

**WEST VIRGINIA
SECRETARY OF STATE**

KEN HECHLER

ADMINISTRATIVE LAW DIVISION

FILED

JUN 18 3 55 PM '97

OFFICE OF WEST VIRGINIA
SECRETARY OF STATE

Form #2

NOTICE OF A COMMENT PERIOD ON A PROPOSED RULE

AGENCY: WEST VIRGINIA STATE POLICE TITLE NUMBER: 81

RULE TYPE: LEGISLATIVE; CITE AUTHORITY W. Va. Code 15-2-6(a)

AMENDMENT TO AN EXISTING RULE: YES NO

IF YES, SERIES NUMBER OF RULE BEING AMENDED: 8

TITLE OF RULE BEING AMENDED: WEST VIRGINIA STATE POLICE GRIEVANCE
PROCEDURE

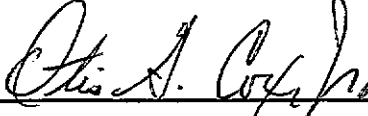
IF NO, SERIES NUMBER OF NEW RULE BEING PROPOSED: N/A

TITLE OF RULE BEING PROPOSED: N/A

IN LIEU OF A PUBLIC HEARING, A COMMENT PERIOD HAS BEEN ESTABLISHED DURING WHICH ANY INTERESTED PERSON MAY SEND COMMENTS CONCERNING THESE PROPOSED RULES. THIS COMMENT PERIOD WILL END ON July 18, 1997 AT 10:00am. ONLY WRITTEN COMMENTS WILL BE ACCEPTED AND ARE TO BE MAILED TO THE FOLLOWING ADDRESS.

SUPERINTENDENT c/o S.W. Cogar
725 Jefferson St.
S. Charleston, WV 25309-1698
- Contact number: (304)746-2112
- FAX number: (304)746-2405

THE ISSUES TO BE HEARD SHALL BE LIMITED TO THIS PROPOSED RULE.



Authorized Signature

ATTACH A **BRIEF** SUMMARY OF YOUR PROPOSAL

**West Virginia State Police
Title 81
Series 8**

Summary of Proposed Rule

The proposed rule is intended to provide a procedure for the equitable and consistent resolution of employment grievances raised by the West Virginia State Police non-probationary members.

**West Virginia State Police
Title 81
Series 8**

Circumstances Requiring Amendments to the Rule

The following circumstances led to the proposed amendments to §81-8-1 et seq.:

1.) The current rule defines the word "days" as "calender days." This definition places unreasonable time restrictions on the parties involved in the grievance process. In order to give the parties a more reasonable time allotment, the proposed rule defines "days" as "working days exclusive of Saturday, Sunday or official holidays."

2.) The current rule permits a grievant to be represented by a lawyer, him/herself, or a member of the trade or professional organization that has the largest number of members of the State Police within its membership. This limits a grievant's choice as to whom he/she can be represented by. The proposed rule allows a grievant to be represented by anyone of his/her choosing.

3.) The current rule limits conferences or correspondence with a hearing examiner regarding the merits of the grievance to instances only when all parties to the grievance are present. This limits the free flow of information by requiring all parties to be present. The proposed rule broadens this provision to allow these conferences after the notification of all parties.

4.) The current rule makes the Professional Standards Section responsible for grievances. The proposed rule places this responsibility with a grievance coordinator, thereby giving the Superintendent latitude to designate an individual, other than someone from the Professional Standards Section, to coordinate grievances.

5.) Under the current rule the Superintendent can only appeal a level four decision. This is obviously unfair since the grievant can appeal at any level. The proposed rule gives the Superintendent the same appeal rights as the grievant.

6.) The current rule does not make the grievant responsible for the Superintendent's costs in circuit court when the grievant loses. This is unfair since the Superintendent bears the grievant's costs at circuit court when the Superintendent loses. The proposed rule resolves this unfairness by making the loser pay the winner's costs at circuit court.

7.) The current rule states that if the State Police fails to comply with any provisions of the rule, it may be compelled to do so by mandamus proceeding. This language is unnecessary since the State Police will obviously be required to obey any order(s) of the court. The proposed rule reflects this change.

