmate alleges he/she is in danger of imminent violence shall immediately forward the grievance to the unit manager or, if the unit manager is unavailable, to the shift commander.

8.2 The unit manager or shift commander upon receipt of such a grievance shall cause an immediate review to take place of the inmate's allegations and circumstances to determine whether a substantial risk of imminent violence exists. The unit manager or shift commander can refer the review to designated staff, or can have the review processed as an application for special management under Policy Directive 326.00 or processed in conjunction with any other policy directive or operational procedure which is intended to handle such claims of imminent violence.

8.3 "Imminent violence" means an act of violence which:

- a. has the potential to cause substantial bodily injury or greater to the inmate, and
- b. has a reasonable possibility of occurring in the immediate or near future.

8.4 An inmate alleging that he/she is in danger of imminent violence shall in the grievance set forth with specificity the nature of the threat of imminent violence and list any person who the inmate believes may cause him/her bodily injury or greater. An inmate shall receive assistance, if needed, in providing such information in writing.

8.5 As soon as possible, but no longer than within forty-eight (48) hours, the review shall make an initial response determining whether the inmate's allegations that he/she is at substantial risk of imminent violence is substantiated. If the initial review finds that the allegation of imminent violence is substantiated or is unable to find that the allegation of imminent violence is unsubstantiated, the facility shall cause appropriate action, if needed, to protect the safety of the inmate.

8.6 Within five (5) calendar days, the unit manager or shift commander shall issue a written response to the inmate's grievance. The response to the grievance shall confirm that the inmate's allegations have been reviewed or processed under Policy Directive 326.00 or processed in conjunction with any other policy directive or operational procedure which is intended to handle claims of violence, and state whether the review substantiated the inmate's

allegations and what, if any, actions have been taken in the matter. The response, however, shall not include information regarding private personnel actions.

8.7 An inmate alleging that he/she is subject to violence which is not imminent may file a grievance to his/her unit manager who shall in return cause the allegations to be reviewed. The unit manager can refer the review to designated staff, or can have the review processed as an application for special management under Policy Directive 326.00 or processed in conjunction with any other policy directive or operational procedure which is intended to handle claims of violence.

8.8 In any case where an inmate would be required to submit his/her grievance to a staff member he/she is alleging has threatened him/her with violence, the inmate shall submit the grievance to the Warden/Administrator (or if the Warden/Administrator is the individual alleged to have threatened him/her with violence, to the Commissioner). The Warden/Administrator and/or the Commissioner, as the case may be, shall assign appropriate staff to respond to the grievance.

8.9 Except for as set forth in the above special procedures, a grievance alleging violence and or imminent violence shall follow the procedures for ordinary administrative remedies.

ONE STAPLE ONLY

W.Va. Division of Corrections Inmate Grievance Form

Grievance No. _____ - _____ - _____

Inmate Name _____

DOC # _____

Date of Grievance _____

Nature of Grievance / Issue to be addressed (Note 1 issue per grievance be concise file with Unit Manager NO WRITING ON BACK):

Relief Sought (state what you want): _____

(The inmate may attach 1 8.5 x 11 sheet if necessary at this level only)

Inmate's Signature _____

Unit Manager's Response (attach additional sheet if needed)

Accepted _____ Rejected _____ Reason for rejection: _____ Date: _____

Response on Merits if accepted: _____

Signature _____

Resolved: _____ (if so initial and give copy to unit manger) Appealed to Warden/Administrator _____ (initial) Date: _____

No response at initial level is included the inmate certifies that he/she has tendered this grievance as indicated above and no response has been issued at that level within the time frames set forth in Policy Directive 335.00

Inmate's Signature _____

Date _____

Action by Warden/Administrator:

Accepted _____ Rejected _____ Reason for rejection: _____ Date: _____

Response on Merits if accepted: _____ Remand to Unit for further action _____ Affirm unit and/or deny grievance _____ Grant the Grievance as specified

Comments _____

(Attach additional sheet if necessary)

Warden/Administrator's Signature _____

Date _____

Resolved: _____ (if so initial and give copy to unit manger) Appealed to Commissioner _____ (initial)

No response at warden's level is included, the inmate certifies that he/she has tendered this grievance as indicated above and no response has been issued at that level within the time frames set forth in Policy Directive 335.00

Inmate's Signature _____

Date _____

ction by Commissioner:

Accepted _____ Rejected _____ Reason for rejection: _____ Date: _____

Response on Merits if accepted: ___ Affirm Warden/Administrator and deny grievance (Affix final stamp) ___ Other, memo attached.

Grievance Log

Facility: _____

Init: _____

Calendar Year: _____

[illegible]



STATE OF WEST VIRGINIA
OFFICE OF THE ATTORNEY GENERAL
CHARLESTON 25305

PATRICK MORRISEY
ATTORNEY GENERAL

2013 JUN 17 PM 3:58

Please reply to:
WV Division of Corrections
Legal Division - 3rd Floor
1409 Greenbrier Street, 3rd Floor
Charleston, West Virginia 25311
(304) 558-2036 fax (304) 558-5934

June 17, 2013

The Honorable Natalie Tennant
Secretary of State
1900 Kanawha Boulevard
Charleston, WV 25305

Re: 90 CSR 9, Inmate Grievance Procedures

Dear Ms. Tennant:

Enclosed herewith, for filing are the following:

1. Notice of Comment Period with approval by the Commissioner who is the individual with authority pursuant to West Virginia Code, Sections 25-1-5, 25-1A-2 and 25-1A-2a to issue this rule.
2. Summary of Rule and Statement of Circumstances Requiring Rule
3. A fiscal note.
4. A draft of the proposed rule.
5. A copy of West Virginia Code, Sections 25-1A-2 and 25-1A-2a.

I have also enclosed two additional copies. Since this is a procedural rule, my understanding is that only the original is to be filed. However, I am enclosing two additional copies and ask that they be file stamped and returned to me if not needed.

Thank you for your assistance with this matter. If you require anything further please do not hesitate to let me know.

Sincerely,

Cynthia Gardner
Assistant Attorney General

cc: Jim Rubenstein
file