

KEN HECHLER
Secretary of State

MARY P. RATLIFF
Deputy Secretary of State

JAN CASTO
Deputy Secretary of State

Telephone: (304) 558-6000
Corporations: (304) 558-8000
FAX: (304) 558-0900
E-Mail WVSOS@Secretary.State.WV.US



STATE OF WEST VIRGINIA

SECRETARY OF STATE

Building 1, Suite 157-K
1900 Kanawha Blvd., East
Charleston, WV 25305-0770

WILLIAM H. HARRINGTON
Chief of Staff

CATHERINE FREROTTE
Executive Assistant

JUDY COOPER
Director, Administrative Law

PENNEY BARKER
Supervisor, Corporations

(Plus all the volunteer
help we can get)

Office of the Secretary of State
1900 Kanawha Blvd. East
Charleston, WV 25305-0770
Phone: (304) 558-6000
Fax: (304) 558-0900
E-Mail: WVSOS@Secretary.State.WV.US

October 15, 1999

NOTICE OF EMERGENCY RULE DECISION BY THE SECRETARY OF STATE

AGENCY: UNIVERSITY SYSTEM OF WEST VIRGINIA, BOARD OF TRUSTEES

RULE: NEW RULE, SERIES 66, HIGHER EDUCATION ADULT PART-TIME STUDENT GRANT PROGRAM (HEAPS)

DATE FILED AS AN EMERGENCY RULE: SEPTEMBER 31, 1999

DECISION NO. 15-99

Following review under W. Va. Code §29A-3-15a, it is the decision of the Secretary of State that the above emergency rule is **approved**. A copy of the complete decision with required findings is available from this office.

Handwritten signature of Ken Hechler in cursive script.

KEN HECHLER
Secretary of State

KEN HECHLER
Secretary of State

MARY P. RATLIFF
Deputy Secretary of State

JAN CASTO
Deputy Secretary of State

Telephone: (304) 558-6000
Corporations: (304) 558-8000
FAX: (304) 558-0900
E-Mail WVSOS@Secretary.State.WV.US



STATE OF WEST VIRGINIA
SECRETARY OF STATE
Building 1, Suite 157-K
1900 Kanawha Blvd., East
Charleston, WV 25305-0770

WILLIAM H. HARRINGTON
Chief of Staff

CATHERINE FREROTTE
Executive Assistant

JUDY COOPER
Director, Administrative Law

PENNEY BARKER
Supervisor, Corporations

(Plus all the volunteer
help we can get)

EMERGENCY RULE DECISION
(ERD 15-99)

AGENCY: UNIVERSITY SYSTEM OF WEST VIRGINIA, BOARD OF TRUSTEES

RULE: NEWRULE, SERIES 66, HIGHER EDUCATION ADULT PART-TIME STUDENT GRANT PROGRAM (HEAPS)

FILED AS AN EMERGENCY RULE: SEPTEMBER 31, 1999

- par. 1 The University System of West Virginia, Board of Trustees (Board) has filed the above new rule as an emergency rule.
- par. 2 W. Va. Code 29A-3-15a requires the Secretary of State to review all emergency rules filed after March 8, 1986. This review requires the Secretary of State to determine if the agency filing such emergency rule: 1) has complied with the procedures for adopting an emergency rule; 2) exceeded the scope of its statutory authority in promulgating the emergency rule; or 3) can show that an emergency exists justifying the promulgation of an emergency rule.
- par. 3 Following review, the Secretary of State shall issue a decision as to whether or not such an emergency rule should be disapproved [§29A-3-15a].
- par. 4 (A) Procedural Compliance: W. Va. Code §29A-3-15 permits an agency to adopt, amend or repeal, without hearing, any legislative rule by filing such rule, along with a statement of the circumstances constituting the emergency, with the Secretary of State and forthwith with the Legislative Rule-Making Review Committee (LRMRC).
- par. 5 If an agency has accomplished the above two required filings with the appropriate supporting documents by the time the emergency rule decision is issued or the expiration of the forty-two day review period, whichever is sooner, the Secretary of State shall rule in favor of procedural compliance.

par. 6 The Board filed this emergency rule with supporting documents with the Secretary of State September 31, 1999.

par. 7 It is the determination of the Secretary of State that the Board has complied with the procedural requirements of W. Va. Code §29A-3-15 for adoption of an emergency rule.

par. 8 (B) Statutory Authority -- W. Va. Code §18C-5-7 reads in part:

(e) The governing boards shall propose a joint legislative rules pursuant to §29A-3A-1 et seq. to implement the provisions of this section which shall be filed with the legislative oversight commission on education accountability by September 1, 1999. The Legislature hereby declares that an emergency situation exists and, therefore, the governing boards jointly may establish by emergency rule, under the procedures of §29A-3a of this code, legislative rules to implement the provisions of this section, after approval by the legislative oversight commission on education accountability.

par. 9 It is the determination of the Secretary of State that the Board has not exceeded its statutory authority in promulgating this emergency rule.

par. 10 (C) Emergency -- W. Va. Code §29A-3-15(f) defines "emergency" as follows:

(f) For the purposes of this section, an emergency exists when the promulgation of a rule is necessary for the immediate preservation of the public peace, health, safety or welfare or is necessary to comply with a time limitation established by this code or by a federal statute or regulation or to prevent substantial harm to the public interest.

par. 11 There are essentially three classes of emergency broadly presented with the above provision: 1) immediate preservation; 2) time limitation; and 3) substantial harm. An agency need only document to the satisfaction of the Secretary of State that there exists a nexus between the proposal and the circumstances creating at least one of the above three emergency categories.

par. 12 The facts and circumstances as presented by the Board are as follows:

Through HB 2482, the Legislature declared that an emergency situation existed, and therefore, the governing boards were to jointly establish by emergency rule, legislative rules to implement the Higher Education Adult Part-time Student (HEAPS) Grant Program.

The Legislature has appropriated funds for student awards for FY 1999-2000. We believe awards cannot be made until the emergency rule is filed.

par. 13 It is the determination of the Secretary of State that this proposal qualifies under the definition of an emergency as defined in §29A-3-15(f). . . "time limitation" and "mandated by the Legislature."

par. 14 This decision shall be cited as Emergency Rule Decision 15-99 or ERD 15-99 and may be cited as precedent. This decision is available from the Secretary of State and has been filed with the University System of West Virginia, Board of Trustees, the Attorney General and the Legislative Oversight Commission on Education Accountability.



KEN HECHLER
Secretary of State

Entered _____

OFFICE OF THE SECRETARY OF STATE
MARTIN LUTHER KING, JR. BUILDING
CHARLESTON, WEST VIRGINIA 25305
APR 15 10 25 AM '99