8.) The current rule limits the circumstances under which a member may grieve promotions decisions under this rule. Further, proposed amendments to CSR §81-3-1 et seq. (WVSP CAREER PROGRESSION SYSTEM) eliminate the special career progression system's appeal board. Therefore, the proposed gives members the right to appeal any alleged adverse decision concerning their eligibility for promotion or reclassification within any sub-system of the career progression system under this rule.

9.) The current rule requires the State Police, prior to the hearing, to produce any documents, not privileged which are relevant to the subject matter. As this reads, the State Police must produce these documents even though said documents have not been requested by the grievant. This open document production requirement places an undue burden upon the State Police. Therefore, the proposed rule requires the grievant to complete a written request for any such documents.

10.) The current rule requires all recorded testimony and evidence at a level three hearing to be transcribed and certified by affidavit. This places an unnecessary cost burden upon the agency. Therefore, the proposed rule eliminates this requirement.

11.) The current rule requires level one, two, and three hearings to be held at convenient place accessible to the grievant. Further, the location of level four hearings is determined by the hearing examiner. This places an unnecessary burden upon the agency since most grievances involve witnesses from State Police headquarters. Additionally, there is only one department attorney authorized to represent the agency in grievance matters, by allowing the member or hearing examiner to select the hearing location, the department attorney would be required to travel unnecessarily throughout the state. Therefore, the proposed rule gives the agency the power to select the hearing location at all levels.

APPENDIX B

FISCAL NOTE FOR PROPOSED RULES

Rule Title: WEST VIRGINIA STATE POLICE GRIEVANCE PROCEDURE

Type of Rule: X Legislative Interpretive Procedural

Agency WEST VIRGINIA STATE POLICE

Address 725 Jefferson St.
S. Charleston, WV 25309-1698

1. Effect of Proposed Rule

	ANNUAL FISCAL YEAR				
	INCREASE	DECREASE	CURRENT	NEXT	THEREAFTER
ESTIMATED TOTAL COST	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-
PERSONAL SERVICES					
CURRENT EXPENSE					
REPAIRS & ALTERNATIONS					
EQUIPMENT					
OTHER					

2. Explanation of above estimates:

The proposed rules will have only nominal costs (if any at all) associated with them. Therefore, we have indicated that the proposed rules result in no costs to our agency.

3. Objectives of these rules:

To provide a procedure for the equitable and consistent resolution of employment grievances raised by West Virginia State Police non-probationary members.

Rule Title: WEST VIRIGNIA STATE POLICE GRIEVANCE PROCEDURE

4. Explanation of Overall Economic Impact of Proposed Rule.

A. Economic Impact on State Government.

NONE

B. Economic Impact on Political Subdivisions; Specific Industries; Specific groups of Citizens.

NONE

C. Economic Impact on Citizens/Public at Large.

NONE

Date: June 17, 1997

Signature of Agency Head or Authorized Representative

Darryl Z. Edger

FILED

JUN 18 3 55 PM '97

TITLE 81
LEGISLATIVE RULE
WEST VIRGINIA STATE POLICE

OFFICE OF WEST VIRGINIA
SECRETARY OF STATE

SERIES 8
WEST VIRGINIA STATE POLICE GRIEVANCE PROCEDURE

§81-8-1. General.

1.1. Scope. -- This rule governs and specifies a grievance procedure for sworn members of the State Police.

1.2. Authority. -- W. Va. Code §15-2-6(a).

1.3. Filing Date. --

1.4. Effective Date. --

1.5. Supersedes W. Va. Code §15-2-6(b).

§81-8-2. Purpose.

2.1. The purpose of this rule is to provide a procedure for the equitable and consistent resolution of employment grievances raised by West Virginia State Police non-probationary members.

§81-8-3. Definitions.

3.1. For the purpose of this rule:

3.1.1. "Days" means calendar working days exclusive of Saturday, Sunday or official holidays.

3.1.2. "Discrimination" means any difference in the treatment of members unless such differences are related to the actual job responsibilities of the members or agreed to in writing by the members.

3.1.3. "~~Employee organization~~" means ~~the trade or professional organization which has the largest number of members of the State Police within its membership.~~

"Favoritism" means unfair treatment of a member as demonstrated by preferential, exceptional or advantageous treatment of another or other members.

3.1.4. "~~Favoritism~~" means ~~unfair treatment of a member as demonstrated by preferential,~~

exceptional or advantageous treatment of another or other members.

