

NOTICE OF PUBLIC HEARING

Pursuant to Section five, Article three, Chapter twenty-nine A of the Code of West Virginia, 1931, as amended, the Commissioner of Securities shall convene a public hearing at 10:00 a.m. E.S.T. on January 7, 1985 at Room West-118 of the State Capitol in Charleston for the purpose of taking evidence pertaining to the filing of a proposed legislative rule titled Amendment to Rule 15.06(b) (9) (hereinafter known as the "Uniform Limited Offering Exemption").

Any citizen or other interested party may appear in person to present evidence. Any citizen or other interested party may submit written evidence at the public hearing or mailed to Room West-118, State Capitol, Charleston, WV 25305. All comments, written or oral, will be made a part of the public hearing record. The department requests that parties wishing to comment make an effort to submit written copies of their comments in order to facilitate review of the comments.

The issues to be heard shall be limited to the proposed rule. Copies of the proposed rule may be obtained by telephoning (304) 348-2257 or writing to Room West-118, State Capitol, Charleston, WV 25305.



Jack Hall
Deputy Commissioner of Securities

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OFFICE OF WEST VIRGINIA
SECRETARY OF STATE

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EMERGENCY RULE

Amendment to Rule 15.06(b) (9)

3.(d) Any person who utilizes any limited offering exemption which the Commissioner establishes pursuant to the rule-making authority provided by Chapter 32, Article 4, Section 402(b) (9) of the West Virginia Code, 1931 as amended, shall be assessed a non-refundable filing fee in the amount of one hundred twenty-five dollars (\$125.00). Said fee shall accompany the notice of first sale which is required to be filed with the Commissioner no later than fifteen days after the first sale in reliance upon said exemption. Failure to pay such fee as required by this subsection shall constitute grounds for the Commissioner to deny or revoke said exemption pursuant to Chapter 32, Article 4, Section 402(c) of the West Virginia Code, 1931 as amended. Fees collected under this subsection shall be deposited in the state treasury and credited to the general revenue fund.

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