

State of West Virginia Campaign Financial Statement (Long Form) in Relation to the 2012 Election Year

Candidate or Committee Name <u>Dave Stephens</u>		Candidate or Committee's Treasurer <u>John Dull II</u>	
Political Party (for candidates) <u>Democrat</u>		Treasurer's Mailing Address (Street, Route or P.O. Box) <u>POB 9006</u>	
Office Sought (for candidates) <u>House of Delegates</u>	District/Division <u>17</u>	City, State, Zip Code <u>Wttn, WV</u>	Daytime Phone # <u>257049006</u>

Election Cycle Reporting Period (check one):			Check if Applicable: <input type="checkbox"/> Amended Report You must also check box of appropriate reporting period <input type="checkbox"/> Final Report Zero balance required. PAC must also file Form F-6 Dissolution
<input checked="" type="checkbox"/> Primary - First Report Due March 31-April 6, 2012	<input type="checkbox"/> Pre-primary Report Due April 23-27, 2012	<input type="checkbox"/> Post-primary Report Due May 21-Jun 19, 2012	
<input type="checkbox"/> General - First Report Due Sept. 24-28, 2012	<input type="checkbox"/> Pre-general Report Due Oct. 22-26, 2012	<input type="checkbox"/> Post-general Report Due Nov 19-Dec 19, 2012	
Non-Election Cycle Reporting Period: <input type="checkbox"/> Annual Report Due In _____ Calendar Year Due last Saturday in March or within 6 days thereafter			

REPORT TOTALS

Fill in totals at the completion of the report.

RECEIPTS OF FUNDS:

Totals for this Period

CASH BALANCE SUMMARY

Contributions (Page 3)	<u>6497.16</u>
Monetary Contributions from all Fund-Raising Events (Page 4)	+
Receipt of a Transfer of Excess Funds (Page 8)	+
Total Monetary Contributions:	=
In-Kind Contributions (Page 5)	+
Total Contributions:	= <u>6497.16</u>

Beginning Balance (ending balance from previous report)	<u>6497.16</u>
Total Monetary Contributions	+
Total Other Income	+
Subtotal:	a. = <u>6497.16</u>

Other Income (Page 5)	<u>0</u>
Loans Received (Page 6)	+ <u>0</u>
Total Other Income:	= <u>0</u>

Total Expenditures (Page 7)	<u>3747.21</u>
Total Disbursements of Excess Funds (Page 8)	+ <u>0</u>
Repayment of Loans (Page 6)	+ <u>0</u>
Subtotal:	b. = <u>3747.21</u>

OUTSTANDING LOANS & DEBTS:

Unpaid Bills (Page 9)	<u>0</u>
Outstanding Loans (Page 6)	+ <u>0</u>
Total Debts:	= <u>0</u>

Ending Balance: (Subtotal a. - Subtotal b.) <i>*Cannot be negative balance</i>	<u>= 2749.95</u>
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**TOTAL CONTRIBUTIONS
ELECTION YEAR-TO-DATE**
(Add total contributions from all reports)

**TOTAL EXPENDITURES
ELECTION YEAR-TO-DATE**
(Add total expenditures from all reports)

6497.16

3747.21

**Contributors of
\$250 or Less**☐ Check if additional pages
have been attached.

DATE	CONTRIBUTOR'S FULL NAME OR COMMITTEE'S NAME	AMOUNT
3/5/12	Philip Carter	100.00
3/27/12	OPEC - Operator Pol Ed Comm.	250.00

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OF THIS PAGE AS YOU NEED

Subtotal of contributors of \$250 or less:

350.00

Contributors of
More than \$250☐ Check if additional pages
have been attached.

DATE	INDIVIDUAL CONTRIBUTOR OR COMMITTEE'S NAME	AMOUNT
7/20/11	Full Name: WU Appalachian Laborers Council Address: (residential and mailing if they are different) One Union Square Suite 5 Contributor's job: (individual contributor only) Charleston, WU Where contributor works: (individual contributor only) 25301 Affiliation: (political committee only)	1000 ⁰⁰
3/5/12	Full Name: WU AFL-CIO Cope Address: (residential and mailing if they are different) Charleston, WU Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	1000 ⁰⁰
2/7/12	Full Name: American Electric Power Address: (residential and mailing if they are different) Comm. For Responsible Govt Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	500 ⁰⁰
3/26/12	Full Name: Building & Construction Trade Address: (residential and mailing if they are different) 600 Leon Sullivan Way Contributor's job: (individual contributor only) Charleston Where contributor works: (individual contributor only) WU Affiliation: (political committee only)	1000 ⁰⁰
3/27/12	Full Name: WU Regional Council of Carpenters Address: (residential and mailing if they are different) 1812 Garfield Ave Contributor's job: (individual contributor only) Parkersburg, WU Where contributor works: (individual contributor only) 26101 Affiliation: (political committee only)	500 ⁰⁰
3/28/12	Full Name: United Mine Workers of America Address: (residential and mailing if they are different) 18354 Granite Gateway Dr Contributor's job: (individual contributor only) Triangle, VA Where contributor works: (individual contributor only) 22172 Affiliation: (political committee only)	500 ⁰⁰