~~3.1.5. "Grievance" means any claim by one or more affected State Police members alleging a violation, a misapplication or a misinterpretation of the statutes, policies, rules, regulations or written agreements under which such members work, including any violation, misapplication or misinterpretation regarding compensation, hours, terms and conditions of employment, employment status or discrimination; any discriminatory or otherwise aggrieved application of unwritten policies or practices of the State Police; and specifically identified incidents of harassment or favoritism; or any action, policy or practice constituting a substantial detriment to or interference with effective job performance or the health and safety of the member. Examples of grievable matters include but are not limited to issues surrounding letters of reprimand, transfers, demotions, suspensions, discharges or semi-annual evaluations, etc."~~ "Grievance" means any claim by one or more affected State Police members alleging a violation, a misapplication or a misinterpretation of the statutes, written policies, rules, regulations or written agreements under which such members work. Any pension matter or other issue relating to public employees insurance, retirement, or any other matter in which the authority to act is not vested with the State Police shall not be the subject of any grievance filed under this policy and procedure. Management reserves the exclusive right to manage the affairs and operations of the State Police. Accordingly, complaints are not grievable under this procedure that involve a failure to be promoted (except where the employee can show established promotional policies or procedures were not followed or applied fairly):

3.1.5. "Grievance coordinator" means that individual designated by the Superintendent to oversee and coordinate the administration of employee grievances.

3.1.6. "Grievance Evaluator" means that individual authorized to render a decision on a grievance under procedural levels one, two and three as set out in section four.

3.1.7. "Grievant" means any member or group of named members filing a grievance as defined in subsection (3.1.5) of this section.

3.1.8. "Harassment" means repeated or continual disturbance, irritation or annoyance of a member which would be contrary to the demeanor expected by law, policy and profession.

3.1.9. "Hearing examiner" means individuals employed by the State Police in accordance with section five of this rule.

3.1.10. "Immediate supervisor" means that person next in the chain of command of the grievant possessing a degree of administrative authority and designated as such.

3.1.11. "Member" means any non-probationary sworn member employed by the State Police.

3.1.12. "Representative" means ~~the trade~~any person or professional organization which ~~has persons designated by the largest number of members of the State Police within its membership~~grievant to represent him or her in the processing of a grievance through this procedure, or both.

3.1.13. "Reprisal" means retaliation by the Superintendent or his or her designee toward a grievant, witness, representative or any other participant in the grievance procedure either for an alleged injury itself or any lawful attempt to redress it.

3.1.14. "Superintendent" is the executive officer-in-charge and chief administrator of the State Police.

§81-8-4. Grievance Procedure Generally.

4.1. A grievance must be filed within the times specified in section four of this rule and shall be processed as rapidly as possible. The number of days indicated at each level specified in section four of this rule shall be considered as the maximum number of days allowed and, if a decision is not rendered at any level within the prescribed time limits, the grievant may appeal to the next level: Provided, That the specified time limits shall be extended whenever a grievant is not working because of accident, sickness, death in the immediate family or other cause necessitating the grievant to take personal leave from his or her employment, or by agreement of all parties.

4.2. If the Superintendent or his or her designee intends to assert the application of any statute, policy, rule, regulation or written agreement or submits any written response to the filed grievance at any level, a copy thereof shall be forwarded to the grievant and any representative of the grievant named in the filed grievance. Any documents submitted and the grievant's response thereto, if any, shall become part of the record. Failure to assert such statute, policy, rule, regulation or written agreement at any level shall not prevent the subsequent submission thereof in accordance with the provisions of this subsection. The grievant may file the grievance at the level vested with authority to grant the requested relief if each lower administrative level agrees in writing thereto. In the event a grievance is filed at a higher level, the State Police shall provide copies to each lower administrative level.

4.3. A member may withdraw a grievance at any time by notice, in writing, to the level wherein the grievance is then current. The grievance may not be reinstated by the grievant unless reinstatement is granted by the grievance evaluator at the level where the grievance was withdrawn. If more than one member is named as grievant in a particular grievance, the withdrawal of one member shall not prejudice the rights of any other member named in the grievance. In the event a grievance is withdrawn or a member withdraws from a grievance, the Superintendent or his or her designee shall notify in writing each lower administrative level.

~~4.5-~~4.4. Grievances may be consolidated at any level by agreement of all parties.

~~4.6~~4.5. A grievant may be represented by an employee organization representative, as defined, or legal counsel including a fellow member in the preparation or presentation of the grievance any person or persons designated by the grievant. At the request of the grievant, such person or persons may be present, starting at levellevels three and four of the procedure: Provided, Thatthat at levellevels one and two of such grievance, as set forth in section 5.1 of this rule, a grievant may have no such representative. Employer may, likewise, only be represented at levellevels three and four.

~~4.7~~4.6. If a grievance is filed which cannot be resolved within the time limits set forth in section four of this rule prior to the end of the grievant's employment term, the time limit set forth in said section shall be reduced as agreed to in writing by both parties so that the grievance procedure may be concluded within ten days following the end of the employment term or an otherwise reasonable time.

~~4.8~~4.7. No reprisals of any kind shall be taken by the Superintendent or his or her designee against any interested party, or any other participant in the grievance procedure by reason of such participation. A reprisal constitutes a grievance, and any person held to be responsible for reprisal

action shall be subject to disciplinary action for insubordination.

~~4.94.8. Decisions~~Written decisions rendered at all levels of the grievance procedure shall be dated, shall be in writing setting forth the decision or decisions and the reasons therefor, and shall be transmitted to the grievant and any representative at levels 3 or 4 named in the grievance within the time prescribed; with the exception of the verbal level of step one.

~~If the grievant is denied the relief sought, the decision shall include the name of the individual at the next level to whom appeal may be made~~4.9.

~~4.10.~~ Once a grievance has been filed, supportive or corroborative evidence may be presented at any conference or hearing conducted pursuant to the provisions of this rule. Whether evidence substantially alters the original grievance and renders it a different grievance is within the discretion of the grievance evaluator at the level wherein the new evidence is presented. If the grievance evaluator rules that the evidence renders it a different grievance, the party offering the evidence may withdraw same, the parties may consent to such evidence, or the grievance evaluator may decide to hear the evidence or rule that the grievant must file a new grievance. The time limitation for filing the new grievance shall be measured from the date of such ruling.

4.10. Any change in the relief sought by the grievant shall be consented to by all parties or may be granted at level four within the discretion of the hearing examiner.

4.11. Forms for filing grievances, giving notice, taking appeals, making reports and recommendations, and all other necessary documents shall be made available by the immediate supervisor to any member upon request. Such forms shall include information as prescribed by the Superintendent. The grievant shall have access to State Police equipment for purposes of preparing grievance documents subject to the reasonable rules of the employer governing the use of such equipment.

~~4.13-4.12.~~ Notwithstanding the provisions of W. Va. Code §6-9A-3, or any other provision relating to open proceedings, all conferences and hearings pursuant to this rule shall be conducted in private except that, upon the grievant's request, conferences and hearings at levels two and three shall be open to employees of the grievant's immediate office or work area. Within the discretion of the hearing examiner, conferences and hearings may be public at level four.

~~4.13.~~ No person shall confer or correspond with a hearing examiner regarding the merits of the grievance unless all parties to the grievance are notified.

~~4.14.~~ No person shall confer or correspond with a hearing examiner regarding the merits of the grievance unless all parties to the grievance are present.

~~4.15.~~ Grievances shall be processed during regular working hours. Attempts shall be made to process the grievance in a manner which does not interfere with the normal operation of the State Police.

~~4.16-4.15.~~ The grievant or the member selected by a grievant to represent him or her in the processing of a grievance through this procedure, or both, shall be granted necessary time off during working hours for the grievance procedure without loss of pay and without charge to annual or compensatory leave credits. In addition to actual time spent in grievance conferences and hearings, the grievant or the grievant's representative, or both, shall be granted time off during working hours,

not to exceed four hours per grievance, for the preparation of such grievance without loss of pay and without charge to annual or compensatory leave credits. However, it shall be understood by all parties that the first responsibility of any member is the work assigned by the State Police to the member. Grievance preparation and representation activities by a member shall not seriously affect the overall productivity of the member. Members and other employees submitting complaints or grievances, who are involved in complaint and grievance investigations, and who are participating in complaint and grievance meetings and proceedings may do so during working hours without loss of pay and without charge to accumulated leave, after requesting permission from their immediate supervisor to do so, which permission shall not be unreasonably withheld.