Subtotal of all contributors of more than \$250

4500.00

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Subtotal of all contributors of \$250 or less (From page 2)

+

Total Contributions:

=

Page 3. <div style="text-align: right;"> Contributors of More than \$250 <input checked="checked" type="checkbox"/> <i>Check if additional pages have been attached.</i> </div>		
DATE	INDIVIDUAL CONTRIBUTOR OR COMMITTEE'S NAME	AMOUNT
3/28/12	Full Name: <u>Dave Stephens</u> Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) <u>Candidate</u> Where contributor works: (individual contributor only) <u>Wayne Co. Schools</u> Affiliation: (political committee only)	647.16
3/20/12	Full Name: <u>AFT</u> Address: (residential and mailing if they are different) <u>1610 Washington St. East</u> Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) <u>Charleston</u> Affiliation: (political committee only) <u>AFT PAC</u>	1000 ⁰⁰
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	
	Full Name: Address: (residential and mailing if they are different) Contributor's job: (individual contributor only) Where contributor works: (individual contributor only) Affiliation: (political committee only)	

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Subtotal of all contributors of more than \$250
Subtotal of all contributors of \$250 or less (From page 2)

Total Contributions:

6197.16
+ 350.00
= 6497.16

FUND-RAISING EVENTS
☐ Check if additional pages
have been attached.


All monetary contributions received at a fundraiser must be reported in the Event Summary below. If contributor's name and amount are not listed, the contribution must be turned over to the West Virginia General Revenue Fund.

The only exception to this rule may apply to political party executive committees. (WV Code §3-8-5a)

EVENT SUMMARY

Date of Event _____	Total Monetary Contributions: Total Expenditures: (Itemized on page _____) NET RECEIPTS: Total In-Kind Contributions Related to the Fund-raiser (Itemized on page 5.)	
Type of Event _____		-
Name of Place Held _____		=
Address of Place Held _____		

Contributors of \$250 or less**Contributors of more than \$250**

Date	Full Name	Amount	Date	Full Name: Address: (residential and mailing if they are different)	Amount
				Contributor's job: (Individual only)	
				Where contributor works: (Individual only)	
				Affiliation: (Political Committee Only)	
				Full Name: Address: (residential and mailing if they are different)	
				Contributor's job: (Individual only)	
				Where contributor works: (Individual only)	
				Affiliation: (Political committee only)	
				Full Name: Address: (residential and mailing if they are different)	
				Contributor's job: (Individual only)	
				Where contributor works: (Individual only)	
				Affiliation: (Political committee only)	
				Full Name: Address: (residential and mailing if they are different)	
				Contributor's job: (Individual only)	
				Where contributor works: (Individual only)	
				Affiliation: (Political committee only)	
				Full Name: Address: (residential and mailing if they are different)	
				Contributor's job: (Individual only)	
				Where contributor works: (Individual only)	
				Affiliation: (Political committee only)	
Subtotal of contributors of \$250 or less:			Subtotal of contributors of more than \$250:		
Subtotal of contributors of \$250 or less: 			Subtotal of contributors of \$250 or less: +		
			Total Contributions:		

MAKE COPIES OF THIS PAGE TO LIST ADDITIONAL CONTRIBUTIONS. ATTACH ADDITIONAL PAGES TO REPORT.

OTHER INCOME: INTEREST, REFUNDS, MISCELLANEOUS RECEIPTS

Date	Source of Income	Type of Receipt	Amount

Total Other Income:

☐ Check if additional pages have
been attached.
IN-KIND CONTRIBUTIONS

Date	Name and Contributor Information	Description of Contribution	Value

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Total In-Kind Contributions:

0

LOANS
☐ Check if additional pages have been attached.
West Virginia Code: §3-8-5f. Loans to candidates, organizations or persons for election purposes.

"Every candidate, financial agent, person or association of persons or organization advocating or opposing the nomination or election of any candidate or the passage or defeat of any issue or item to be voted upon may not receive any money or any other thing of value toward election expenses except from the candidate, his or her spouse or a lending institution. All loans shall be evidenced by a written agreement executed by the lender, whether the candidate, his or her spouse, or the lending institution. Such agreement shall state the date and amount of the loan, the terms, including interest and repayment schedule, and a description of the collateral, if any, and the full names and addresses of all parties to the agreement. A copy of the agreement shall be filed with the financial statement next required after the loan is executed."