~~4.17.~~ 4.16. The aggrieved member, the State Police and representatives of both shall have the right to call, examine and cross-examine witnesses who are employees of the State Police against which the grievance is lodged and who have knowledge of the facts at issue.

~~4.18.~~ 4.17. Both parties may produce witnesses other than employees of the State Police against which the grievance is lodged, and such witnesses shall be subject to examination and cross-examination.

~~4.19.~~ 4.18. Should the Superintendent or his or her designee cause a conference or hearing to be postponed without adequate notice to members and/or other employees who are scheduled to appear during their normal work day, they will not suffer any loss in pay for work time lost.

~~4.20.~~ 4.19. Any grievance evaluator may be excused from participation in the grievance process for reasonable cause, including, but not limited to, conflict of interest or incapacitation, and in such case the grievance evaluator at the next higher level shall designate an alternative grievance evaluator if such is deemed reasonable and necessary.

4.20. No less than one year following resolution of a grievance at any level, the grievant may by request in writing have removed any record of the grievant's identity from any file kept by the State Police.

~~4.21. No less than one year following resolution of a grievance at any level, the grievant may by request in writing have removed any record of the grievant's identity from any file kept by the State Police.~~

~~4.22. Procedures:~~

~~4.22.1. The State Police Professional Standards Unit [hereinafter the "Unit"] shall be responsible for the coordination of grievance procedures. Procedures:~~

4.21.1. The State Police grievance coordinator shall be responsible for the coordination of grievance procedures. Such coordination shall include the training of supervisors in grievance procedures, providing notification to the Superintendent of filed grievances and their progression and other grievance management functions as required to ensure administrative compliance with this rule.

~~4.22.24.21.2. The Unit grievance coordinator shall maintain and control finalized grievance records within the security of its files and will take necessary precautions to ensure controlled access to sensitive grievance records and documents.~~

~~4.22.34.21.3. The Unit shall cause an annual analysis of grievances to identify any trend in filed grievances and will recommend any actions that may minimize or correct the causes of future grievances.~~

4.22.4: First level supervisors receiving a grievance form shall acknowledge receipt by noting the time, date and name of the person receiving the grievance and shall immediately forward a copy of the grievance to the Unit.

~~4.22.54.21.4. The supervisor responsible for administering the grievance procedure at each level shall furnish the original grievance form and the original copy of the written decision to the member and will forward a copy of the grievance form and decision to the Unit grievance coordinator. The supervisor administering the grievance procedure shall NOT retain a record of the grievance or the decision.~~

~~4.22.64.22. A record of each member's grievance and the grievance disposition will be filed by the Unit and shall be available for review by the member or his or her representative. The number of grievances filed against the Superintendent or his or her designee, or by an employee shall not, per se, be an indication of the Superintendent's or his or her designee's or such employee's job performance.~~

4.23. The number of grievances filed against the Superintendent or his or her designee, or by an employee shall not, per se, be an indication of the Superintendent's or his or her designee's or such employee's job performance.

4.24. The Superintendent with whom a grievance was filed may appeal a level four decision on the grounds that the decision (1) was contrary to law or lawfully adopted rule or written policy of the employer, (2) exceeded the hearing examiner's statutory authority, (3) was the result of fraud or deceit, (4) was clearly wrong in view of the reliable, probative and substantial evidence on the whole record, or (5) was arbitrary or capricious or characterized by abuse of discretion. Such appeal shall follow the procedure regarding appeal provided the grievant in section 5.1 et seq of this rule and provided both parties in section 7.1 of this rule.

~~The Superintendent may appeal a level four decision on the grounds that the decision (1) was contrary to law or lawfully adopted rule or written policy of the employer, (2) exceeded the hearing examiner's statutory authority, (3) was the result of fraud or deceit, (4) was clearly wrong in view of the reliable, probative and substantial evidence on the whole record, or (5) was arbitrary or capricious or characterized by abuse of discretion.~~

§81-8-5. Procedural Levels and Procedure at Each Level.

5.1. Level one.

5.1.1. The member shall identify the grievance verbally to the immediate supervisor in an informal meeting within fourteen calendar days after the event or action or the discovery of the event or action which is the basis for the grievance.

5.1.2. The immediate supervisor shall give a verbal response to the member within seven days following the meeting.

5.1.3. If a resolution is not reached at this point, the member shall submit to the immediate supervisor on a prescribed form the nature of the grievance and the specific relief requested within seven days following the date of receipt of the verbal response.

5.1.4. The supervisor, in turn, shall give written response on a prescribed form within seven days of receipt of the prescribed form.

5.2. Level two.

5.2.1. Within seven days of receiving the written decision of the immediate supervisor, the grievant or Superintendent may file a written appeal to the appropriate State Police supervisor. The supervisor shall hold a conference within seven days of the receipt of the appeal and issue a written decision upon the appeal within seven days of the conference.

5.3. Level three.

5.3.1. Within seven days of receiving the decision of the appropriate State Police supervisor, the grievant or Superintendent may file a written appeal of the decision with the Superintendent. The Superintendent or his or her designee shall hold a hearing in accordance with section 6.1 of this rule within ten days of receiving the appeal. The Superintendent or his or her designee shall issue a written decision affirming, modifying or reversing the level two decision within seven days of such hearing.

5.4. Level four.

5.4.1. If the grievant is not satisfied with the action taken by the Superintendent or his or her designee grievance evaluator, within fourteen days of receiving the written decision, the grievant the grievant or Superintendent may request, in writing, on a form furnished by the State Police, that the grievance be submitted to a hearing examiner. Within seven days after receipt of the notice of appeal from the grievant, the Superintendent or his or her designee shall mail to the grievant a strike list of names of hearing examiners who have been selected pursuant to procedures adopted by the State Police, all of whom shall be attorneys licensed to practice in West Virginia. The hearing shall be conducted in accordance with section six of this rule within thirty days following the request. The hearing may be held within sixty days following the request, or within such time as is mutually agreed upon by the parties, if the hearing examiner gives reasonable cause, in writing, as to the necessity for such delay.

5.4.2 Within thirty days following the hearing, the hearing examiner shall render a decision in writing to all parties setting forth findings and conclusions on the issues submitted. Subject to the provisions of section seven of this rule, the decision of the hearing examiner shall be final upon the parties and shall be enforceable in circuit court.

5.5. Expedited grievance process.

5.5.1. A member may grieve a final action of the Superintendent involving a dismissal, demotion, transfer, or suspension exceeding twenty days directly to the hearing examiner. The expedited grievance shall be in writing and must be filed within ten days of the date of the final action by the Superintendent.

§81-8-6. Hearings Generally.

6.1. The Superintendent or his or her designee acting as a grievance evaluator or the hearing examiner shall conduct all hearings in an impartial manner and shall ensure that all parties are accorded procedural and substantive due process. All parties shall have an opportunity to present evidence and argument with respect to the matters and issues involved, to cross-examine and to rebut evidence. Reasonable notice of a hearing shall be sent prior to the hearing to all parties and their named representative and shall include the date, time and place of the hearing. ~~Level one, level two and level three~~All such hearings shall be held at a convenient place accessible to location selected by the grievant Superintendent and within regular working hours. All such hearings shall be held on State Police premises or on other premises mutually agreeable to the parties and within regular ~~hearings may continue beyond normal working hours.~~

Hearings may continue beyond normal working hours if agreed to by all parties to the grievance. Level four hearings shall be at a place designated by the hearing examiner.

6.2. ~~The State Police~~Upon written request, the grievant or Superintendent shall produce prior to such hearing any documents, not privileged which are relevant to the subject matter involved in the pending grievance.

The grievant shall forward a written request to the Superintendent or his or her designee in order to receive these documents.

6.3. ~~The~~At levels 3 or 4 the Superintendent or his or her designee or the hearing examiner shall have the power to (1) administer oaths and affirmations, (2) subpoena witnesses, (3) regulate the course of the hearing, (4) hold conferences for the settlement or simplification of the issues by consent of the parties, (5) exclude immaterial, irrelevant or repetitious evidence, (6) sequester witnesses, (7) restrict the number of advocates, and take any other action not inconsistent with the provisions of this rule.

6.4. All the testimony and evidence at any level three or level four hearing shall be recorded by mechanical means, and all recorded testimony and evidence at any level four hearing such hearing shall be transcribed and certified by affidavit.

The Superintendent shall be responsible for promptly providing a copy of the certified transcript of a level three hearing to any party to that hearing who requests such transcript. The hearing examiner may also request and be provided a transcript upon appeal to level four and allocate the costs therefor as prescribed in section eight of this rule. The Superintendent shall be responsible for promptly providing a copy of the certified transcript of a level four hearing to any party to that hearing who requests such transcript.