The loan agreement **must** include all items asked for in the statute. (See above.) The loan agreement does not have to follow a certain format; generally, if all the required information is listed, any format is acceptable.

Candidates or political committees that take out a loan for the campaign through a bank or other commercial lending institution must include a copy of the loan agreement executed with that bank or institution. Candidates should not take out loans which are partially for personal use and partially for the campaign. It is almost impossible to keep reporting straight in this case. Any money a candidate contributes to his or her campaign committee with the hope of repayment must be treated as a loan and reported in this section. When a candidate determines that no further repayment can be expected, the loan can be reported as repaid in this section by entering the amount left to repay in the repayments column and reporting the same amount as a contribution from the candidate on Page 2. **These loans must be executed in writing. Caution: Candidates may not carry outstanding loans from one campaign to the next. Each campaign is separate. Funds from a current campaign cannot be used to repay a loan from a previous campaign.**

How to report loans

1. Each loan for your campaign should be listed on a separate line. (Each time you loan money to the campaign or get a loan, it is considered to be a separate loan.) Include the following information on the form below:
 - a. loan(s) from prior reporting periods and the balance of each loan (Col. A.) If a payment was made on the loan, list that in Col. C. **Any loan that was repaid in previous reporting periods does not need to be listed.**
 - b. new loans, the amount (Col. B), any repayments (Col. C), and the balance (Col. D.)
2. **Attach a copy of the loan agreement for each loan received during the reporting period.**

LOANS

(A copy of the loan agreement for each loan secured during this filing period must accompany this report)

Bank Loans: List name & address of financial institution Candidate or Candidate's Spouse Loans: List name, residence and mailing address of person(s) making or cosigning loan	Column A Balance of previous loan at end of period	Column B Amount of new loan received during period		Column C Repayments during period		Column D Balance outstanding at end of period
	Amount	Date	Amount	Date	Amount	Amount
1.						
2.						
3.						
4.						
5.						
Totals:		Loans Received		Repayment of Loans		Outstanding Loans
		0		0		0

ITEMIZED EXPENDITURES

(Itemize 3rd party expenditures/ reimbursements)

☐ Check if additional pages have been attached.

Page 7.

Date	Name of Person or Vendor and Address	Purpose	Amount
1/7/12	Herald Dispatch Hqtn. WU	Veteran day - ad	250.00
1/7/12	Hqtn Post Office Hqtn. WU	Postage	88.00
1/10/12	WU Secretary of State Charleston, WU	filing fee	100.00
1/16/12	Pensrus Staten Island, NY	work pens	387.64
2/6/12	Herald Dispatch Hqtn. WU	pres. day ad	150 ⁰⁰
2/7/12	Wayne Co. News Wayne WU 25570	newspaper ad	600 ⁰⁰
3/2/12	Herald Dispatch Hqtn. WU	Online ad	250 ⁰⁰
3/16/12	Trad enet Gardner, KS	calendars	259 ⁵²
3/23/12	WU Democrat Council Charleston, WU 25301	membership	500. ⁰⁰
3/23/12	Printing Press Charleston, WU	hand cards	296. ⁸⁰
3/30/12	Herald Dispatch Hqtn. WU	Online ad	250 ⁰⁰
3/30/12	Big Gage Chesapeake, OH	newspaper ad & cards	615 ²⁵

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Total Expenditures: 3747.21

Receipt of a Transfer of Excess Funds

☐ Check if additional pages
have been attached.

	Candidate Committee Name and Year	Amount
Total Receipts of Transfers of Excess Funds:		

Disbursements of Excess Funds

	Name of candidate committee and election year disbursing excess funds	Purpose of Disbursement	Amount
Total Disbursements of Excess Funds:			0

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UNPAID BILLS

☐ Check if additional pages have been attached.

Date	Owed to Whom	Affiliated with what Company or Group	Purpose	Amount

Total Unpaid Bills:

0

OATH OR AFFIRMATION

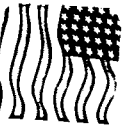
I, Dale Stephens, swear or affirm that the attached statement is true and correct, to the best of my knowledge, for all financial transactions occurring within the period covered by this statement, as required by West Virginia Code §3-8-5a.

Dale Stephens Signature of Candidate, Financial Agent or Treasurer

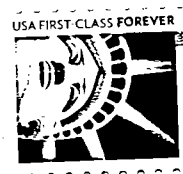
Date 4-4, 2012

Office Use Only

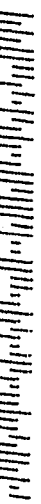
Received By: _____



Delegate Dale Stephens
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Huntington, WV 25704



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Charleston, WV



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