6.5. Formal rules of evidence shall not be applied, but parties shall be bound by the rules of privilege recognized by law. No member shall be compelled to testify against himself or herself in a grievance involving disciplinary action. The burden of proof shall rest with the Superintendent in disciplinary matters.

6.6. All materials submitted in accordance with section three of this rule; ~~the~~The mechanical recording of all testimony and evidence or the transcription thereof, if any; the decision, and any other materials considered in reaching the decision shall be made a part and shall constitute the record of a grievance. The record shall be submitted to any level at which appeal has been made,

and the record shall be considered, but the development of such record shall not be limited thereby.

6.7. ~~Every decision pursuant to a hearing shall be in writing and shall be accompanied by the decisions rendered at levels 3 or 4 any party may propose findings of fact and conclusions of law.~~

6.8. ~~Prior to the Every decision any party may propose rendered at levels 3 or 4, pursuant to a hearing, shall be in writing and shall be accompanied by findings of fact and conclusions of law.~~

§81-8-7. Enforcement and Reviewability; Costs; Good Faith.

7.1. The decision of the hearing examiner shall be final upon the parties and shall be enforceable in circuit court. ~~Either party~~The grievant or Superintendent may appeal to the circuit court of the county in which the grievance occurred on the grounds that the hearing examiner's decision (1) was contrary to law or a lawfully adopted rule or written policy of the State Police, (2) exceeded the hearing examiner's statutory authority, (3) was the result of fraud or deceit, (4) was clearly wrong in view of the reliable, probative and substantial evidence on the whole record, or (5) was arbitrary or capricious or characterized by abuse of discretion or clearly unwarranted exercise of discretion. The appeal shall be filed in the circuit court of the county in which the grievance occurred within thirty days of receipt of the hearing examiner's decision. The decision of the hearing examiner shall not be stayed, automatically, upon the filing of an appeal, but a stay may be granted by the circuit court upon separate motion .

7.2. The court's ruling shall be upon the entire record made before the hearing examiner, and the court may hear oral arguments and require written briefs. The court may reverse, vacate or modify the decision of the hearing examiner or may remand the grievance to the Superintendent for further proceedings.

7.3. Both the State Police and member shall at all times act in good faith and make every possible effort to resolve disputes at the lowest level of the grievance procedure. The hearing examiner may make a determination of bad faith and in extreme instances allocate the cost of the hearing to the party found to be acting in bad faith. ~~The allocation of costs shall be based on the relative ability of the party to pay the costs.~~

§81-8-8. Allocation of Costs.

8.1. Any expenses incurred relative to the grievance procedure at levels one through three shall be borne by the party incurring such expenses.

8.2. A grievant has the right to use, free of charge, any State Police copy machine for the purpose of copying grievance documents and the transcript of the employment grievance hearing.

§81-8-9. Mandamus Proceeding.

~~9.1. Should the State Police fail to comply with the provisions of this rule, it may be compelled to do so by mandamus proceeding and shall be liable to any party prevailing against the State Police for court costs and attorney fees, as determined and established by the court.~~

§81-8-109. Grievant's Right to Attorney's Fees and Costs.

~~109.1. If a member the grievant or Superintendent shall appeal to a circuit court an adverse decision of a hearing examiner rendered in a grievance proceeding pursuant to provisions of this rule or is required to defend an appeal and such member party shall substantially prevail, the State Police shall be liable to such member grievant or Superintendent may be liable to the prevailing party upon final judgment or order, for any court reporter's costs incurred during any administrative hearings or court proceedings, and for court costs. If the prevailing party is the grievant, the Superintendent may be liable for reasonable attorney's fees, to be set by the court, incurred by the member in all administrative hearings and before the circuit court and the supreme court of appeals. In no event shall attorney's fees be awarded in excess of a total of one thousand dollars for the administrative hearings and circuit court proceedings nor an additional one thousand dollars for supreme court proceedings. The requirements of this section shall not be construed to limit the grievant's right to recover reasonable attorney's fees in a mandamus proceeding brought under section nine of this rule.~~

§81-8-110. Application of Rule.

~~110.1. This regulation applies to all grievances on or after the effective date of this rule. This rule supersedes W. Va. Code §15-2-6(b).~~